# The set-theory of Compact spaces and converging sequences and stuff

Alan Dow

Department of Mathematics<sup>1</sup> University of North Carolina Charlotte

April 1, 2012

<sup>1</sup>and Statistics

I want to discuss some of the set-theory arising in the investigation of the extent to which converging sequences control topological behavior in compact spaces. I want to discuss some of the set-theory arising in the investigation of the extent to which converging sequences control topological behavior in compact spaces.

I will discuss historical background in order to motivate some of my own newish - new results. I'll try to present it to show them as natural questions and also end with a brief list of unsolved attractive problems. I want to discuss some of the set-theory arising in the investigation of the extent to which converging sequences control topological behavior in compact spaces.

I will discuss historical background in order to motivate some of my own newish - new results. I'll try to present it to show them as natural questions and also end with a brief list of unsolved attractive problems.

Converging sequences in this talk will come in two flavors

 $\omega$ +1 means converging sequence with limit  $\omega_1$ +1 means co-countably converging

# $G_{\delta}$ -points, Frechet

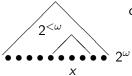
æ

< □ > < □ > < □ > < □ > < □ > < □ >

## building up more complicated spaces

< ロ > < 同 > < 回 > < 回 >

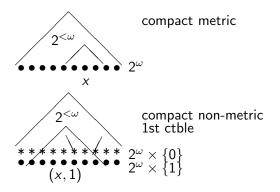
## building up more complicated spaces



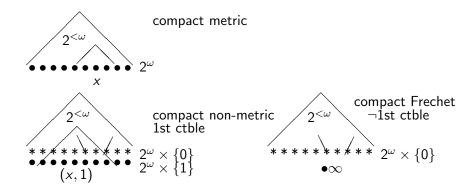
compact metric

∃ >

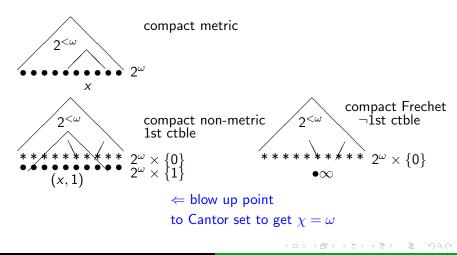
## building up more complicated spaces



## building up more complicated spaces



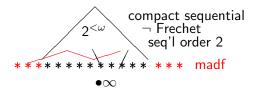
## building up more complicated spaces



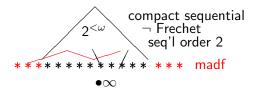
## converging sequences but not Frechet

A B > A B >

## converging sequences but not Frechet

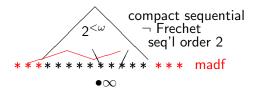


## converging sequences but not Frechet



## $\omega + 1 \not\subset \quad \beta \mathbb{N} \supset \omega_1 + 1$ -sequence

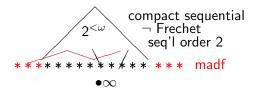
## converging sequences but not Frechet



 $\begin{array}{ll} \exists b_1 \mathbb{N} & \not\supset \beta \omega \\ \text{completely} \\ \text{divergent } \mathbb{N} \\ b_1 \mathbb{N} \supset \omega + 1 \end{array}$ 

## $\omega + 1 \not\subset \quad \beta \mathbb{N} \supset \omega_1 + 1$ -sequence

## converging sequences but not Frechet



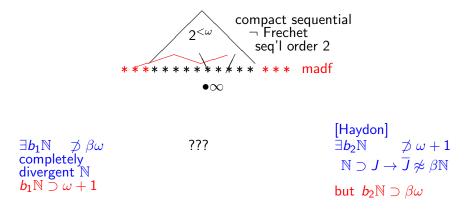
 $\begin{array}{ll} \exists b_1 \mathbb{N} & \not\supset \beta \omega \\ \text{completely} \\ \text{divergent } \mathbb{N} \\ b_1 \mathbb{N} \supset \omega + 1 \end{array}$ 

 $\begin{array}{l} [\mathsf{Haydon}] \\ \exists b_2 \mathbb{N} & \not\supset \omega + 1 \\ \mathbb{N} \supset J \rightarrow \overline{J} \not\approx \beta \mathbb{N} \end{array} \\ \text{but } b_2 \mathbb{N} \supset \beta \omega \end{array}$ 

 $\omega + 1 \not\subset \beta \mathbb{N} \supset \omega_1 + 1$ -sequence

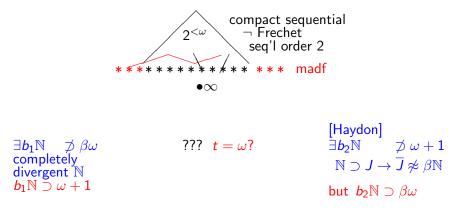


## converging sequences but not Frechet

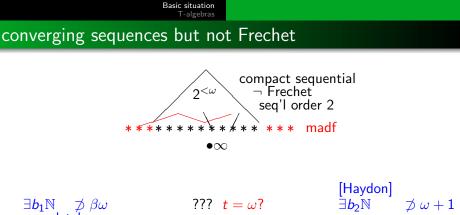


 $\omega + 1 \not\subset \beta \mathbb{N} \supset \omega_1 + 1$ -sequence





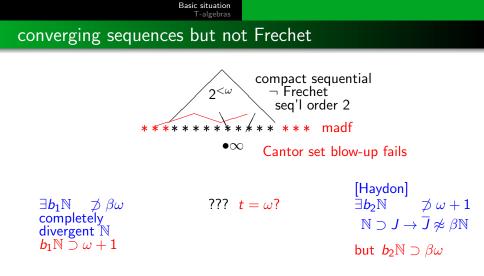
 $\omega + 1 \not\subset \quad \beta \mathbb{N} \supset \omega_1 + 1$ -sequence



