

A Hierarchy of c.e. degrees, unifying classes and natural definability

Rod Downey
Victoria University
Wellington
New Zealand

Oberwolfach, February 2012

REFERENCES

- Main project joint work with Noam Greenberg
- Totally ω -computably enumerable degrees and bounding critical triples (with Noam Greenberg and Rebecca Weber) *Journal of Mathematical Logic*, Vol. 7 (2007), 145 - 171.
- Turing degrees of reals of packing dimension 1 (with Greenberg), IPL.
- Totally $< \omega^\omega$ -computably enumerable degrees and m -topped degrees, Proceedings *TAMC 2006*.
- Finite Randomness, with Paul Brodhead and Keng Meng Ng
- Working with strong reducibilities above totally ω -c.e. degrees, (with George Barmpalias and Noam Greenberg) *Transactions of the American Mathematical Society*, Vol. 362 (2010), 777-813.
- Complexity of Integer Valued Randoms, (with Barmpalias)
- Some new natural definable degree classes. (with Greenberg)
- A Hierarchy of c.e. degrees, unifying classes and natural definability (with Greenberg)
- Indifference for genericity, Day, to appear.

MOTIVATION

- Understanding the **dynamic** nature of constructions, and **definability** in the natural structures of computability theory such as the computably enumerable sets and degree classes.
- Beautiful examples: (i) definable solution to Post's problem of Harrington and Soare
(ii) definability of the double jump classes for c.e. sets of Cholak and Harrington

- (iii) (Nies, Shore, Slaman) Any relation on the c.e. degrees invariant under the double jump is definable in the c.e. degrees iff it is definable in first order arithmetic.
- The proof of (i) and (ii) come from analysing the way the automorphism machinery fails. (ii) only gives $L_{\omega_1, \omega}$ definitions.

NATURAL DEFINABILITY

- This work is devoted to trying to find “natural” definitions.
- For instance, the NSS Theorem involves coding a standard model of arithmetic into the c.e. degrees, using parameters, and then dividing out by a suitable equivalence relation to get the (absolute) definability result.
- As articulated by Shore, we seek **natural** (e.g something that a lattice theorist might come up with) definable classes as per the following.

- A c.e. degree \mathbf{a} is promptly simple iff it is not cappable.
(Ambos-Spies, Jockusch, Shore, and Soare)

- (Downey and Lempp) A c.e. degree \mathbf{a} is contiguous iff it is locally distributive, meaning that

$$\forall \mathbf{a}_1, \mathbf{a}_2, \mathbf{b} (\mathbf{a}_1 \cup \mathbf{a}_2 = \mathbf{a} \wedge \mathbf{b} \leq \mathbf{a} \rightarrow \\ \exists \mathbf{b}_1, \mathbf{b}_2 (\mathbf{b}_1 \cup \mathbf{b}_2 = \mathbf{b} \\ \wedge \mathbf{b}_1 \leq \mathbf{a}_1 \wedge \mathbf{b}_2 \leq \mathbf{a}_2)),$$

holds in the c.e. degrees.

- (Ambos-Spies and Fejer) A c.e. degree \mathbf{a} is contiguous iff it is not the top of the non-modular 5 element lattice in the c.e. degrees.

- (Downey and Shore) A c.e. truth table degree is low_2 iff it has no minimal cover in the c.e. truth table degrees.
- (Ismukhametov) A c.e. degree is array computable iff it has a strong minimal cover in the degrees.

SECOND MOTIVATION: UNIFICATION

- It is quite rare in computability theory to find a single class of degrees which capture precisely the underlying dynamics of a wide class of apparently similar constructions.
- Example: promptly simple degrees again.
- Martin identified the high c.e. degrees as the ones arising from dense simple, maximal, hh-simple and other similar kinds of c.e. sets constructions.
- low_2 degrees and lattice properties.
- K-trivials; lots of people, especially Nies and Hirschfeldt.

- Our inspiration was the the **array computable degrees**.
- These degrees were introduced by Downey, Jockusch and Stob
- This class was introduced by those authors to explain a number of natural “multiple permitting” arguments in computability theory.

- Definition: A degree \mathbf{a} is called **array noncomputable** iff for all functions $f \leq_{wtt} \emptyset'$ there is a function g computable from \mathbf{a} such that

$$\exists^\infty x (g(x) > f(x)).$$

- Looks like “non-low₂.”
- Indeed many nonlow₂ constructions can be run with only the above. For example, every anc degree bounds a 1-generic.

