

Connected Choice and the Brouwer Fixed Point Theorem

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joint work with

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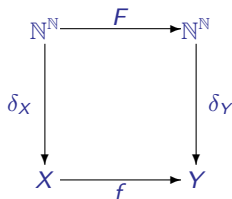
- 1 The Weihrauch Lattice
- 2 Computable Metamathematics
- 3 Finding Connectedness Components
- 4 The Brouwer Fixed Point Theorem
- 5 Aspects of Dimension

Definition

A multi-valued function $f : \subseteq X \rightrightarrows Y$ on represented spaces (X, δ_X) and (Y, δ_Y) is **realized** by a function $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ if

$$\delta_Y F(p) \in f \delta_X(p)$$

for all $p \in \text{dom}(f \delta_X)$. We write $F \vdash f$ in this situation.



Definition (Weihrauch 1990)

Let f and g be multi-valued maps on represented spaces.

- ▶ $f \leq_W g$ (f Weihrauch reducible to g), if there are computable functions $H, K : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ such that for all G

$$G \vdash g \implies H\langle \text{id}, GK \rangle \vdash f.$$

That means that there is a uniform way to transform each realizer G of g into a realizer F of f in the given way.

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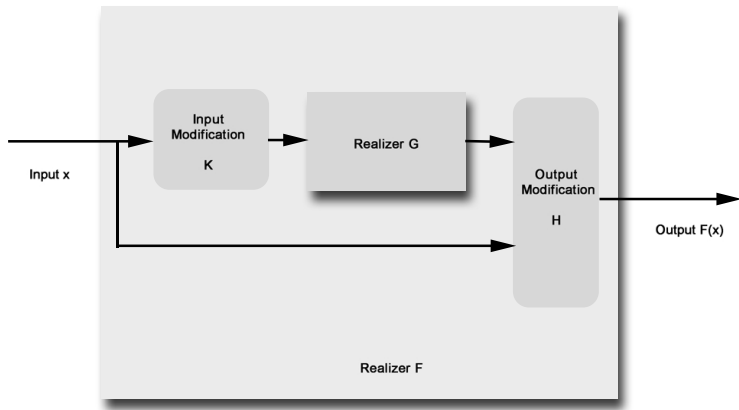
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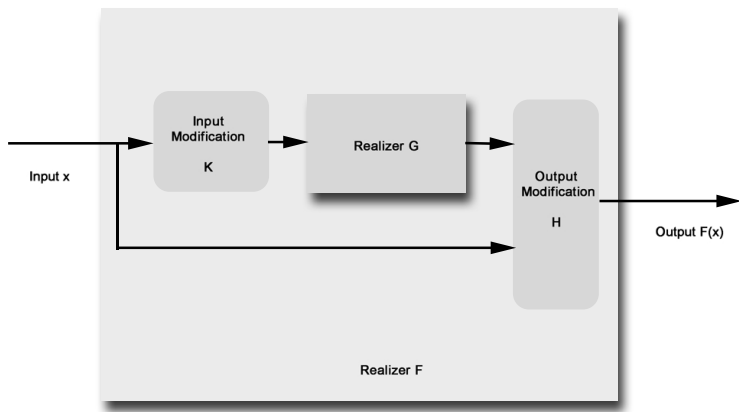
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Algebraic Operations in the Weihrauch Lattice

Definition

Let $f : \subseteq X \rightrightarrows Y$ and $g : \subseteq W \rightrightarrows Z$ be multi-valued maps. Then we consider the natural operations

- ▶ $f \times g : \subseteq X \times W \rightrightarrows Y \times Z$ (product)
- ▶ $f \sqcup g : \subseteq X \sqcup W \rightrightarrows Y \sqcup Z$ (coproduct)
- ▶ $f \sqcap g : \subseteq X \times W \rightrightarrows Y \sqcup Z$ (sum)
- ▶ $f^* : \subseteq X^* \rightrightarrows Y^*$, $f^* = \bigsqcup_{i=0}^{\infty} f^i$ (star)
- ▶ $\hat{f} : \subseteq X^{\mathbb{N}} \rightrightarrows Y^{\mathbb{N}}$, $\hat{f} = X_{i=0}^{\infty} f$ (parallelization)

Theorem (B. and Gherardi, Pauly 2009)

Weihrauch reducibility induces a (bounded) lattice with the sum \sqcap as infimum and the coproduct \sqcup as supremum and parallelization and the star operation as closure operators.

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Embedding of the Medvedev Lattice

Definition

Let $A \subseteq \mathbb{N}^{\mathbb{N}}$.