 $\begin{array}{ll} \exists b_1 \mathbb{N} & \not\supset \beta \omega & \qquad ??? \quad t = \omega? & \qquad \exists b_2 \mathbb{N} & \not\supset \omega + 1 \\ \text{completely} & & \qquad \mathbb{N} \supset J \rightarrow \overline{J} \not\approx \beta \mathbb{N} \\ \text{divergent } \mathbb{N} & & \qquad b_1 \mathbb{N} \supset \omega + 1 & \qquad but \quad b_2 \mathbb{N} \supset \beta \omega \end{array}$ 

 $\omega+1
ot\subset \ eta\mathbb{N}\supset\omega_1{+}1 ext{-sequence}$ 

note: in  $eta\omega_1$  ,  $\omega_1$  is completely divergent, but  $eta\omega_1 \supset (\omega_1{+}1)$ 



 $\omega + 1 \not\subset \quad \beta \mathbb{N} \supset \omega_1 + 1$ -sequence

note: in  $\beta \omega_1$ ,  $\omega_1$  is completely divergent, but  $\beta \omega_1 \supset (\omega_1+1)$ 

# countable tightness, ie. $t = \omega$



Alan Dow the set-theory of compact spaces

< ロ > < 同 > < 回 > < 回 >

э

## countable tightness, ie. $t = \omega$

#### Fact

a space is sequential if A
 = U<sub>α∈ω1</sub> A<sup>(α)</sup> − iteratively add limits of converging sequences

## countable tightness, ie. $t = \omega$

#### Fact

a space is sequential if A
 = ∪<sub>α∈ω1</sub> A<sup>(α)</sup> − iteratively add limits of converging sequences

• a space is 
$$t=\omega$$
 if  $\overline{A}=igcup\{\overline{B}:B\in [A]^\omega\}$ 

# countable tightness, ie. $t = \omega$

#### Fact

- a space is sequential if A
   = ∪<sub>α∈ω1</sub> A<sup>(α)</sup> − iteratively add limits of converging sequences
- a space is  $t = \omega$  if  $\overline{A} = \bigcup \{\overline{B} : B \in [A]^{\omega} \}$
- [Sapirovskii] compact  $t > \omega$  iff X contains a free  $\omega_1$ -sequence

# countable tightness, ie. $t = \omega$

#### Fact

- a space is sequential if A
   = ∪<sub>α∈ω1</sub> A<sup>(α)</sup> − iteratively add limits of converging sequences
- a space is  $t = \omega$  if  $\overline{A} = \bigcup \{\overline{B} : B \in [A]^{\omega} \}$
- [Sapirovskii] compact  $t > \omega$  iff X contains a free  $\omega_1$ -sequence
- [Juhasz-Szentmiklossy] iff X contains a converging free ω<sub>1</sub>-sequence

# countable tightness, ie. $t = \omega$

#### Fact

- a space is sequential if A
   = ∪<sub>α∈ω1</sub> A<sup>(α)</sup> − iteratively add limits of converging sequences
- a space is  $t = \omega$  if  $\overline{A} = \bigcup \{\overline{B} : B \in [A]^{\omega} \}$
- [Sapirovskii] compact  $t > \omega$  iff X contains a free  $\omega_1$ -sequence
- [Juhasz-Szentmiklossy] iff X contains a converging free ω<sub>1</sub>-sequence

so, oddly, containing a converging  $\omega_1$ -sequence is a largeness property (recall  $\beta \mathbb{N} \supset \omega_1 + 1$ )

< 🗇 🕨 < 🖻 🕨

## dichotomies and questions

## Efimov

does each compact space contain one of  $\omega$ +1 or  $\beta \omega$ ?

.⊒ . ⊳

## dichotomies and questions

## Efimov

does each compact space contain one of  $\omega$ +1 or  $\beta \omega$ ?

#### Juhasz

does each compact space contain one of  $\omega$ +1 or  $\omega_1$ +1?

## dichotomies and questions

#### Efimov

does each compact space contain one of  $\omega$ +1 or  $\beta \omega$ ?

#### Juhasz

does each compact space contain one of  $\omega$ +1 or  $\omega_1$ +1?

### Juhasz

do  $t = \omega$  compact spaces contain a  $G_{\delta}$ -point or a  $G_{\omega_1}$ -point?

## dichotomies and questions

#### Efimov

does each compact space contain one of  $\omega$ +1 or  $\beta \omega$ ?

#### Juhasz

does each compact space contain one of  $\omega$ +1 or  $\omega_1$ +1?