- c.e. and degree are those that:
- (Kummer) Contain c.e. sets of infinitely often maximal Kolmogorov complexity
- (Downey, Jockusch, and Stob) bound disjoint c.e. sets A and B such that every separating set for A and B computes the halting problem
- Exactly those that have integer valued randoms (D-Barnikow) and have packing dimension 1 (D-Greenberg).
- (Cholak, Coles, Downey, Herrmann) The array noncomputable c.e. degrees form an invariant class for the lattice of Π_1^0 classes via the thin perfect classes

THE FIRST CLASS

- (Downey, Greenberg, Weber) We say that a c.e. degree \mathbf{a} is **totally ω -c.a.** iff for all functions $g \leq_T \mathbf{a}$, g is ω -c.a.. That is, there is a computable approximation $g(x) = \lim_s g(x, s)$, and a computable function h , such that for all x ,

$$|\{s : g(x, s) \neq g(x, s + 1)\}| < h(x).$$

- array computability is a uniform version of this notion where h can be chosen independent of g . Since \mathbf{a} is **not** totally ω -c.e. means that there is a function $g \leq \mathbf{a}$, such that for all $f \leq_{wtt} \emptyset'$, $\exists^\infty n (g(n) > f(n))$. Note the quantifier swap from anc.
- So every array computable degree (and hence every contiguous degree) is totally ω -c.a..

AND LATTICE EMBEDDINGS

- Lattice embedding into the c.e. degrees. (Lerman, Lachlan, Lempp, Solomon etc.)
- One central notion:
- (Downey, Weinstein) Three incomparable c.e. degrees $\mathbf{a}_0, \mathbf{b}, \mathbf{a}_1$ form a weak critical triple iff $\mathbf{a}_0 \cup \mathbf{b} = \mathbf{a}_1 \cup \mathbf{b}$ and there is a c.e. degree $\mathbf{c} \leq \mathbf{a}_0, \mathbf{a}_1$ with $\mathbf{a}_0 \leq \mathbf{b} \cup \mathbf{c}$.
- \mathbf{a}, \mathbf{b}_0 and \mathbf{b}_1 form a *critical triple* in a lattice L , if $\mathbf{a} \cup \mathbf{b}_0 = \mathbf{a} \cup \mathbf{b}_1$, $\mathbf{b}_0 \not\leq \mathbf{a}$ and for \mathbf{d} , if $\mathbf{d} \leq \mathbf{b}_0, \mathbf{b}_1$ then $\mathbf{d} \leq \mathbf{a}$.
- A lattice L has a weak critical triple iff it has a critical triple.

- Critical triples attempt to capture the “continuous tracing” needed in an embedding of the lattice M_5 below, first embedded by Lachlan.

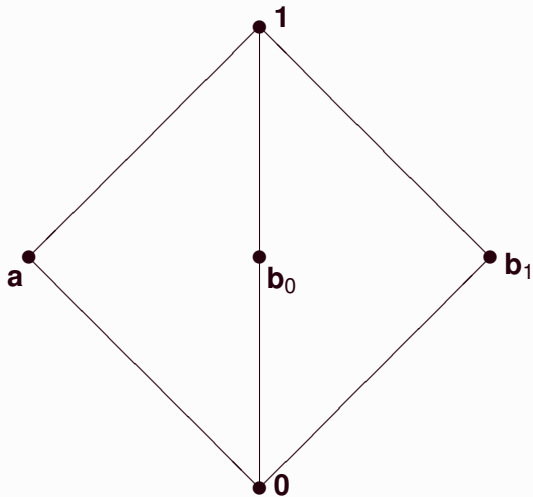


FIGURE: The lattice M_5

THEOREM (DOWNEY, WEINSTEIN)

There are initial segments of the c.e. degrees where no lattice with a (weak) critical triple can be embedded.

THEOREM (DOWNEY AND SHORE)

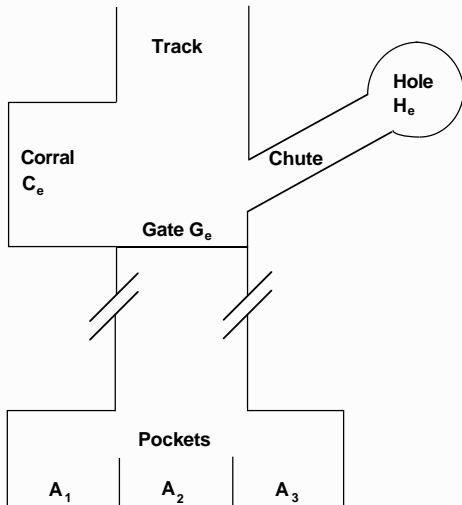
If \mathbf{a} is non- low_2 then \mathbf{a} bounds a copy of M_5 .