1. By $c_A : \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}, p \mapsto A$ we denote the **constant multi-valued function** with value $A \subseteq \mathbb{N}^{\mathbb{N}}$.
2. By $\text{id}|_A : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ we denote the **identity restricted to A** .

Proposition

Let $A, B \subseteq \mathbb{N}^{\mathbb{N}}$, $A \oplus B = \langle A \times B \rangle$, $A \otimes B = 0A \cup 1B$. Then

- ▶ $A \leq_M B \iff c_A \leq_W c_B \iff \text{id}|_B \leq_W \text{id}|_A$,
- ▶ $c_{A \oplus B} \equiv_W c_A \times c_B \equiv_W (c_A \sqcup c_B)^* \equiv_W \widehat{c_A \sqcup c_B}$,
- ▶ $c_{A \otimes B} \equiv_W c_A \sqcap c_B$,
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Closure Operators and Reducibilities

Remark

There is a vague analogy between versions of Weihrauch reducibilities induced by closure operators and computability theoretic reducibilities:

Closure operation	Reducibility
$f \leq_W g$	<i>many-one reducibility</i>
$f \leq_W g^*$	<i>weak truth-table reducibility</i>
$f \leq_W \hat{g}$	<i>Turing reducibility</i>

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Realizing Theorems

Definition

Any theorem T of form

$$(\forall x \in X)(\exists y \in Y) (x \in D \implies P(x, y))$$

is identified with $F : \subseteq X \rightrightarrows Y$ with $\text{dom}(F) := D$ and

$$F(x) := \{y \in Y : P(x, y)\}.$$

Definition (Choice)

The choice statement

$$(\forall \text{ closed } A \subseteq X)(\exists x \in X)(A \neq \emptyset \implies x \in A)$$

translates into the **choice operation**

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Weak König's Lemma

Proposition

$\text{WKL} \equiv_{\text{W}} C_{\{0,1\}^{\mathbb{N}}} \equiv_{\text{W}} C_{[0,1]^n}$ for all $n \geq 1$.

Theorems that have been studied in this context include:

- ▶ Weak König's Lemma, Hahn-Banach Theorem (Gherardi, Marcone 2008)
- ▶ Intermediate Value Theorem, Baire Category Theorem, Banach's Inverse Mapping Theorem, Open Mapping Theorem, Closed Graph Theorem, Uniform Boundedness Theorem (B., Gherardi 2009)
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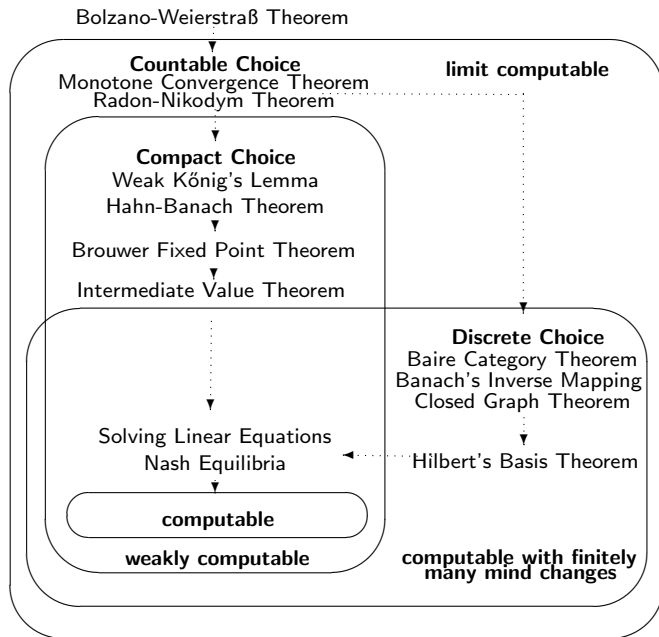
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Computable Analysis



The Choice Operation

Theorem (B. de Brecht and Pauly 2010)

- ▶ $f \leq C_{\{0\}} \iff f$ is computable,
- ▶ $f \leq_W C_{\mathbb{N}} \iff f$ comp. with finitely many mind changes,
- ▶ $f \leq_W C_{\{0,1\}^{\mathbb{N}}} \iff f$ is non-deterministically computable,
- ▶ $f \leq_W \widehat{C}_{\mathbb{N}} \iff f$ is limit computable,
- ▶ $f \leq_W C_{\mathbb{N}^{\mathbb{N}}} \iff f$ is Borel measurable.