#### Juhasz

do  $t = \omega$  compact spaces contain a  $G_{\delta}$ -point or a  $G_{\omega_1}$ -point?

#### celebrated Moore-Mrowka

Is every compact space of countable tightness also sequential?

Say that an Efimov space is a compact space containing neither  $\omega+1$  nor  $\beta\omega.$ 

Say that an Efimov space is a compact space containing neither  $\omega+1$  nor  $\beta\omega.$ 

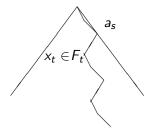
Say that a Moore-Mrowka space is a compact  $t = \omega$  space which is not sequential.

# Čech-Pospišil labelled trees – an object needing analysis

.⊒ . ⊳

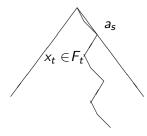
For a tree  $T \subset 2^{<\mathfrak{c}}$ , we may attach a clopen set  $a_t$ , we will (arrange and) let  $F_t = \bigcap_{s \leq t} a_s \neq \emptyset$ , and we might pick a point  $x_t \in F_t$ . We extend t if  $|F_t| > 1$ ; in addition  $\{a_{t0}, a_{t1}\}$  will be disjoint (and sometimes a partition).

For a tree  $T \subset 2^{<\mathfrak{c}}$ , we may attach a clopen set  $a_t$ , we will (arrange and) let  $F_t = \bigcap_{s \leq t} a_s \neq \emptyset$ , and we might pick a point  $x_t \in F_t$ . We extend t if  $|F_t| > 1$ ; in addition  $\{a_{t0}, a_{t1}\}$  will be disjoint (and sometimes a partition).



$$F_t$$
 is a  $G_{|t|}$ -set  
if  $|F_t| = 1$ , we have a  $G_{|t|}$ -point  
else  $|X| \ge 2^{\omega_1}$  if no  $G_{\delta}$ -points

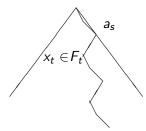
For a tree  $T \subset 2^{<\mathfrak{c}}$ , we may attach a clopen set  $a_t$ , we will (arrange and) let  $F_t = \bigcap_{s \leq t} a_s \neq \emptyset$ , and we might pick a point  $x_t \in F_t$ . We extend t if  $|F_t| > 1$ ; in addition  $\{a_{t0}, a_{t1}\}$  will be disjoint (and sometimes a partition).



$$\begin{array}{l} F_t \text{ is a } G_{|t|}\text{-set} \\ \text{if } |F_t| = 1, \text{ we have a } G_{|t|}\text{-point} \\ \text{else } |X| \geq 2^{\omega_1} \text{ if no } G_{\delta}\text{-points} \\ \text{Sapirovskii variant: } a_{ti} \cap \overline{\{x_s : s \subset t\}} = \emptyset \\ \text{if succeed, } X \text{ has unctble tightness} \\ \text{if fail, } X \text{ has a } G_{\delta} \text{ of weight } \mathfrak{c} \end{array}$$

i.e. a point with character  $\leq \mathfrak{c}$ 

For a tree  $T \subset 2^{<\mathfrak{c}}$ , we may attach a clopen set  $a_t$ , we will (arrange and) let  $F_t = \bigcap_{s \leq t} a_s \neq \emptyset$ , and we might pick a point  $x_t \in F_t$ . We extend t if  $|F_t| > 1$ ; in addition  $\{a_{t0}, a_{t1}\}$  will be disjoint (and sometimes a partition).



$$\begin{array}{l} F_t \text{ is a } G_{|t|}\text{-set} \\ \text{if } |F_t| = 1, \text{ we have a } G_{|t|}\text{-point} \\ \text{else } |X| \geq 2^{\omega_1} \text{ if no } G_{\delta}\text{-points} \\ \text{Sapirovskii variant: } a_{ti} \cap \overline{\{x_s : s \subset t\}} = \emptyset \\ \text{if succeed, } X \text{ has unctble tightness} \\ \text{if fail, } X \text{ has a } G_{\delta} \text{ of weight } \mathfrak{c} \end{array}$$

i.e. a point with character  $\leq c$ 

CH implies every compact  $t = \omega$  space has a  $G_{\leq \omega_1}$ -point.

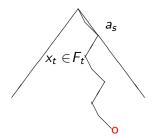
## PFA and Moore-Mrowka

→ 同 → → ヨ →

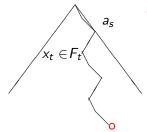
프 > 프

PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition.

PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition.

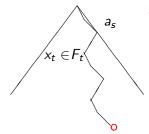


PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition.



the method of countable elementary submodels as side conditions adds free sequence (also shown from PFA(S)[S] by Todorcevic)

PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition. Also  $t = \omega$  implies that there must be  $G_{\delta}$ -points.



the method of countable elementary submodels as side conditions adds free sequence (also shown from PFA(S)[S] by Todorcevic)

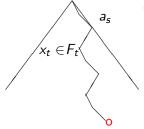
as

 $x_t \in F$ 

PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition. Also  $t = \omega$  implies that there must be  $G_{\delta}$ -points. Simply, if X is not sequential, then forcing with the proper poset  $\mathfrak{c}^{\langle \omega_1}$  will shoot a branch avoiding all points of X.  $\check{X}$ is not compact (uses MA), but it is countably compact.

