

Inequalities for entropies and dimensions

`alexander.shen@lirmm.fr`,
`www.lirmm.fr/~ashen`

LIRMM CNRS & University of Montpellier

Computability in Europe 2023

Three approaches to measure information (Kolmogorov, 1965)

- ▶ combinatorial: n values of attribute A in a database table mean that this attribute carries $\log_2 n$ bits of information
- ▶ probabilistic (Shannon): random variable ξ with n values ($p_1 + \dots + p_n = 1$) carries

$$H(p) = p_1 \log \frac{1}{p_1} + \dots + p_n \log \frac{1}{p_n}$$

bits of information

- ▶ algorithmic: the amount of information (*complexity*) $C(x)$ in a bit string x is the minimal bit length of a program that produces x

Three approaches to measure information (Kolmogorov, 1965)

- ▶ combinatorial: n values of attribute A in a database table mean that this attribute carries $\log_2 n$ bits of information
- ▶ probabilistic (Shannon): random variable ξ with n values ($p_1 + \dots + p_n = 1$) carries

$$H(p) = p_1 \log \frac{1}{p_1} + \dots + p_n \log \frac{1}{p_n}$$

bits of information

- ▶ algorithmic: the amount of information (*complexity*) $C(x)$ in a bit string x is the minimal bit length of a program that produces x

Three approaches to measure information (Kolmogorov, 1965)

- ▶ combinatorial: n values of attribute A in a database table mean that this attribute carries $\log_2 n$ bits of information
- ▶ probabilistic (Shannon): random variable ξ with n values ($p_1 + \dots + p_n = 1$) carries

$$H(p) = p_1 \log \frac{1}{p_1} + \dots + p_n \log \frac{1}{p_n}$$

bits of information

- ▶ algorithmic: the amount of information (*complexity*) $C(x)$ in a bit string x is the minimal bit length of a program that produces x

Three approaches to measure information (Kolmogorov, 1965)

- ▶ combinatorial: n values of attribute A in a database table mean that this attribute carries $\log_2 n$ bits of information
- ▶ probabilistic (Shannon): random variable ξ with n values ($p_1 + \dots + p_n = 1$) carries

$$H(p) = p_1 \log \frac{1}{p_1} + \dots + p_n \log \frac{1}{p_n}$$

bits of information

- ▶ algorithmic: the amount of information (*complexity*) $C(x)$ in a bit string x is the minimal bit length of a program that produces x

Direct connections

- ▶ (CP) n values: $H(\xi) \leq \log_2 n$ (achieved when all outcomes are equiprobable)
- ▶ (PA) m independent trials of a random variable ξ : with high probability the complexity of the outcome is close to $mH(\xi)$
- ▶ (CA) there are at most 2^n strings of complexity less than n ; every element of a simple set with N elements has complexity not exceeding $\log_2 N$ (almost)

Direct connections

- ▶ (CP) n values: $H(\xi) \leq \log_2 n$ (achieved when all outcomes are equiprobable)
- ▶ (PA) m independent trials of a random variable ξ : with high probability the complexity of the outcome is close to $mH(\xi)$
- ▶ (CA) there are at most 2^n strings of complexity less than n ; every element of a simple set with N elements has complexity not exceeding $\log_2 N$ (almost)

Direct connections

- ▶ (CP) n values: $H(\xi) \leq \log_2 n$ (achieved when all outcomes are equiprobable)
- ▶ (PA) m independent trials of a random variable ξ : with high probability the complexity of the outcome is close to $mH(\xi)$
- ▶ (CA) there are at most 2^n strings of complexity less than n ; every element of a simple set with N elements has complexity not exceeding $\log_2 N$ (almost)

