# **Light Logics for Polynomial Time Computations**

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# Implicit Computational Complexity

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- $\Box$  It generally borrows techniques from Mathematical Logic :
	- Recursion Theory, FPTIME = Predicative Recursion on Notation
	- Structural Proof Theory, FPTIME = Bounded/Light Linear Logic
	- Model Theory,  $FPTIME = PR$  Functions over Finite Structures

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	- Model Theory,  $FPTIME = PR$  Functions over Finite Structures

Our approach:



FPTIME is the class of functions (and not only decision problems) computable in polynomial time by a deterministic Turing Machine.

A Logic  $\mathcal L$  characterizes FPTIME if:

- $\Box$  **Soundness**: Every proof/program in  $\mathcal L$  can be evaluated in polynomial time.
- **Extensional Completeness**: Every TM computing a function in FPTIME can be simulated by means of a proof/program in  $\mathcal{L}$ .

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**Intensional Completeness**: (Usually undecidable!) The Logic  $\mathcal L$  captures all the FPTIME proofs of  $\mathcal L_1$ .

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- $\Box$  Intuitively, FPTIME is the class of programs obtained by permitting arbitrary compositions of polynomial iterations.

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r(x) \circ q(x) = p(x) \qquad \underbrace{p(x) \circ p(x) \circ \cdot \circ p(x)}_{x \text{-times}} = e(x)
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 **Recurrent idea:** Allows definitions by iteration of polynomials but forbids dangerous iterations of polynomials.



## **First approach: Bounded Recursion on notation**

The first implicit characterization of FPTIME [Cobham65] uses BRN:

$$
f(\epsilon, \vec{y}) = g(\vec{y})
$$
  
\n
$$
f(\mathbf{0}x, \vec{y}) = h_0(x, \vec{y}, f(x, \vec{y}))
$$
  
\n
$$
f(\mathbf{1}x, \vec{y}) = h_1(x, \vec{y}, f(x, \vec{y}))
$$
  
\n
$$
f(x, \vec{y}) \leq k(x, \vec{y})
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with a smash function  $x \# y = 10 \cdots 0$  $\sqrt{|x| \cdot |y|}$  $|x|$ · $|y|$ and few other basic functions.

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with a smash function  $x \# y = 10 \cdots 0$  $\sqrt{|x| \cdot |y|}$ <sup>|</sup>*x*|·|*y*<sup>|</sup> and few other basic functions.

Pros:

- **+** No explicit machine model.
- **+** Very expressive.

Cons:

- **-** The bound is not really implicit.
- The bound is difficult to check (undecidable!).

## **Second approach: Predicative Recursion on notation**

Another approach is by using PRN [Bellantoni& Cook91,Leivant91]:

$$
f(\epsilon, \vec{z}; \vec{y}) = g(\vec{z}; \vec{y})
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f(\mathbf{0}x, \vec{z}; \vec{y}) = h_0(x, \vec{z}; \vec{y}, f(x, \vec{z}; \vec{y}))
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Every function  $f(\vec{x}; \vec{y})$  has normal arguments  $\vec{x}$  and safe arguments  $\vec{y}$ . **Soundness:** the result of an iteration cannot be a recurrence argument.

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Every function  $f(\vec{x}; \vec{y})$  has normal arguments  $\vec{x}$  and safe arguments  $\vec{y}$ . **Soundness:** the result of an iteration cannot be a recurrence argument. Pros:

- **+** The bound is no more explicit.
- **+** Simple syntactic criterion.

Cons:

- **-** Poor expressivity.
- **-** Inherently first order.

# **Stratification**

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Through the proofs-as-programs correspondence Intuitionistic Logic with type fixpoints corresponds to a system of recursive types.

$$
\frac{\Gamma \vdash \mathbb{N}: A \quad \Delta, \mathbf{x}: A \vdash \mathbb{N}: B}{\Gamma, \Delta \vdash \mathbb{M}[\mathbf{x}/\mathbb{N}]B} (cut)
$$
\n
$$
\frac{\Gamma, \mathbf{x}: A \vdash \mathbb{N}: B \quad \mathbf{x} \notin FV(\Gamma)}{\Gamma \vdash \lambda \mathbf{x}. \mathbb{M}: A \Rightarrow B} (\Rightarrow R) \qquad \frac{\Gamma \vdash \mathbb{N}: A \quad \mathbf{x}: B, \Delta \vdash \mathbb{M}: C}{\mathbf{y}: A \Rightarrow B, \Gamma, \Delta \vdash \mathbb{M}[\mathbf{y}\mathbb{N}/\mathbf{x}]: C} (\Rightarrow L)
$$
\n
$$
\frac{\Gamma \vdash \mathbb{M}: A}{\Gamma, \mathbf{x}: B \vdash \mathbb{M}: A} (w) \qquad \frac{\Gamma, \mathbf{x}: B, \mathbf{x}: B \vdash \mathbb{M}: A}{\Gamma, \mathbf{x}: B \vdash \mathbb{M}: A} (c)
$$
\n
$$
\frac{\Gamma \vdash \mathbb{M}: A \quad A = B}{\Gamma \vdash \mathbb{M}: B} (=R) \qquad \frac{\Gamma, \mathbf{x}: A \vdash \mathbb{M}: C \quad A = B}{\Gamma, \mathbf{x}: B \vdash \mathbb{M}: C} (=L)
$$