> the method of countable elementary submodels as side conditions adds free sequence (also shown from PFA(S)[S] by Todorcevic)

PFA implies that if the space X is not sequential, then there is a branch with a subsequence  $\{x_{t_{\alpha}} : \alpha \in \Lambda \subset \omega_1\}$  violating Sapirovskii's condition. Also  $t = \omega$  implies that there must be  $G_{\delta}$ -points. Simply, if X is not sequential, then forcing with the proper poset  $\mathfrak{c}^{<\omega_1}$  will shoot a branch avoiding all points of X.  $\check{X}$ is not compact (uses MA), but it is countably compact.



the method of countable elementary submodels as side conditions adds free sequence (also shown from PFA(S)[S] by Todorcevic)

this shows we can't control this tree (general "Čech-Pospišil" tree)

but we have to.

remark: It may not be fully branching

## Definition (Koszmider / Koppelberg / Fedorchuk)

A T-algebra will be a Boolean algebra with a generating set indexed by a binary tree T, i.e.  $\{a_t : t \in T\}$  and  $a_{t0}, a_{t1}$  are complements. And more properties in a minute.

## Definition (Koszmider / Koppelberg / Fedorchuk)

A T-algebra will be a Boolean algebra with a generating set indexed by a binary tree T, i.e.  $\{a_t : t \in T\}$  and  $a_{t0}, a_{t1}$  are complements. And more properties in a minute.

## Theorem (1972)

 $\diamond$  implies there is a Moore-Mrowka space not containing  $\omega$ +1.

## Definition (Koszmider / Koppelberg / Fedorchuk)

A T-algebra will be a Boolean algebra with a generating set indexed by a binary tree T, i.e.  $\{a_t : t \in T\}$  and  $a_{t0}, a_{t1}$  are complements. And more properties in a minute.

### Theorem (1972)

 $\diamond$  implies there is a Moore-Mrowka space not containing  $\omega$ +1.

#### Theorem (197?)

CH implies there is an Efimov space.

## Definition (Koszmider / Koppelberg / Fedorchuk)

A T-algebra will be a Boolean algebra with a generating set indexed by a binary tree T, i.e.  $\{a_t : t \in T\}$  and  $a_{t0}, a_{t1}$  are complements. And more properties in a minute.

## Theorem (1972)

 $\diamond$  implies there is a Moore-Mrowka space not containing  $\omega$ +1.

## Theorem (197?)

CH implies there is an Efimov space.

#### Proof.

Build a suitable T-algebra and take the Stone space.

< ロ > < 同 > < 三 > < 三 >

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

• for t on a non-successor level,  $a_t = 1$ 

# T-algebras ; their Stone spaces do not contain $\beta\mathbb{N}$

**T**-algebras

Basic situation

For a tree *T*, let *bT* denote *T* together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a *T*-generating family if

- for t on a non-successor level,  $a_t = 1$
- **2** for each non-maximal  $t \in T$ ,  $a_{t0}$ ,  $a_{t1}$  are complements

# T-algebras ; their Stone spaces do not contain $\beta\mathbb{N}$

Basic situation

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

- for t on a non-successor level,  $a_t = 1$
- **②** for each non-maximal  $t \in T$ ,  $a_{t0}, a_{t1}$  are complements
- If or all b ∈ bT, the family {at : t ⊂ b} generates an ultrafilter over the algebra generated by {as : ¬(b ⊆ s)}.

**T**-algebras

# T-algebras ; their Stone spaces do not contain $\beta\mathbb{N}$

Basic situation

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

- for t on a non-successor level,  $a_t = 1$
- **②** for each non-maximal  $t \in T$ ,  $a_{t0}, a_{t1}$  are complements
- If or all b ∈ bT, the family {at : t ⊂ b} generates an ultrafilter over the algebra generated by {as : ¬(b ⊆ s)}.

**T**-algebras

Basic situation

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

- for t on a non-successor level,  $a_t = 1$
- **②** for each non-maximal  $t \in T$ ,  $a_{t0}, a_{t1}$  are complements
- If or all b ∈ bT, the family {at : t ⊂ b} generates an ultrafilter over the algebra generated by {as : ¬(b ⊆ s)}.

**T**-algebras

for each  $b \in bT$ ,  $\{a_t : t \le b\}$  generates a superatomic Boolean algebra; and every superatomic Boolean algebra can be expressed as a maximal branch in a *T*-algebra

Basic situation

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

- for t on a non-successor level,  $a_t = 1$
- **②** for each non-maximal  $t \in T$ ,  $a_{t0}, a_{t1}$  are complements
- If or all b ∈ bT, the family {at : t ⊂ b} generates an ultrafilter over the algebra generated by {as : ¬(b ⊆ s)}.

