

# $RT_k^1$ , $SRT_\ell^2$ and $\leq_{sc}$ reducibility

Reed Solomon  
University of Connecticut

(with Damir Dzhafarov, Ludovic Patey and Linda Brown Westrick)

# Set up for reverse mathematics

Second order arithmetic: number and set variables,  $+$ ,  $\cdot$ ,  $\leq$ ,  $\in$ ,  $0$ ,  $1$ .

$Z_2$ :  $PA^-$  + set induction + comprehension scheme.

Models:  $\mathcal{M} = (M, S_M, +_M, \cdot_M, \dots)$  with  $S_M \subseteq \mathcal{P}(M)$ .

Project: Prove implications (or equivalences) between theorems (or subsystems) over a weak base theory.

$RCA_0$ :  $PA^-$  +  $\Sigma_1^0$  induction +  $\Delta_1^0$  comprehension scheme.

If  $M = \omega$ ,  $\mathcal{M}$  is an  $\omega$ -model and we identify it with  $S \subseteq \mathcal{P}(\omega)$ .

An  $\omega$  model satisfies  $PA^-$  and full induction.

$(\omega, S) \models RCA_0 \Leftrightarrow S$  closed under  $\oplus$  and  $\leq_T$ .

REC is the minimal  $\omega$ -model.

# Ramsey's theorem for singletons and induction

$RT_k^1 : \forall f : \mathbb{N} \rightarrow k \exists X (X \text{ infinite} \wedge f \upharpoonright X \text{ constant})$

For all  $k \in \omega$ ,  $REC \models RT_k^1$  and  $RCA_0 \vdash RT_k^1$ .

$RT_{<\infty}^1 : \forall k (RT_k^1)$

Again,  $REC \models RT_{<\infty}^1$ .

## Theorem (Hirst)

Over  $RCA_0$ ,  $RT_{<\infty}^1$  is equivalent to  $B\Sigma_2^0$ .

For any  $\omega$ -model  $S$  of  $RCA_0$ ,  $S \models RT_{<\infty}^1$ .

But  $RCA_0 \not\vdash RT_{<\infty}^1$ .

# COH

COH: For every sequence of sets  $\langle R_i \mid i \in \mathbb{N} \rangle$ , there is an infinite  $C$  s.t.

$$\forall i (C \subseteq^* R_i \vee C \subseteq^* \bar{R}_i)$$

You can think of COH as an infinite collection of  $RT_2^1$  instances

$$f_i(x) = \begin{cases} 0 & \text{if } x \in \bar{R}_i \\ 1 & \text{if } x \in R_i \end{cases}$$

to solve simultaneously but each allowing finitely many errors. Or as an infinite collection of  $RT_4^1$  instances

$$f_i(x) = \begin{cases} 0 & \text{if } x \in \bar{R}_{2i} \cap \bar{R}_{2i+1} \\ 1 & \text{if } x \in \bar{R}_{2i} \cap R_{2i+1} \\ 2 & \text{if } x \in R_{2i} \cap \bar{R}_{2i+1} \\ 3 & \text{if } x \in R_{2i} \cap R_{2i+1} \end{cases}$$

## $RT_2^2$ , $SRT_2^2$ and $D_2^2$

Let  $f : [\mathbb{N}]^2 \rightarrow 2$ .  $H$  is homogeneous for  $f$  if  $f \upharpoonright [H]^2$  is constant.

$$RT_2^2 : \quad \forall f : [\mathbb{N}]^2 \rightarrow 2 \exists H (H \text{ is infinite and homogenous})$$

( $RT_2^2$  can be proved using  $\omega + 1$  successive applications of  $RT_2^1$ .)

We say  $f$  is stable if  $\lim_y f(x, y)$  exists for every  $x$ .

$$SRT_2^2 : \quad \forall \text{ stable } f : [\mathbb{N}]^2 \rightarrow 2 \exists H (H \text{ is infinite and homogenous})$$

We say  $H$  is limit-homogeneous for a stable  $f$  if there is a color  $i$  such that  $\lim_y f(x, y) = i$  for every  $x \in H$ .

$$D_2^2 : \quad \forall \text{ stable } f : [\mathbb{N}]^2 \rightarrow 2 \exists H (H \text{ is infinite and limit homogenous})$$

# Connecting $D_2^2$ , $RT_2^2$ , $SRT_2^2$ and COH

$D_2^2$  is equivalent to  $SRT_2^2$  over  $RCA_0 + B\Sigma_2^0$ .

(Cholak, Jockusch and Slaman)  $RT_2^2$  is equivalent to  $SRT_2^2 + COH$  over  $RCA_0$ .  $COH$  does not imply  $RT_2^2$  over  $RCA_0$  (for induction reasons).

(Hirschfeldt and Shore) There is an  $\omega$ -model of  $RCA_0 + COH$  which does not satisfy  $RT_2^2$ .

(Chong, Slaman and Yang) There is a nonstandard model of  $RCA_0 + SRT_2^2$  which is not a model of  $RT_2^2$ .

Question: Does  $SRT_2^2$  imply  $RT_2^2$  on  $\omega$ -models of  $RCA_0$ ?