Direct connections

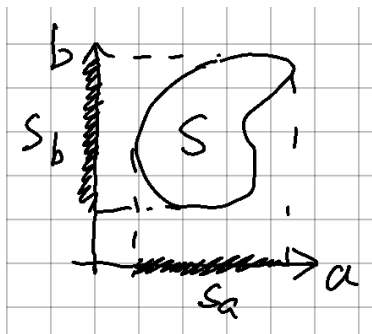
- ▶ (CP) n values: $H(\xi) \leq \log_2 n$ (achieved when all outcomes are equiprobable)
- ▶ (PA) m independent trials of a random variable ξ : with high probability the complexity of the outcome is close to $mH(\xi)$
- ▶ (CA) there are at most 2^n strings of complexity less than n ; every element of a simple set with N elements has complexity not exceeding $\log_2 N$ (almost)

Direct connections

- ▶ (CP) n values: $H(\xi) \leq \log_2 n$ (achieved when all outcomes are equiprobable)
- ▶ (PA) m independent trials of a random variable ξ : with high probability the complexity of the outcome is close to $mH(\xi)$
- ▶ (CA) there are at most 2^n strings of complexity less than n ; every element of a simple set with N elements has complexity not exceeding $\log_2 N$ (almost)

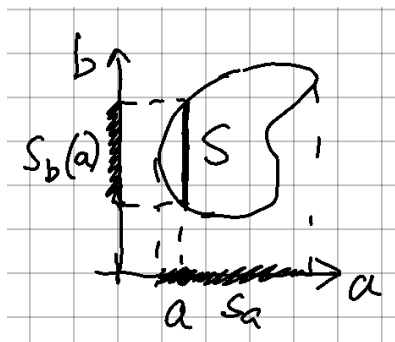
Indirect connections: similar properties (1)

- ▶ $H(\xi, \eta) \leq H(\xi) + H(\eta)$
- ▶ $C(x, y) \leq C(x) + C(y) + O(\log n)$ for bit strings x, y of length at most n
- ▶ $\log_2 S \leq \log_2 S_a + \log_2 S_b$



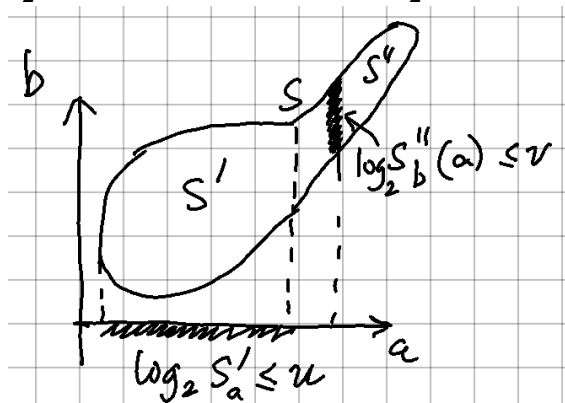
Indirect connections: similar properties (2)

- ▶ $H(\xi, \eta) \leq H(\xi) + H(\eta|\xi)$
- ▶ $C(x, y) \leq C(x) + C(y|x) + O(\log n)$ for strings x, y of length at most n
- ▶ $\log_2 S \leq \log_2 S_a + \log_2 \max_a S_b(a)$



Indirect connections: similar properties (3)

- ▶ $H(\xi) + H(\eta|\xi) \leq H(\xi, \eta)$
- ▶ $C(x) + C(y|x) \leq C(x, y) + O(\log n)$ for strings x, y of length at most n
- ▶ $\log S \leq u + v \Rightarrow S$ can be split into $S = S' \cup S''$ such that $\log_2 S'_a \leq u$ and $\max_a \log_2 S''_b(a) \leq v$



General results

- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

General results

- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

General results

- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

General results

- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

General results

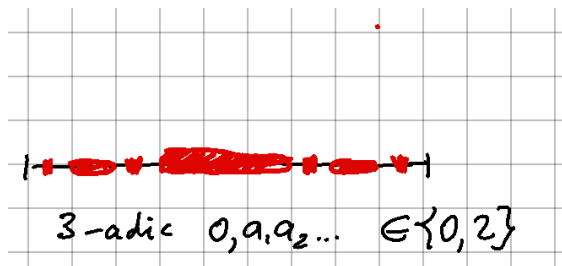
- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