THEOREM (WALK)

Constructed a array noncomputable c.e. degree bounding no weak critical triple,

- and hence it was already known that array non-computability was not enough for such embeddings.

ANALYSING THE CONSTRUCTION



- $P_{e,i} : \Phi_e^A \neq B_i (i \in \{0, 1, 2\}, e \in \omega).$
- $N_{e,i,j} : \Phi_e(B_i) = \Phi_e(B_j) = f$ total implies f computable in A ,
 $(i, j \in \{0, 1, 2\}, i \neq j, e \in \omega.)$
- Associate $H_{\langle e,i \rangle}$ with $P_{e,i}$ and gate $G_{\langle e,i,j \rangle}$ with $N_{e,i,j}.$

- Balls may be follower balls (which are emitted from holes), or trace balls.
- $x = x_{e,n}^i$. that x is a follower is targeted for A_i for the sake of requirement $P_{e,i}$ and is our n^{th} attempt at satisfying $P_{e,i}$.
- otherwise it is a trace ball: $t_{e,i,m}^j(x)$ which indicates it is targeted for B_j and is the m^{th} trace:
- at any stage s things look like:
 $x_{e,n}^i, t_{e,i,1}^j, t_{e,i,2}^j, \dots, t_{e,i,m}^j$
- The key observation of Lachlan was that a requirement $N_{e,i,j}$ is only concerned with entry of elements into both B_i and B_j between expansionary stages

- When a ball is sitting at a hole it either gets released or it gets a new trace.
- When released a 1-2 sequence, say, moves down together and then stops at the first unoccupied 1-2 gate. All but the last one are put in the corral. The last one is the **lead trace**.
- Here things need to go thru one ball at a time and we retarget the lead trace as a 1-3 sequence or a 2-3 sequence. The current last trace is targeted for 1 it is a 1-3 sequence, else a 2-3 sequence.

ONE GATE

- The notion of a critical triple is reflected in the behaviour of **one gate**. This can be made precise with a tree argument.
- We have a 1-2 sequence with all but the last in the corral.
- the last needs to get thru. It's traces while waiting will be a 2-3 sequence, say. (or in the case of a critical triple, a sequence with a trace and a trace for the middle set A).
- Once it enters its target set, the the next comes out of corral and so forth.
- Now suppose that we want to do this below a degree \mathbf{a} . We would have a **lower gate** where thru drop waiting for some permission by the relevant set D .
- **We know that if \mathbf{d} is not totally ω -c.a. then we have a function $g \leq_T D$, $\Gamma^D = g$ which is not ω -c.a. for any witness f .**
- We force this enumeration to be given in a stage by stage manner $\Gamma^D = g[s]$.
- We ignore gratuitous changes by the opponent.

- Now we try to build a ω approximation to g to force D to give many permissions.
- thus, when the ball and its A -trace drop to the lower gate, then we enumerate an attempt at a ω -c.a. approximation to $\Gamma^D(n)[s]$.
- This is repeated each time the ball needs some permission.

A CHARACTERIZATION

THEOREM (DOWNEY, GREENBERG, WEBER)

- (I) *Suppose that \mathbf{a} is totally ω -c.a.. Then \mathbf{a} bounds no weak critical triple.*
- (II) *Suppose that \mathbf{a} is not totally ω -c.a.. Then \mathbf{a} bounds a weak critical triple.*
- (III) *Hence, being totally ω -c.a. is naturally definable in the c.e. degrees.*

- The proof of (i) involves simulating the Downey-Weinstein construction **enough** and guessing nonuniformly at the ω -c.a. witness.
- The other direction is a tree argument simulating the “one gate” scenario, as outlined.

A COROLLARY

- Recall, a set B is called **superlow** if $B' \equiv_{tt} \emptyset'$.

THEOREM (DOWNEY, GREENBERG, WEBER)

The low degrees and the superlow degrees are not elementarily equivalent. (Nies question)

- Proof: There are low copies of M_5 .
- Also: Cor. (DGW) There are c.e. degrees that are totally ω -c.a. and not array computable.

OTHER SIMILAR RESULTS

THEOREM (DOWNEY, GREENBERG, WEBER)

A c.e. degree \mathbf{a} is totally ω -c.a. iff there are c.e. sets A , B and C of degree $\leq_T \mathbf{a}$, such that

- (I) $A \equiv_T B$
- (II) $A \not\leq_T C$
- (III) For all $D \leq_{wtt} A, B$, $D \leq_{wtt} C$.