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For what follows it is instructive to look how  $(\lambda x.xx)\lambda x.xx$  can be typed using the fixpoint  $A = A \Rightarrow \bot$ :



**Remark**: contraction is necessary.

#### **Intuitionistic Linear Logic with fixpoints:**  $\mathbf{ILL}_{\mu}$

$$
\frac{\Gamma \vdash \mathbf{N} : A \quad \Delta, \mathbf{x} : A \vdash \mathbf{M} : B}{\Gamma, \Delta \vdash \mathbf{M}[\mathbf{N}/\mathbf{x}] : B} \ (cut)
$$

$$
\frac{\Gamma, \mathbf{x}: A \vdash \mathbf{M}: B \quad \mathbf{x} \notin FV(\Gamma)}{\Gamma \vdash \lambda \mathbf{x}. \mathbf{M}: A \multimap B} \quad (\multimap R) \quad \frac{\Gamma \vdash \mathbf{N}: A \qquad \mathbf{x}: B, \Delta \vdash \mathbf{M}: C}{\mathbf{y}: A \multimap B, \Gamma, \Delta \vdash \mathbf{M}[\mathbf{y}\mathbf{N}/\mathbf{x}] : C} \quad (\multimap L)
$$

$$
\frac{\Gamma \vdash \mathtt{M}:A \quad A=B}{\Gamma \vdash \mathtt{M}:B} \; (=R) \qquad \frac{\Gamma, \mathtt{x}:A \vdash \mathtt{M}:C \quad A=B}{\Gamma, \mathtt{x}:B \vdash \mathtt{M}:C} \; (=L)
$$

$$
\frac{\Gamma \vdash M : A}{\Gamma \vdash M : A} (p) \qquad \frac{\Gamma, x : B \vdash M : A}{\Gamma, x : B \vdash M : A} (d)
$$
\n
$$
\frac{\Gamma \vdash M : A}{\Gamma, x : B \vdash M : A} (w) \qquad \frac{\Gamma, x : B, x : B \vdash M : A}{\Gamma, x : B \vdash M : A} (c)
$$

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Obviously  $(\lambda x.xx)\lambda x.xx$  is typable in  $ILL_{\mu}$  by means of the type fixpoint  $A = !A \multimap \bot$  (which is the usual translation of  $A = A \Rightarrow \bot$ ).



**Remark**: contraction, promotion and dereliction are necessary.

The idea of Light Logics is to limit the power of the structural rules.

- □ First idea: forbid contraction.
	- + Consistent Set theory with a full comprehension scheme.
	- Too weak for polynomial time.

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- First idea: forbid contraction.
	- + Consistent Set theory with a full comprehension scheme.
	- Too weak for polynomial time.
- $\Box$  Second idea: control the other structural rules:
	- i) Proof Stratification.
	- ii) Avoiding the monoidalness of !.

We can think to the promotion rule as a **box**:



and we say **depth** of a rule, the number of boxes containing it.

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#### **Non-stratification of a proof in Linear Logic.**

The depth of any rule **can** change during the cut elimination.

 $!A \multimap !A \multimap A \qquad !A \multimap !A \otimes !A \qquad !A_1 \otimes !A_2 \multimap !A$ 

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If we remove the principles:

#### $!A \multimap !A \quad 1A \multimap A$

we have instead:

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If we remove the principles:

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we have instead:

**Stratification:** The depth of any rule **cannot** change during the cut elimination.

So, we can consider only the rules

$$
\frac{\Gamma \vdash A}{\Gamma \vdash !A} \text{ } (\square) \qquad \frac{\mathord!\,A,\mathord!\,A,\Gamma \vdash B}{\mathord!\,A,\Gamma \vdash B} \text{ } (\nabla)
$$

A cut elimination step at depth  $i$ :

- i) duplicate part of the proof at depth  $i + 1$ ,
- ii) decrease the number of rules at depth  $i$ ,
- iii) does not affect the depths  $j < i$ ,
- iv) does not increase the global depth.

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$$
\frac{\Gamma\vdash A}{\Gamma\vdash A} \xrightarrow{\Delta, !A, !A\vdash B} (\nabla)
$$
\n
$$
\frac{\Gamma\vdash A}{\Gamma\vdash !A} \xrightarrow{\Gamma\vdash A} \frac{\Gamma\vdash A}{\Gamma\vdash !A} \xrightarrow{\Gamma\vdash A} \frac{\Delta, !A, !A\vdash B}{\Delta, !A\vdash B} (cut)
$$
\n
$$
\frac{\Gamma\vdash A}{\Gamma, \Delta\vdash B} (\nabla)
$$

This can be iterated to obtain an exponential at each fixed depth i.