Trees of Rational Complexes

Definition

- ▶ A **rational complex** is a set $R = \{B[x_1, r_1], \dots, B[x_n, r_n]\}$ of finitely many closed balls $B[x_i, r_i]$ in \mathbb{R}^n with rational centers $x_i \in \mathbb{Q}^n$ and radii $r_i \in \mathbb{Q}$ such that $\bigcup R$ is connected.
- ▶ By \mathbb{CQ}^n we denote the set of rational complexes.
- ▶ We write $A \Subset B$ if A is **compactly included** in B , i.e. $\bar{A} \subseteq B^\circ$ for $A, B \subseteq \mathbb{R}^n$.
- ▶ A **tree of rational complexes** is a pair (T, f) of a finitely bounded tree $T \subseteq \mathbb{N}^*$ and a function $f : T \rightarrow \mathbb{CQ}^n$ such that for all distinct $v, w \in \mathbb{N}^*$
 - ▶ $v \sqsubseteq w \implies \bigcup f(w) \subseteq \bigcup f(v)$,
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- ▶ By $A_{(T,f)} := \bigcap_{n=0}^{\infty} \bigcup_{w \in T \cap \mathbb{N}^n} \bigcup f(w)$ we denote **the closed set** $A_{(T,f)} \subseteq \mathbb{R}^n$ **represented by** (T, f) .

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Representation by Trees of Rational Complexes

Proposition

The map

$$(T, f, b) \mapsto A_{(T,f)}$$

that maps (T, f) together with a bound b to $A_{(T,f)}$, is computable and has a multi-valued computable right inverse, restricted to closed sets $A \subseteq [0, 1]^n$.

Corollary

For every non-empty co-c.e. closed set $A \subseteq [0, 1]^n$ there is a computable sequence $(A_i)_{i \in \mathbb{N}}$ of bi-computable compact sets $A_i \subseteq [-1, 2]^n$ that is compactly decreasing, i.e. $A_{i+1} \subseteq A_i$ for all $i \in \mathbb{N}$ and such that $A = \bigcap_{i \in \mathbb{N}} A_i$.

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Finding Connectedness Components

Lemma

Let (T, f) be a tree of rational complexes. Then the sets

$$C_p := \bigcap_{i=0}^{\infty} U f(p|i)$$

for $p \in [T]$ are exactly all connectedness components of $A_{(T,f)}$.

Definition

By $\text{Con}_n : \mathcal{A}_n \rightrightarrows \mathcal{A}_n$ we denote the map with

$$\text{Con}_n(A) := \{C : C \text{ is a connectedness component of } A\}$$

for every $n \geq 1$, where \mathcal{A}_n denotes the set of non-empty closed subsets $A \subseteq [0, 1]^n$ represented with negative information.

Theorem

$\text{Con}_n \equiv_W \text{WKL}$ for $n \geq 1$.

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Computability Properties of Connectedness Components

Corollary (le Roux, Ziegler 2009)

- ▶ *Any component of a co-c.e. closed set $A \subseteq [0, 1]^n$ with only finitely many components is co-c.e. closed.*
- ▶ *A non-empty co-c.e. closed set $A \subseteq [0, 1]^n$ without co-c.e. closed component has continuum many components.*

Corollary (Open problem of le Roux, Ziegler 2009)

There are co-c.e. closed sets $A \subseteq [0, 1]^n$ with only countably many connectedness components one of which is not co-c.e. closed.

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Every non-empty co-c.e. closed set $A \subseteq [0, 1]^n$ has a connectedness component C_p with a low description p , in particular C_p is the set of cluster points of a computable sequence.

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The Brouwer Fixed Point Theorem

Definition

- ▶ By $\mathcal{C}_n := \mathcal{C}([0, 1]^n, [0, 1]^n)$ we denote the set of continuous functions $f : [0, 1]^n \rightarrow [0, 1]^n$.
- ▶ By $\text{BFT}_n : \mathcal{C}_n \rightrightarrows [0, 1]^n$ we denote the operation defined by $\text{BFT}_n(f) := \{x \in [0, 1]^n : f(x) = x\}$ for $n \in \mathbb{N}$.
- ▶ By $\text{CC}_n \subseteq \mathcal{A}_n \rightrightarrows [0, 1]^n$ we denote the operation defined by $\text{CC}_n(A) := A$ for all non-empty connected closed $A \subseteq [0, 1]^n$ and $n \in \mathbb{N}$. We call CC_n **connected choice** (of dimension n).

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- ▶ By $\text{BFT}_n : \mathcal{C}_n \rightrightarrows [0, 1]^n$ we denote the operation defined by $\text{BFT}_n(f) := \{x \in [0, 1]^n : f(x) = x\}$ for $n \in \mathbb{N}$.
- ▶ By $\text{CC}_n : \subseteq \mathcal{A}_n \rightrightarrows [0, 1]^n$ we denote the operation defined by $\text{CC}_n(A) := A$ for all non-empty connected closed $A \subseteq [0, 1]^n$ and $n \in \mathbb{N}$. We call CC_n **connected choice** (of dimension n).