- (Downey and Greenberg) Actually D can be made as the infimum.

PRESENTING REALS

- A real A is called left-c.e. if it is a limit of a computable non-decreasing sequence of rationals.
- (eg) $\Omega = \sum_{U(\sigma) \downarrow} 2^{-|\sigma|}$, the halting probability.
- A c.e. prefix-free set of strings $A \in 2^{<\omega}$ **presents** left c.e. real α if $\alpha = \sum_{\sigma \in A} 2^{-|\sigma|} = \mu(A)$.

THEOREM (DOWNEY AND LAFORTE)

There exist noncomputable left c.e. reals α whose only presentations are computable.

THEOREM (DOWNEY AND TERWIJN)

The wtt degrees of presentations forms a Σ_3^0 ideal. Any Σ_3^0 ideal can be realized.

THEOREM (DOWNEY AND GREENBERG)

The following are equivalent.

- (I) \mathbf{a} is array noncomputable.
- (II) \mathbf{a} bounds a left c.e. real α and a c.e. set $B <_T \alpha$ such that if A presents α , then $A \leq_T B$.

- For example, this generalizes work of Stephan and Wu who proved part of this for K-trivials, which of course are array computable.
- Notice that if \mathbf{a} is array computable, it means that we can always present it via prefix free set of the **same** degree.

A HIERARCHY

- Lets re-analyse the 1-3-1 example.
- With more than one gate then when it drops down, it needs to have the same conditions met.
- That is, for each of the $f(i)$ many values j at the first gate there is some value $f(j, s)$ at the second.
- This suggests **ordinal notations**.
- (Strong Notation) Notations in Kleene's sense, except that we ask that the notation for an ordinal is given by an effective Cantor Normal Form.
- There is no problem for the for ordinals below ϵ_0 , and such notations are computably unique.

- Now we can define for a notation for an ordinal \mathcal{O} , a function to be \mathcal{O} -c.a. in an analogous way as we did for ω -c.a..
- e.g. g is $2\omega + 3$ c.e., if it had a computable approximation $g(x, s)$, which initially would allow at most 3 mind changes.
- Perhaps at some stage s_0 , this might change to $\omega + j$ for some j , and hence then we would be allowed j mind changes, and finally there could be a final change to some j' many mind changes.
- All low_2 .

ω^ω

- Analysing the 1-3-1 case, you realize that **that** construction needs at least ω^ω .

THEOREM (DOWNEY AND GREENBERG)

a is not totally $< \omega^\omega$ -c.a. iff **a** bounds a copy of M_5 .

- The proof in one way uses direct simulation of the pinball machine plus “not $< \omega^\omega$ ” permissions, building functions at the gates. At gate n build at level ω^n for each P_e of higher priority.
- In the reverse direction, we use level ω -nonuniform arguments where the inductive strategies are based on the failure of the previous level. Kind of like a level ω version of Lachlan non-diamond, using the Downey-Weinstein construction as a base.
- Corollary There are c.e. degrees that bound lattices with critical triples, yet do not bound copies of M_5 .

ADMISSIBLE RECURSION

THEOREM (GREENBERG, THESIS)

Let $\alpha > \omega$ be admissible. Let \mathbf{a} be an incomplete α -ce degree. TFAE.

- (1) \mathbf{a} computes a counting of α
- (2) \mathbf{a} bounds a 1-3-1
- (3) \mathbf{a} bounds a critical triple.

- Uses a theorem of Shore that if \mathbf{a} computes a cofinal sequence iff it computes a counting. Then the weak critical triple machinery can actually have a limit. (Plus Maass-Freidman)

THEOREM (DOWNEY AND GREENBERG)

Let ψ be the sentence “ \mathbf{a} bounds a critical triple but not a 1-3-1” and let α be admissible. Then α satisfies ψ iff $\alpha = \omega$.

- This is the first natural difference between R_ω and $R_{\omega_1^{CK}}$.
- Differences in Greenberg's thesis are all about coding.

m-TOPPED DEGREES

- Recall that a c.e. degree \mathbf{a} is called *m*-topped if it contains a c.e. set A such that for all c.e. $W \leq_T A$, $W \leq_m A$.

THEOREM (DOWNEY AND JOCKUSCH)

Incomplete ones exist, and are all low_2 . None are low.

