

A theory which *really* doesn't have a computable  
model

Patrick Lutz

UC Berkeley

Joint work with James Walsh

# Tennenbaum's Theorem

Gödel's completeness theorem. Every consistent theory has a model.

Natural question. Does every **computably axiomatizable** consistent theory have a **computable** model?

Answer. **No!**

**Two methods.**

Method 1: Direct construction.

E.g. a theory whose models all code paths through a computable tree with no computable paths.

Method 2: Tennenbaum's Theorem.

E.g.  $PA + \neg \text{Con}(PA)$ ,  $RCA_0$ ,  $ZF$ , ...

## Method 1: Direct construction.

**Fact.** There is a computable infinite binary tree,  $T$ , with no computable infinite path.

**Language.**

- 0** Constant
- S** Unary function
- A** Unary relation

**Idea.** Truth values of  $A(0), A(S(0)), A(S(S(0))), \dots$  code a path through  $T$

**Theory.** For all  $n$ ,

$$\bigvee_{\sigma \in T_n} \left( \bigwedge_{\substack{i \leq n \\ \sigma(i)=1}} A(S^i(0)) \wedge \bigwedge_{\substack{i \leq n \\ \sigma(i)=0}} \neg A(S^i(0)) \right)$$

where  $T_n =$  set of nodes in  $T$  of height  $n$ .

## Method 2: Tennenbaum's Theorem.

**Theorem (Tennenbaum).** No nonstandard model of PA is computable.

**Corollary.**  $PA + \neg \text{Con}(PA)$  has no computable model.

*Proof.*  $\mathbb{N} \not\models PA + \neg \text{Con}(PA)$

$\implies PA + \neg \text{Con}(PA)$  has only non-standard models

$\implies PA + \neg \text{Con}(PA)$  has only non-computable models.

$RCA_0, ZF, ZFC, \dots$  can be proved to have no computable models by adapting the proof of Tennenbaum's Theorem

# Pakhomov's Theorem

Tennenbaum: No nonstandard model of PA is computable

Pakhomov: That depends on what language you use to express PA!

Key notion. Definitional equivalence.

Informally. Theories  $T$  and  $T'$  in languages  $L$  and  $L'$  are definitionally equivalent if they are the same theory, but with different choices of what notions to take as primitive

Example. PA and ZF – Infinity +  $\neg$ Infinity + TransitiveClosure

Via the Ackermann interpretation (a number represents a set via its hereditary base 2 expansion)

Pakhomov's Theorem (informal version). All of the theories listed on the previous slide are definitionally equivalent to a theory with a computable model

**Definition.** Suppose we have theories  $T \subseteq T'$  in languages  $L \subseteq L'$ .  $T'$  is a **definitional extension** of  $T$  if:

- For every constant symbol  $c$  in  $L' \setminus L$ , there is an  $L$ -formula  $\varphi_c(x)$  such that

$$T' \vdash \forall x (\varphi_c(x) \longleftrightarrow x = c)$$

and similarly for function and relation symbols.

- $T'$  is conservative over  $T$ .

**Every symbol in  $L'$  has an “ $L$ -definition”**

**Example.** Let  $T = \text{Th}(\mathbb{Z}, +, x)$  and  $T' = \text{Th}(\mathbb{Z}, +, x, \leq)$

$$\varphi_{\leq}(x, y) = \exists z_1, z_2, z_3, z_4 [x + (z_1^2 + z_2^2 + z_3^2 + z_4^2) = y]$$

**Definition.** Theories  $T$  and  $T'$  in (disjoint) languages  $L$  and  $L'$  are **definitionally equivalent** if they have a common definitional extension

**Example.**  $T = \text{Th}(\mathbb{Z}, +)$  and  $T' = \text{Th}(\mathbb{Z}, -)$



**Definition.** Theories  $T$  and  $T'$  in (disjoint) languages  $L$  and  $L'$  are **definitionally equivalent** if they have a common definitional extension

**Comment.** Definitional equivalence = **bi-interpretability where the home sort stays the same**

$T, T'$  definitionally equivalent  $\implies$  Every  $L$  symbol has an  $L'$ -definition and vice-versa

**Important point.** Suppose  $T$  and  $T'$  are definitionally equivalent

From a model  $M \models T$  we get a model  $N \models T'$  by interpreting symbols of  $L'$  according to their  $L$ -definitions

Similarly, from a model  $N \models T'$  we get a model  $M \models T$

**Theorem (Pakhomov).** There is a theory definitionally equivalent to ZF which has a computable model

**Question (Pakhomov).** Is every computably axiomatizable, consistent theory **definitionally equivalent** to a theory with a computable model?