General results

- ▶ The same linear inequalities are true for Shannon entropy and Kolmogorov complexity (Romashchenko)
- ▶ This class has combinatorial interpretation (Vereshchagin et al., 2000s)
- ▶ ...and in terms of subgroup sizes (Chan, Yeang, 2000s)
- ▶ The same class for space-bounded complexities (Gács et al., 2020)
- ▶ this paper: *one more result of this type using dimensions*

Algorithmic dimension theory

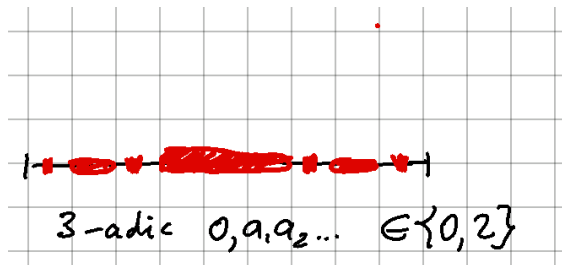
▶ Hausdorff and packing dimensions



- ▶ dimension = $\log 2 / \log 3$, “use only 2 digits out of 3”:
to specify any point with a given precision we need
less information (factor $\log 2 / \log 3$) than in general
case

Algorithmic dimension theory

▶ Hausdorff and packing dimensions



- ▶ dimension = $\log 2 / \log 3$, “use only 2 digits out of 3”:
to specify any point with a given precision we need
less information (factor $\log 2 / \log 3$) than in general
case

Effective dimensions

- ▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .
- ▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]
- ▶ $\text{Dim}(x) = \limsup_n C_n(x)/n$ [eff. packing]
- ▶ For $A \subset (0, 1)$ let
$$\dim(A) = \max_{x \in A} \dim(x)$$
$$\text{Dim}(A) = \max_{x \in A} \text{Dim}(x)$$
- ▶ locality paradox: how many / which elements are in A
- ▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

► for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .

► $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]

► $Dim(x) = \limsup_n C_n(x)/n$ [eff. packing]

► For $A \subset (0, 1)$ let

$$\dim(A) = \max_{x \in A} \dim(x)$$

$$Dim(A) = \max_{x \in A} Dim(x)$$

► locality paradox: how many / which elements are in A

► a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

- ▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .
- ▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]
- ▶ $Dim(x) = \limsup_n C_n(x)/n$ [eff. packing]
- ▶ For $A \subset (0, 1)$ let
$$\dim(A) = \max_{x \in A} \dim(x)$$
$$Dim(A) = \max_{x \in A} Dim(x)$$
- ▶ locality paradox: how many / which elements are in A
- ▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .

▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]

▶ $\text{Dim}(x) = \limsup_n C_n(x)/n$ [eff. packing]

▶ For $A \subset (0, 1)$ let

$$\dim(A) = \max_{x \in A} \dim(x)$$

$$\text{Dim}(A) = \max_{x \in A} \text{Dim}(x)$$

▶ locality paradox: how many / which elements are in A

▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .

▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]

▶ $\text{Dim}(x) = \limsup_n C_n(x)/n$ [eff. packing]

▶ For $A \subset (0, 1)$ let

$$\dim(A) = \max_{x \in A} \dim(x)$$

$$\text{Dim}(A) = \max_{x \in A} \text{Dim}(x)$$

▶ locality paradox: how many / which elements are in A

▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

- ▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .
- ▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]
- ▶ $Dim(x) = \limsup_n C_n(x)/n$ [eff. packing]
- ▶ For $A \subset (0, 1)$ let
$$\dim(A) = \max_{x \in A} \dim(x)$$
$$Dim(A) = \max_{x \in A} Dim(x)$$
- ▶ locality paradox: how many / which elements are in A
- ▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Effective dimensions