Theorem

$\text{BFT}_n \equiv_{\text{W}} \text{CC}_n$ for all $n \in \mathbb{N}$.

The Brouwer Fixed Point Theorem

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Connected Choice is Reducible to BFT

Lemma

$CC_n \leq_W BFT_n$ for all n .

Proof.

- ▶ Given a connected closed set $\emptyset \neq A \subseteq [0, 1]^n$ we construct a tree of rational complexes (T, f) that represents A .
- ▶ Since A is connected, there is a unique infinite path in T that we can find.
- ▶ This path yields a computable sequence (A_i) of bi-computable, effectively path-connected closed sets A_i that decreases compactly such that $A = \bigcap_{i=0}^{\infty} A_i$.
- ▶ We use the sequence (A_i) to construct functions $g_i : [0, 1]^n \rightarrow [0, 1]^n$ and $f := \text{id} + 2^{-4} \sum_{i=0}^{\infty} g_i$ with the property that A is the set of fixed points of f .



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BFT is Reducible to Connected Choice

Lemma

$\text{BFT}_n \leq_W \text{CC}_n$ for all n .

Proof.

- ▶ By $\text{Fix}_n : \mathcal{C}_n \rightarrow \mathcal{A}_n, f \mapsto \{x \in [0, 1]^n : f(x) = x\}$ we denote the **fixed point map** of dimension n .
- ▶ We note that $\text{CC}_n \circ \text{Con}_n \circ \text{Fix}_n(f) \subseteq \text{BFT}_n(f)$.
- ▶ If we can prove that $\text{Con}_n \circ \text{Fix}_n$ is computable, then $\text{BFT}_n \leq_W \text{CC}_n$ follows.
- ▶ Given f we can compute $A = \text{Fix}_n(f) = (f - \text{id}_{[0,1]^n})^{-1}\{0\}$.
- ▶ Hence we can compute a tree (T, f) of rational complexes representing A .
- ▶ Within this tree we can find an infinite path and hence a connectedness component since $\text{ind}(f, R)$ is computable for rational complexes R (as proved by Joe S. Miller 2002).



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Theorem (Joe S. Miller 2002)

A set $A \subseteq [0, 1]^n$ is the set of fixed points of a computable function $f : [0, 1]^n \rightarrow [0, 1]^n$ if and only if it is a non-empty co-c.e. closed set that contains a co-c.e. closed connectedness component.

Proposition

$(\text{Fix}_n, \text{Con}_n \circ \text{Fix}_n)$ is computable and has a single-valued computable right inverse for all $n \in \mathbb{N}$.

Proof.

Given (A, C) such that C is a connectedness component of A we can find f such that $C = \text{Fix}_n(f)$ and g such that $g^{-1}\{0\} = A$.

Then h with

$$h(x) = (1 - g(x))x + f(x)g(x)$$

is such that $\text{Fix}_n(f) = A$.



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Universality of Dimension Three

Proposition

The map

$$A \mapsto (A \times [0, 1] \times \{0\}) \cup (A \times A \times [0, 1]) \cup ([0, 1] \times A \times \{1\})$$

is computable and maps any non-empty closed $A \subseteq [0, 1]$ to a connected non-empty closed $A \subseteq [0, 1]^3$.

Theorem

For $n \geq 3$

$$CC_n \equiv_W BFT_n \equiv_W WKL \equiv C_{[0,1]}.$$

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Proposition

$$\frac{1}{2}C_{[0,1]} \leq_w CC_2 \leq_w C_{[0,1]}.$$

Corollary (Baigger 1985, Orevkov 1963)

- ▶ *There exists a computable function $f : [0, 1]^2 \rightarrow [0, 1]^2$ that has no computable fixed point $x \in [0, 1]^2$.*
- ▶ *There exists a non-empty connected co-c.e. closed subset $A \subseteq [0, 1]^2$ without computable point.*

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Aspects of Dimension

Proposition

$CC_0 <_W CC_1 <_W CC_2 \leq_W CC_3 \equiv_W CC_n$ for all $n \geq 3$.

- ▶ CC_0 is computable.
- ▶ $CC_1 \equiv_W BFT_1 \equiv_W IVT$ is non-uniformly computable, but not uniformly computable.
- ▶ CC_2 is not non-uniformly computable (by the Baigger/Orevkov example).
- ▶ CC_n is computably complete (equivalent to WKL) for $n \geq 3$.

Conjecture

$CC_2 <_W CC_3$.

Theorem (Idempotency)

$CC_1 <_W CC_1 \times CC_1 <_W CC_2$.

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