**T**-algebras

for each  $b \in bT$ ,  $\{a_t : t \le b\}$  generates a superatomic Boolean algebra; and every superatomic Boolean algebra can be expressed as a maximal branch in a *T*-algebra the key is that  $a_t$  can not "split  $F_s$ " if  $s \perp t$ 

Basic situation

For a tree T, let bT denote T together with all its maximal branches. A family  $\{a_t : t \in T\}$  is a T-generating family if

- for t on a non-successor level,  $a_t = 1$
- **②** for each non-maximal  $t \in T$ ,  $a_{t0}, a_{t1}$  are complements
- If or all b ∈ bT, the family {at : t ⊂ b} generates an ultrafilter over the algebra generated by {as : ¬(b ⊆ s)}.

**T**-algebras

for each  $b \in bT$ ,  $\{a_t : t \leq b\}$  generates a superatomic Boolean algebra; and every superatomic Boolean algebra can be expressed as a maximal branch in a T-algebra

the key is that  $a_t$  can not "split  $F_s$ " if  $s \perp t$ 

the Stone space is in natural one-to-one correspondence with the collection of maximal branches

(4月) (4日) (4日)

**T**-algebras

## Efimov and Moore-Mrowka status

#### are there T-algebras $\not\supset \omega+1$ ? (countably infinite quotient)

Alan Dow the set-theory of compact spaces

直 ト イヨト イヨト

## are there T-algebras $\not\supset \omega+1$ ? (countably infinite quotient)

ullet  $\diamond$  implies an Efimov T-algebra exists

- ullet  $\diamond$  implies an Efimov T-algebra exists
- PFA implies if exist then  $\supset \omega_1 + 1$

- $\Diamond$  implies an Efimov T-algebra exists
- PFA implies if exist then  $\supset \omega_1 + 1$
- ullet They exist from CH but CON with CH must be  $t>\omega$

- $\Diamond$  implies an Efimov T-algebra exists
- PFA implies if exist then  $\supset \omega_1 + 1$
- $\bullet\,$  They exist from CH but CON with CH must be  $t>\omega$
- CH and MA are not known to resolve Moore-Mrowka

- $\diamondsuit$  implies an Efimov T-algebra exists
- PFA implies if exist then  $\supset \omega_1 + 1$
- $\bullet\,$  They exist from CH but CON with CH must be  $t>\omega$
- CH and MA are not known to resolve Moore-Mrowka
- Efimov T-algebra can not contain  $\omega$ +1 ×  $\omega$ <sub>1</sub>+1 contrast with (2)

- $\Diamond$  implies an Efimov T-algebra exists
- PFA implies if exist then  $\supset \omega_1 + 1$
- They exist from CH but CON with CH must be  $t > \omega$
- CH and MA are not known to resolve Moore-Mrowka
- Efimov T-algebra can not contain  $\omega$ +1 ×  $\omega$ <sub>1</sub>+1 contrast with (2)
- New result: b = c implies an Efimov T-algebra exists (joint with Shelah); Not previously known even for just Efimov

**T**-algebras

## a detour then back to Moore-Mrowka

#### Definition

A space X is initially  $\omega_1$ -compact if every set of size  $\leq \omega_1$  has a complete accumulation point.

**T**-algebras

## a detour then back to Moore-Mrowka

#### Definition

A space X is initially  $\omega_1$ -compact if every set of size  $\leq \omega_1$  has a complete accumulation point.

#### Question

Does initially 
$$\omega_1$$
-compact +  $\begin{cases} t = \omega \\ \chi = \omega \end{cases}$  imply  $\begin{cases} \text{compact} \\ \text{cardinality} \leq \mathfrak{c} \end{cases}$ ?

**T**-algebras

## a detour then back to Moore-Mrowka

#### Definition

A space X is initially  $\omega_1$ -compact if every set of size  $\leq \omega_1$  has a complete accumulation point.

#### Question

Does initially 
$$\omega_1$$
-compact +  $\begin{cases} t = \omega \\ \chi = \omega \end{cases}$  imply  $\begin{cases} \text{compact} \\ \text{cardinality} \leq \mathfrak{c} \end{cases}$ ?

#### Theorem (CH, PFA, Cohen)

initially  $\omega_1$ -compact  $t = \omega$  spaces are **compact**, and so,  $\chi = \omega$  ones (and even separable  $t = \omega$  under PFA) have cardinality at most c.

**T**-algebras

## a detour then back to Moore-Mrowka

#### Definition

A space X is initially  $\omega_1$ -compact if every set of size  $\leq \omega_1$  has a complete accumulation point.

#### Question

Does initially 
$$\omega_1$$
-compact +  $\begin{cases} t = \omega \\ \chi = \omega \end{cases}$  imply  $\begin{cases} \text{compact} \\ \text{cardinality} \leq \mathfrak{c} \end{cases}$ ?

#### Theorem (CH, PFA, Cohen)

initially  $\omega_1$ -compact  $t = \omega$  spaces are **compact**, and so,  $\chi = \omega$  ones (and even separable  $t = \omega$  under PFA) have cardinality at most c.

**T**-algebras

## a detour then back to Moore-Mrowka

## Definition

A space X is initially  $\omega_1$ -compact if every set of size  $\leq \omega_1$  has a complete accumulation point.

#### Question

Does initially 
$$\omega_1$$
-compact + 
$$\begin{cases} t = \omega \\ \chi = \omega \end{cases}$$
 imply 
$$\begin{cases} \text{compact} \\ \text{cardinality} \leq \mathfrak{c} \end{cases}$$
?