## $\omega$ -reducibility

Let  $P$  be a true  $\Pi_2^1$  sentence of the form

$$\forall X (\varphi(X) \rightarrow \exists \hat{X} \psi(X, \hat{X}))$$

where  $\varphi$  and  $\psi$  are arithmetic statements. We refer to an  $X$  such that  $\varphi(X)$  as a  $P$ -instance and we refer to the corresponding witnesses  $\hat{X}$  such that  $\psi(X, \hat{X})$  as solutions to  $X$ .

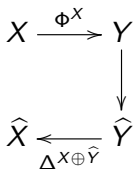
Given two principles of this form  $P$  and  $Q$ , rather than asking if  $\text{RCA}_0 \vdash Q \rightarrow P$ , we ask how they compare on  $\omega$  models.

$$\begin{aligned} P \leq_{\omega} Q &\Leftrightarrow \forall \text{ Turing ideal } S (S \models Q \rightarrow S \models P) \\ &\Leftrightarrow \text{every } \omega\text{-model of } \text{RCA}_0 + Q \text{ is an } \omega\text{-model of } \text{RCA}_0 + P \end{aligned}$$

Question: Does  $\text{RT}_2^2 \leq_{\omega} \text{SRT}_2^2$ ? Does  $\text{COH} \leq_{\omega} \text{SRT}_2^2$ ?

## Stronger reducibility: $P \leq_c Q$

$P \leq_c Q \Leftrightarrow$  for every  $P$ -instance  $X$ , there is a  $Q$ -instance  $Y \leq_T X$  such that for every solution  $\hat{Y}$  of  $Y$ , there is a solution  $\hat{X}$  of  $X$  with  $\hat{X} \leq_T X \oplus \hat{Y}$ .





## Example 1

$$\text{SRT}_2^2 \leq_c \text{D}_2^2$$

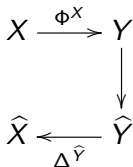
Given an  $\text{SRT}_2^2$  instance  $f : [\omega]^2 \rightarrow 2$ , we view  $f$  as a  $\text{D}_2^2$  instance. Let  $H$  be an infinite limit-homogeneous set for  $f$  with color  $i$ . We thin  $H$  to a homogeneous set:

- Set  $h_0 =$  the least element of  $H$ .
- Let  $h_{n+1} =$  the least element  $x \in H$  such that  $x > h_n$  and  $f(h_m, x) = i$  for all  $m \leq n$ .
- The set  $\{h_0, h_1, \dots\}$  is homogeneous for  $f$ .

This procedure uses both the  $\text{D}_2^2$  solution  $H$  and the  $\text{SRT}_2^2$  instance  $f$  to compute the  $\text{SRT}_2^2$  solution to  $f$ .

## Stronger reducibility: $P \leq_{sc} Q$

$P \leq_{sc} Q \Leftrightarrow$  for every  $P$ -instance  $X$ , there is a  $Q$ -instance  $Y \leq_T X$  such that for every solution  $\hat{Y}$  of  $Y$ , there is a solution  $\hat{X}$  of  $X$  with  $\hat{X} \leq_T \hat{Y}$ .



## Example 2

$$RT_{<\infty}^1 \leq_{sc} RT_2^2$$

Given  $f : \omega \rightarrow k$ . Define  $f$ -computable coloring  $g : [\omega]^2 \rightarrow 2$

$$g(x, y) = \begin{cases} 0 & \text{if } f(x) = f(y) \\ 1 & \text{if } f(x) \neq f(y) \end{cases}$$

Let  $H$  be an infinite homogeneous set for  $H$ . We must have  $g \upharpoonright [H]^2 = 0$ , so  $H$  is homogeneous for  $f$  as well.

Once we have  $RT_2^2$  solution  $H$ , we do not need to use  $RT_{<\infty}^1$  instance  $f$  to help compute  $RT_{<\infty}^1$  solution.

### Example 3

$$RT_k^1 \leq_{sc} D_k^2 \leq_{sc} SRT_k^2$$

Given  $f : \omega \rightarrow k$ . Define  $f$ -computable coloring  $g : [\omega]^2 \rightarrow k$  by  $g(x, y) = f(x)$ . The coloring  $g$  is stable and any limit-homogeneous set for  $g$  is monochromatic for  $f$ .

### Theorem (Dzhafarov)

- $SRT_2^2 \not\leq_{sc} D_2^2$  and in fact  $SRT_2^2 \not\leq_{sc} D_{<\infty}^2$
- $COH \not\leq_{sc} SRT_2^2$

A list of questions from Hirschfeldt, Jockusch and Dzhafarov:

- 1 Motivating Question: Does  $RT_2^2 \leq_\omega SRT_2^2$ ? Does  $COH \leq_\omega SRT_2^2$ ?
- 2 Does  $COH \leq_c SRT_2^2$ ?
- 3 Does  $RT_3^1 \leq_{sc} SRT_2^2$ ?
- 4 Does  $RT_k^1 \leq_{sc} SRT_\ell^2$  when  $k < \ell$ ?
- 5 Does  $COH \leq_{sc} SRT_\ell^2$  for  $\ell > 2$ ? Does  $COH \leq_{sc} SRT_{<\infty}^2$ ?

Theorem (Dzhafarov, Patey, Solomon and Westrick)

- If  $k > \ell$ , then  $RT_k^1 \not\leq_{sc} SRT_\ell^2$ .
- $COH \not\leq_{sc} SRT_{<\infty}^2$  (almost certainly).

Thank you!