(By Pakhomov's Theorem, Tennenbaum's Theorem no longer answers this question)

**Answer.** No.

**Theorem (L.-Walsh).** There is a computably axiomatizable, consistent theory  $T$  such that no theory which is definitionally equivalent to  $T$  has a computable model

**The proof uses non-trivial model theory**

How does model theory help?

**Theorem (L.-Walsh).** There is a computably axiomatizable, consistent theory  $T$  such that no theory which is definitionally equivalent to  $T$  has a computable model

**Idea.** Suppose we have

- $T$  theory (in a finite language) with no computable models
- $T'$  definitionally equivalent to  $T$
- $N$  model of  $T'$

**Goal:** Show  $N$  is not computable

Inside  $N$ , we can define a model  $M \models T$

**We would like to show that if  $N$  is computable, so is  $M$**

**Assume:**  $N$  has quantifier elimination

- $N$  has QE  $\implies M$  is definable by quantifier-free formulas
- $\implies M$  is computable from  $N$
- $\implies N$  is not computable

**Problem.** QE not preserved by definitional equivalence

**Summary.** Suppose  $T$  and  $T'$  are definitionally equivalent and no model of  $T$  is computable and let  $N \models T'$

$N$  has QE  $\implies N$  computes a model of  $T \implies N$  not computable

**Problem.** QE not preserved by definitional equivalence

**Example.**  $T = \text{Th}(\mathbb{Z}, \leq, S)$  and  $T' = \text{Th}(\mathbb{Z}, \leq)$ .

The successor function is definable in  $T'$ , **but not without quantifiers**

**Solution.** Identify some model-theoretic tameness property which:

1. implies QE
2. is preserved by definitional equivalence

**(Almost) Perfect tool:** Laskowski's theory of mutual algebraicity  
(Thanks, James Hanson)

**Actually, only gives a weak form of QE**

**Definition.**  $\varphi(\bar{x})$  is **mutually algebraic** over  $M$  if there is some  $k \in \mathbb{N}$  such that for all nontrivial partitions  $\bar{x} = \bar{x}_0 \cup \bar{x}_1$  and all  $\bar{a}$  in  $M$ ,

$$|\{\bar{b} \mid M \models \varphi(\bar{a}, \bar{b})\}| \leq k.$$

**Example.**  $M = (\mathbb{Z}, +)$

$x = y + 3$       **mutually algebraic**

$x = y + z + 3$       **not mutually algebraic**

**Definition.**  $M$  is mutually algebraic if every formula is equivalent to a Boolean combination of formulas mutually algebraic over  $M$

**Example.**  $(\mathbb{Z}, S)$       **mutually algebraic**

$(\mathbb{Q}, \leq)$       **not mutually algebraic**

**Two key facts**

**Fact.** Mutual algebraicity is preserved by definitional equivalence

**Theorem (Laskowski).** If  $M$  is mutually algebraic then every formula is equivalent to a Boolean combination of mutually algebraic formulas **with only existential quantifiers**

$T$  theory with no computable models  
 $T'$  definitionally equivalent to  $T$   
 $N$  model of  $T'$

Old strategy. Hope that  $N$  has QE

$N$  has QE  $\implies N$  computes a model of  $T$   
 $\implies N$  not computable

New strategy. Pick  $T$  such that all its models are mutually algebraic

$N \models T' \implies N$  is mutually algebraic  
 $\implies N$  has weak QE  
 $\implies N$  can computably approximate a model of  $T$

Idea. Pick  $T$  such that all its models are mutually algebraic and none of its models can be computably approximated

The counterexample



**Idea.** Pick a theory such that all its models are mutually algebraic and none of its models can be computably approximated

**Definition.** Given  $f: \mathbb{N} \rightarrow \mathbb{N}$ ,  $x \in 2^\omega$  is  **$f$ -guessable** if there is an algorithm which, for every  $n$ , enumerates a list of at most  $O(f(n))$  strings, one of which is  $x \upharpoonright n$

**Proposition.** There is a computable infinite binary tree,  $T$ , with no  $n^2$ -guessable paths

E.g. a tree whose paths are all Martin-Löf random

**Language.**

- 0** Constant
- S** Unary function
- A** Unary relation

**Theory.** Two parts:

1.  $\text{Th}(\mathbb{Z}, 0, S)$
2.  $A(0), A(S(0)), A(S^2(0)), \dots$  codes a path through  $T$

1 ensures mutual algebraicity, 2 ensures no models computably approximable