#### Theorem (CH, PFA, Cohen)

initially  $\omega_1$ -compact  $t = \omega$  spaces are **compact**, and so,  $\chi = \omega$  ones (and even separable  $t = \omega$  under PFA) have cardinality at most c.

[ZFC] Any compactification of a non-compact initially  $\omega_1$ -compact  $t = \omega$  space is a Moore-Mrowka space.

**T**-algebras

# initially $\omega_1$ -compact in ZFC and MA

the story here starts with Baumgartner-Shelah, inventing the  $\Delta$ -function in order to produce a ccc poset of finite conditions

**T**-algebras

# initially $\omega_1$ -compact in ZFC and MA

the story here starts with Baumgartner-Shelah, inventing the  $\Delta$ -function in order to produce a ccc poset of finite conditions

#### Theorem

It is consistent to have a (chain) T-algebra with all of the  $\omega_2$  many scattering levels countable.

( $\omega_1$ -compact,  $t = \omega$  but not countably compact)

**T**-algebras

# initially $\omega_1$ -compact in ZFC and MA

the story here starts with Baumgartner-Shelah, inventing the  $\Delta$ -function in order to produce a ccc poset of finite conditions

#### Theorem

It is consistent to have a (chain) T-algebra with all of the  $\omega_2$  many scattering levels countable.

( $\omega_1$ -compact,  $t = \omega$  but not countably compact)

Luckily I went to the Velickovic workshop in the Appalachian set-theory series where he showed

**T**-algebras

# initially $\omega_1$ -compact in ZFC and MA

the story here starts with Baumgartner-Shelah, inventing the  $\Delta$ -function in order to produce a ccc poset of finite conditions

#### Theorem

It is consistent to have a (chain) T-algebra with all of the  $\omega_2$  many scattering levels countable.

( $\omega_1$ -compact,  $t = \omega$  but not countably compact)

Luckily I went to the Velickovic workshop in the Appalachian set-theory series where he showed

## Proposition

Mixed cardinality elementary submodels as side conditions give a proper poset of finite conditions that add the Baumgartner-Shelah example.

< ロ > < 同 > < 回 > < 回 > < 回 > <

# initially $\omega_1$ -compact in ZFC and MA

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

프 ( ) ( ) ( ) (

# initially $\omega_1$ -compact in ZFC and MA

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

#### Theorem

 It is consistent to have initially ω<sub>1</sub>-compact t = ω space which is not compact.

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

#### Theorem

- It is consistent to have initially ω<sub>1</sub>-compact t = ω space which is not compact.
- Using generically blowing up points to Cantor sets (Juhasz-Koszmider-Soukup) it can be made first countable

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

#### Theorem

- It is consistent to have initially ω<sub>1</sub>-compact t = ω space which is not compact.
- Using generically blowing up points to Cantor sets (Juhasz-Koszmider-Soukup) it can be made first countable

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

#### Theorem

- It is consistent to have initially ω<sub>1</sub>-compact t = ω space which is not compact.
- Using generically blowing up points to Cantor sets (Juhasz-Koszmider-Soukup) it can be made first countable

These T-algebra chain examples are intrinsically of size  $\mathfrak c$  and MA certainly does not hold.

Much earlier, Rabus brilliantly modified Baumgartner-Shelah to obtain

#### Theorem

- It is consistent to have initially ω<sub>1</sub>-compact t = ω space which is not compact.
- Using generically blowing up points to Cantor sets (Juhasz-Koszmider-Soukup) it can be made first countable

These T-algebra chain examples are intrinsically of size c and MA certainly does not hold. Can the Neeman method be used?

Let  $T \subset 2^{<\omega_2}$ , and use Velickovic approach of mixed cardinality finite  $\epsilon$ -chains of elementary submodels to define a poset  $\mathbb{P}_T$  adding a Rabus style T-algebra

#### Conditions

Let  $T \subset 2^{<\omega_2}$ , and use Velickovic approach of mixed cardinality finite  $\epsilon$ -chains of elementary submodels to define a poset  $\mathbb{P}_T$  adding a Rabus style T-algebra

# Conditions

- A condition p consists of  $(H_p, \{a_t^p : t \in H_p\}, \mathcal{M}_p)$ 
  - $H_p$  is an adequately closed finite subset of T

Let  $T \subset 2^{<\omega_2}$ , and use Velickovic approach of mixed cardinality finite  $\epsilon$ -chains of elementary submodels to define a poset  $\mathbb{P}_T$  adding a Rabus style T-algebra

# Conditions

- $H_p$  is an adequately closed finite subset of T
- 2  $t \in a_t^p$  is a subset of  $H_p$ ,  $\{a_t^p : t \in H_p\}$  generates an  $H_p$ -algeba

Let  $T \subset 2^{<\omega_2}$ , and use Velickovic approach of mixed cardinality finite  $\epsilon$ -chains of elementary submodels to define a poset  $\mathbb{P}_T$  adding a Rabus style T-algebra

# Conditions

- $H_p$  is an adequately closed finite subset of T
- $\ \, { \ \, { 0 } \ \, } \ t \in a^{\rho}_t \ \, { is a subset of } \ \, H_{\rho}, \ \, \{a^{\rho}_t:t\in H_{\rho}\} \ \, { generates an } \ \, H_{\rho} \mbox{-algeba}$
- $\mathcal{M}_p$  is an  $\epsilon$ -chain of countable and *internally approachable* elementary submodels of  $(H(\omega_2), a \text{ well order})$ .