THEOREM (DOWNEY AND SHORE)

*If \mathbf{a} is a c.e. low_2 degree then there is an *m*-topped incomplete degree $\mathbf{b} > \mathbf{a}$.*

THEOREM (DOWNEY AND GREENBERG)

Suppose that \mathbf{b} is totally $< \omega^\omega$ -c.a. Then \mathbf{a} bounds no m -topped degree.

- The point is that making an m -top is kind of like making \emptyset' on a tree: $\Phi_e^A = W_e$ implies $W_e \leq_m A$, with $\Phi_e^A \neq B$.
- (Downey and Greenberg) There is, however, a totally ω^ω degree that is an m -top (and hence the full power of nonlow₂ permitting is not needed), and arbitrarily complex degrees that are not.

EXPLORING THE HIERARCHY

- Theorem (Downey and Greenberg) If $n \neq m$ then the classes of totally ω^n -c.a. and totally ω^m -degrees are distinct. Also there is a c.e. degree **a** which is not totally $< \omega^\omega$ -c.a. yet is totally ω^ω -c.a..
- Also totally $< \omega^\omega$ not ω^n for any n .
- This is also true at limit levels higher up.

THEOREM (DOWNEY AND GREENBERG)

There are *maximal* (e.g.) totally ω -c.a. degrees. These are totally ω -c.a. and each degree above is *not* totally ω -c.a. degree.

- Thus they are another definable class.
- As are maximal totally $< \omega^\omega$ -c.a. degrees.

THEOREM (DOWNEY AND GREENBERG)

***a** is totally ω^2 -c.a. implies there is some totally ω -c.a. degree **b** below **a** with no critical triple embeddable in **[b, a]**.*

- Question: Are totally ω^n -c.a. degrees all definable.
- Other assorted results about contiguity higher up.

THE PROMPT CASE

- What about zero bottom? It is possible to get the infimum to be zero.
- (DG) For the classes \mathcal{C} above, we can define a notion of being **promptly** \mathcal{C} then show that if \mathbf{a} is such for the ω case, then it bound a critical triple with infimum $\mathbf{0}$.
- (DG) \mathbf{a} bounds a pairs of separating classes the degrees of whose members form minimal pairs.
- etc.

NORMAL NOTATIONS?

THEOREM (DOWNEY AND GREENBERG)

Suppose that \mathbf{a} is low_2 . Then there is a notation \mathcal{O} relative to which \mathbf{a} is totally ω^2 -c.a.

- Δ_3^0 nonuniform version of Epstein-Haass-Kramer/Ershov.

FINITE RANDOMNESS

- Replace tests by finite tests. Several variations.
- If no conditions then on Δ_2^0 reals MLR and finite random coincide.
- If the test $\{V_n : n \in \omega\}$ has $|V_n| < g(n)$ for computable g , we say it is computably finite random. (I.e. if it passes all such tests.)

THEOREM (BRODHEAD, DOWNEY, NG)

The c.e. degrees \mathbf{a} containing no such real are the totally ω -c.a. degrees.

- Compare with

THEOREM (DOWNEY AND GREENBERG)

The c.e. degrees containing sets of packing dimension 1 are exactly the anc degrees.

WORKING ABOVE SUCH DEGREES

- With George Barmpalias, Noam and I began to look at the effect of being able to compute such a degree, but with **strong reducibilities**.

THEOREM (BARMPALIAS, DOWNEY, GREENBERG)

Every set in (c.e.) \mathbf{a} is wtt reducible to a ranked one iff every set in \mathbf{a} is wtt reducible to a hypersimple set iff \mathbf{a} is totally ω -c.a.

THEOREM (BARMPALIAS, DOWNEY, GREENBERG)

A computably enumerable \mathbf{a} computes a pair of left c.e. reals with no upper bound in the cL degrees iff \mathbf{a} computes a left c.e. real not cL reducible to a random left c.e. real iff \mathbf{a} is anc.

OTHER WORK

- A set I is called **indifferent** for A and class C if changing A on any position in I keeps A in C .
- For example I is indifferent ifor A for 1-genericity if anything I -equivalent to A is 1-generic.
- (Day) \mathbf{a} can compute a 1-generic B which can compute and indifferent subset of itself if \mathbf{a} is not totally $< \omega^\omega$ -c.a.. Conversely if \mathbf{a} can do this it must not be totally ω -c.a.
- Nice open question to sort this one out.

CONCLUSIONS

- We have defined a new hierarchy of degree classes within low_2 .
- This hierarchy **unifies** many constructions, and
- Provides **new** natural degree definable degree classes.
- Many questions remain. eg, is array computable definable in the degrees. Are these classes definable in the degrees?
- Can they be used higher up in relativized form, say?