- ▶ for $x \in (0, 1)$ let $C_n(x)$ be the complexity of 2^{-n} -approximation to x .
- ▶ $\dim(x) = \liminf_n C_n(x)/n$ [eff. Hausdorff]
- ▶ $Dim(x) = \limsup_n C_n(x)/n$ [eff. packing]
- ▶ For $A \subset (0, 1)$ let
$$\dim(A) = \max_{x \in A} \dim(x)$$
$$Dim(A) = \max_{x \in A} Dim(x)$$
- ▶ locality paradox: how many / which elements are in A
- ▶ a point can have high effective dimension; a set of high (classical) dimension always contains a point of high effective dimension

Point-to-set principle (J.Lutz, N.Lutz)

- ▶ relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$
- ▶ point-to-set principle:
 $\dim(A) = \min_S \dim^S(A)$,
 $\text{Dim}(A) = \min_S \text{Dim}^S(A)$
- ▶ classical dimension =
 $= \min_{\text{oracle}} \max_{\text{point}} \text{effective dimension}$
- ▶ opens a way to translate information inequalities into statements about dimensions
- ▶ for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

Point-to-set principle (J.Lutz, N.Lutz)

► relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$

► point-to-set principle:

$$\dim(A) = \min_S \dim^S(A),$$

$$\text{Dim}(A) = \min_S \text{Dim}^S(A)$$

► classical dimension =

$$= \min_{\text{oracle}} \max_{\text{point}} \text{effective dimension}$$

► opens a way to translate information inequalities into statements about dimensions

► for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

Point-to-set principle (J.Lutz, N.Lutz)

► relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$

► point-to-set principle:

$$\dim(A) = \min_S \dim^S(A),$$

$$\text{Dim}(A) = \min_S \text{Dim}^S(A)$$

► classical dimension =

$$= \min_{\text{oracle}} \max_{\text{point}} \text{effective dimension}$$

► opens a way to translate information inequalities into statements about dimensions

► for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

Point-to-set principle (J.Lutz, N.Lutz)

▶ relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$

▶ point-to-set principle:

$$\dim(A) = \min_S \dim^S(A),$$

$$\text{Dim}(A) = \min_S \text{Dim}^S(A)$$

▶ classical dimension =

$$= \min_{\text{oracle}} \max_{\text{point}} \text{effective dimension}$$

▶ opens a way to translate information inequalities into statements about dimensions

▶ for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

Point-to-set principle (J.Lutz, N.Lutz)

- ▶ relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$
- ▶ point-to-set principle:
 $\dim(A) = \min_S \dim^S(A)$,
 $\text{Dim}(A) = \min_S \text{Dim}^S(A)$
- ▶ classical dimension =
= $\min_{\text{oracle}} \max_{\text{point}}$ effective dimension
- ▶ opens a way to translate information inequalities into statements about dimensions
- ▶ for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

Point-to-set principle (J.Lutz, N.Lutz)

- ▶ relativizing: $\dim^S(x)$, $\text{Dim}^S(x)$, $\dim^S(A)$, $\text{Dim}^S(A)$
- ▶ point-to-set principle:
 $\dim(A) = \min_S \dim^S(A)$,
 $\text{Dim}(A) = \min_S \text{Dim}^S(A)$
- ▶ classical dimension =
= $\min_{\text{oracle}} \max_{\text{point}}$ effective dimension
- ▶ opens a way to translate information inequalities into statements about dimensions
- ▶ for $A \subset [0, 1] \times [0, 1]$; projections A_1 and A_2

$$\text{Dim}(A) \leq \text{Dim}(A_1) + \text{Dim}(A_2)$$

More examples

▶ $2 C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3) + C(x_2, x_3)$

▶ $A \subset [0, 1]^3$, 2-dimensional projections A_{12}, A_{23}, A_{13} :

$$2 \text{Dim}(A) \leq \text{Dim}(A_{12}) + \text{Dim}(A_{13}) + \text{Dim}(A_{23})$$