Let  $T \subset 2^{<\omega_2}$ , and use Velickovic approach of mixed cardinality finite  $\epsilon$ -chains of elementary submodels to define a poset  $\mathbb{P}_T$  adding a Rabus style T-algebra

# Conditions

- $H_p$  is an adequately closed finite subset of T
- $\ \, { \ \, { 0 } \ \, } \ t \in a^{p}_{t} \ \, { is a subset of } \ \, H_{p}, \ \, \{a^{p}_{t}:t\in H_{p}\} \ \, { generates an } \ \, H_{p} \mbox{-algeba}$
- $\mathcal{M}_p$  is an  $\epsilon$ -chain of countable and *internally approachable* elementary submodels of  $(H(\omega_2), a \text{ well order})$ .
- $\{a_t : t \in M \cap H_p\}$  generates a subalgebra  $\subset M$  for each  $M \in \mathcal{M}_p$

▲□▶ ▲□▶ ▲目▶ ▲目▶ 目 - のへで

# Theorem

The proper poset  $\mathbb{P} = \mathbb{P}_T$  satisfies

adds no new uncountable cofinality branches to T

# Theorem

The proper poset  $\mathbb{P} = \mathbb{P}_T$  satisfies

- adds no new uncountable cofinality branches to T
- **②** branches with countable cofinality are points of  $\chi = \omega$

# Theorem

The proper poset  $\mathbb{P} = \mathbb{P}_T$  satisfies

- **1** adds no new uncountable cofinality branches to T
- **②** branches with countable cofinality are points of  $\chi = \omega$
- **③** cofinality  $\omega_2$  branches are  $\omega$  and  $\omega_1$  inaccessible

# Theorem

The proper poset  $\mathbb{P} = \mathbb{P}_T$  satisfies

- **1** adds no new uncountable cofinality branches to T
- **②** branches with countable cofinality are points of  $\chi = \omega$
- **(**) cofinality  $\omega_2$  branches are  $\omega$  and  $\omega_1$  inaccessible
- all this is preserved by FS small ccc forcing (Souslin-free) so we can get MA to hold

## Theorem

The proper poset  $\mathbb{P} = \mathbb{P}_T$  satisfies

- **1** adds no new uncountable cofinality branches to T
- **②** branches with countable cofinality are points of  $\chi = \omega$
- **(**) cofinality  $\omega_2$  branches are  $\omega$  and  $\omega_1$  inaccessible
- all this is preserved by FS small ccc forcing (Souslin-free) so we can get MA to hold

The set X of all ultrafilters from branches with countable cofinality is first countable and dense in  $S(B_T)$ . If T has no cofinality  $\omega_1$ -branches, X is initially  $\omega_1$ -compact.

**T**-algebras

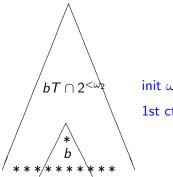
# answer Arhangelskii's question in negative

# answer Arhangelskii's question in negative

**T**-algebras

# answer Arhangelskii's question in negative

but what does this give us?

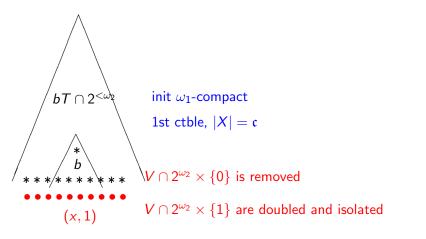


init  $\omega_1$ -compact

1st ctble,  $|X| = \mathfrak{c}$ 

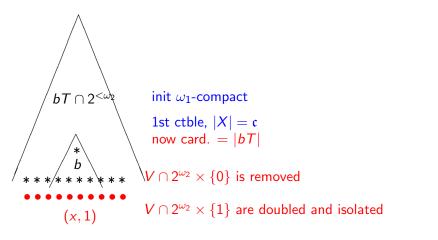
**T**-algebras

# answer Arhangelskii's question in negative



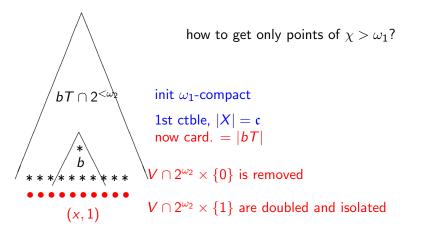
**T**-algebras

# answer Arhangelskii's question in negative



**T**-algebras

# answer Arhangelskii's question in negative



# another application segues into my next and final topic

-∢ ≣ ▶

another application segues into my next and final topic

#### Theorem

If we take T to be an  $\aleph_2$ -Souslin tree with no branches of cofinality  $\omega_1$ , then X itself is first-countable and compact, but in the forcing extension by T, it ceases to be Lindelöf.

another application segues into my next and final topic

#### Theorem

If we take T to be an  $\aleph_2$ -Souslin tree with no branches of cofinality  $\omega_1$ , then X itself is first-countable and compact, but in the forcing extension by T, it ceases to be Lindelöf.

and (even before the forcing) has  $\aleph_1\text{-sized}$  sets not contained in an  $\aleph_1\text{-sized}$  Lindelof subset

**T**-algebras

# Husek question about small diagonal

A compact space X has a small diagonal if the quotient space  $X^2/\Delta_X$  contains no converging  $\omega_1$ -sequence.

#### Theorem

In many models (PFA, CH, Cohen) each compact space with a small diagonal is metrizable. Is this true in ZFC?

**T**-algebras

# Husek question about small diagonal

A compact space X has a small diagonal if the quotient space  $X^2/\Delta_X$  contains no converging  $\omega_1$ -sequence.

#### Theorem

In many models (PFA, CH, Cohen) each compact space with a small diagonal is metrizable. Is this true in ZFC?

#### Theorem

If X is compact with small diagonal, then metrizable iff the Lindelof sets are stationary in  $[X]^{\omega_1}$ 

**T**-algebras

# Husek question about small diagonal

A compact space X has a small diagonal if the quotient space  $X^2/\Delta_X$  contains no converging  $\omega_1$ -sequence.

#### Theorem

In many models (PFA, CH, Cohen) each compact space with a small diagonal is metrizable. Is this true in ZFC?

#### Theorem

If X is compact with small diagonal, then metrizable iff the Lindelof sets are stationary in  $[X]^{\omega_1}$ 

# Questions

We do not know if X contains  $\omega+1$ , points of countable character, has cardinality at most  $\mathfrak{c}$ , and all other metric type properties.

< ロ > < 同 > < 回 > < 回 > < 回 > <

# models for compact small diagonal is metrizable

A powerful consequence of not containing any converging  $\omega_1\text{-sequences}$  emerges

## Theorem

In any model obtained by FS iteration of small  $\sigma$ -linked posets a compact space X contains no converging  $\omega_1$ -sequences iff it is first-countable and Lindelof sets are stationary in  $[X]^{\aleph_1}$ .

It follows that compact spaces of small diagonal are metrizable in such a model.

# models for compact small diagonal is metrizable

A powerful consequence of not containing any converging  $\omega_1\text{-sequences emerges}$ 

## Theorem

In any model obtained by FS iteration of small  $\sigma$ -linked posets a compact space X contains no converging  $\omega_1$ -sequences iff it is first-countable and Lindelof sets are stationary in  $[X]^{\aleph_1}$ .

#### Lemma

A key step was from a Junqueira-Koszmider paper showing that forcing with such posets preserve that compact spaces stay Lindelof in the extension.

It follows that compact spaces of small diagonal are metrizable in such a model.

▲□▶ ▲□▶ ▲目▶ ▲目▶ 目 - のへで

 Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- onn-existence of Efimov space is not known to be consistent b = c is pretty weak and s < c is easy; NCF?</p>

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- **②** non-existence of Efimov space is not known to be consistent  $\mathfrak{b} = \mathfrak{c}$  is pretty weak and  $\mathfrak{s} < \mathfrak{c}$  is easy; NCF?
- Interizability of compact small diagonal space is possibly ZFC?

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- $\label{eq:basic} \textcircled{0.5mm}{0.5mm} \textbf{0} \quad \textbf{0} = \mathfrak{c} \text{ is pretty weak and } \mathfrak{s} < \mathfrak{c} \text{ is easy; NCF?}$
- Interview of compact small diagonal space is possibly ZFC?
- spectrum of sequential order is unknown above 2

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- $\label{eq:basic} \textcircled{0.5mm}{0.5mm} \textbf{0} \quad \textbf{0} = \mathfrak{c} \text{ is pretty weak and } \mathfrak{s} < \mathfrak{c} \text{ is easy; NCF?}$
- Intervision of compact small diagonal space is possibly ZFC?
- spectrum of sequential order is unknown above 2
- compact  $+ t = \omega$  may imply exists  $G_{\omega_1}$ -point

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- $\label{eq:basic} \textcircled{0.5mm}{0.5mm} {\mathfrak S} = {\mathfrak c} \mbox{ is pretty weak and } {\mathfrak s} < {\mathfrak c} \mbox{ is easy; NCF? }$
- Intervision of compact small diagonal space is possibly ZFC?
- spectrum of sequential order is unknown above 2
- compact  $+ t = \omega$  may imply exists  $G_{\omega_1}$ -point
- must a compact space contain at least one of a converging ω or ω<sub>1</sub> sequence.

- Moore-Mrowka is independent but its status in other models is interesting (e.g. Todorcevic established in PFA(S)[S])
- $\label{eq:basic} \textcircled{0.5mm}{0.5mm} \textbf{0} \quad \textbf{0} = \mathfrak{c} \text{ is pretty weak and } \mathfrak{s} < \mathfrak{c} \text{ is easy; NCF?}$
- Intervision of compact small diagonal space is possibly ZFC?
- spectrum of sequential order is unknown above 2
- compact  $+ t = \omega$  may imply exists  $G_{\omega_1}$ -point
- must a compact space contain at least one of a converging ω or ω<sub>1</sub> sequence.
- Scarborough-Stone: is the product of (all) sequentially compact spaces still countably compact