▶ $C(x_1) + C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3)$

▶ for $A \subset [0, 1]^3$: if (for some u and v)

$$\text{Dim}(A_{12}) + \text{Dim}(A_{13}) \leq u + v$$

then A can be splitted into $A = A' \cup A''$ such that

$$\text{dim}(A'_1) \leq u, \quad \text{dim}(A''_1) \leq v$$

More examples

▶ $2 C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3) + C(x_2, x_3)$

▶ $A \subset [0, 1]^3$, 2-dimensional projections A_{12}, A_{23}, A_{13} :

$$2 \operatorname{Dim}(A) \leq \operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) + \operatorname{Dim}(A_{23})$$

▶ $C(x_1) + C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3)$

▶ for $A \subset [0, 1]^3$: if (for some u and v)

$$\operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) \leq u + v$$

then A can be splitted into $A = A' \cup A''$ such that

$$\dim(A'_1) \leq u, \quad \dim(A''_1) \leq v$$

More examples

▶ $2C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3) + C(x_2, x_3)$

▶ $A \subset [0, 1]^3$, 2-dimensional projections A_{12}, A_{23}, A_{13} :

$$2 \operatorname{Dim}(A) \leq \operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) + \operatorname{Dim}(A_{23})$$

▶ $C(x_1) + C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3)$

▶ for $A \subset [0, 1]^3$: if (for some u and v)

$$\operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) \leq u + v$$

then A can be splitted into $A = A' \cup A''$ such that

$$\dim(A'_1) \leq u, \quad \dim(A''_1) \leq v$$

More examples

▶ $2C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3) + C(x_2, x_3)$

▶ $A \subset [0, 1]^3$, 2-dimensional projections A_{12}, A_{23}, A_{13} :

$$2 \operatorname{Dim}(A) \leq \operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) + \operatorname{Dim}(A_{23})$$

▶ $C(x_1) + C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3)$

▶ for $A \subset [0, 1]^3$: if (for some u and v)

$$\operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) \leq u + v$$

then A can be splitted into $A = A' \cup A''$ such that

$$\dim(A'_1) \leq u, \quad \dim(A''_1) \leq v$$

More examples

▶ $2 C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3) + C(x_2, x_3)$

▶ $A \subset [0, 1]^3$, 2-dimensional projections A_{12}, A_{23}, A_{13} :

$$2 \operatorname{Dim}(A) \leq \operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) + \operatorname{Dim}(A_{23})$$

▶ $C(x_1) + C(x_1, x_2, x_3) \leq C(x_1, x_2) + C(x_1, x_3)$

▶ for $A \subset [0, 1]^3$: if (for some u and v)

$$\operatorname{Dim}(A_{12}) + \operatorname{Dim}(A_{13}) \leq u + v$$

then A can be splitted into $A = A' \cup A''$ such that

$$\dim(A'_1) \leq u, \quad \dim(A''_1) \leq v$$

New description of information inequalities

- ▶ Every true linear inequality for entropies/complexities can be translated into a (true) statement about classical Hausdorff and packing dimensions as described
- ▶ If a linear inequality is *not* true for entropies/complexities, the corresponding statement about dimensions is also false

New description of information inequalities

- ▶ Every true linear inequality for entropies/complexities can be translated into a (true) statement about classical Hausdorff and packing dimensions as described
- ▶ If a linear inequality is *not* true for entropies/complexities, the corresponding statement about dimensions is also false

New description of information inequalities

- ▶ Every true linear inequality for entropies/complexities can be translated into a (true) statement about classical Hausdorff and packing dimensions as described
- ▶ If a linear inequality is *not* true for entropies/complexities, the corresponding statement about dimensions is also false

THANKS!