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$$
\frac{\Gamma, A \vdash C \quad \Delta \vdash A \quad C, \Sigma \vdash B}{\Gamma \vdash A \multimap C} \quad \frac{\Delta \vdash A \quad \Gamma, A \vdash C}{\Delta, A \multimap C, \Sigma \vdash B} \quad (cut) \quad \frac{\Delta \vdash A \quad \Gamma, A \vdash C}{\Delta, \Gamma \vdash C} \quad (cut) \quad C, \Sigma \vdash B} \quad (cut)
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\frac{\Gamma, A \vdash C \quad \Delta \vdash A \quad C, \Sigma \vdash B}{\Gamma \vdash A \multimap C \quad \Delta, A \multimap C, \Sigma \vdash B} (cut) \rightarrow \frac{\Delta \vdash A \quad \Gamma, A \vdash C \quad (cut) \quad C, \Sigma \vdash B}{\Delta, \Gamma \vdash C} (cut) \quad (cut)
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Because of the **stratification!**

#### We can perform a **depth-by-depth** reduction:





- The duplication of boxes depending over (more than one) free variables allows exponential time normalization.
- $\Box$  Limiting this kind of behavior corresponds to reduce the complexity of the normalization to polynomial time.

$$
\frac{\Gamma \vdash A \quad \Gamma \subseteq \{B\}}{\Gamma \vdash !A} \quad (p) \qquad \frac{\mathbf{!}A, \mathbf{!}A, \Gamma \vdash B}{\mathbf{!}A, \Gamma \vdash B} \quad (c) \qquad \frac{\Gamma, \Delta \vdash A}{\S \Gamma, \mathbf{!}\Delta \vdash \S A} \quad (d)
$$

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$$
\frac{\Gamma \vdash A \quad \Gamma \subseteq \{B\}}{\Gamma \vdash !A} \quad (p) \qquad \frac{\mathbf{!}A, \mathbf{!}A, \Gamma \vdash B}{\mathbf{!}A, \Gamma \vdash B} \quad (c) \qquad \frac{\Gamma, \Delta \vdash A}{\S \Gamma, \mathbf{!}\Delta \vdash \S A} \quad (d)
$$

The restriction on the  $(p)$  rule corresponds to rule out the law:

 $!A_1\otimes\ldots\otimes!A_n$  — $\circ !A$ 

The rules  $(d)$  corresponds to re-introduce a weak version of it:

 $!A_1\otimes\ldots\otimes!A_n\multimap \S{A}$ 

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$$
\frac{!A,!A,\Gamma \vdash B}{!A,\Gamma \vdash B} (c) \qquad \boxed{\frac{\Gamma,\Delta \vdash A}{\S \Gamma,!\Delta \vdash \S} }
$$

$$
\boxed{\frac{\Gamma, \Delta \vdash A}{\S \Gamma, !\Delta \vdash \S A} (d)}
$$

- $\Box$  !-boxes ((p) rules) can be duplicated.
- $\Box$  §-boxes ((d) rules) cannot be duplicated



#### The size  $|\Pi|_j$  of depth  $i < j$  becomes (at most)  $|\Pi|_j^2$  at each round  $i.$

#### We can perform a **depth-by-depth** reduction:



The size of the proof  $\Pi$  after the stratified reduction is bounded by:

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 $\sqrt{ }$ 

|Π|

 $2^d$ 

 $\Box$  d is the maximal depth of a rule in  $\Pi$ .

The same method can be used to reason about reduction steps, hence this gives a bound on the number of  $\beta$ -normalization steps.

**Note**: in LAL, data types have a fixed depth. This means that the depth depends just on the program part, hence we can work in polynomial time.  $\Box$  We have addition and multiplication in LAL as:

 $\mathbf{add} : \mathbf{N} \multimap \mathbf{N} \longrightarrow \mathbf{N} \qquad \textbf{mult} : \mathbf{N} \multimap \mathbf{N} \multimap \S \mathbf{N}$ 

So, we can program a polynomial  $p(x)$  of degree d as:

 $\mathbf{p}:\mathbf{N}\multimap \S^{2d}\mathbf{N}$ 

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 $\Box$  Transition on Turing Machines (TM) can be programmed as:

 $\mathbf{tr} : \mathbf{TM} \multimap \mathbf{TM}$ 

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 $\Box$  Transition on Turing Machines (TM) can be programmed as:

#### $\mathbf{tr} : \mathbf{TM} \multimap \mathbf{TM}$

By some manipulations we can obtain FPTIME Turing machines as:

$$
\mathbf{t}:\mathbf{W}\multimap\S^{2d+1}\mathbf{W}
$$

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### **Lack of Expressivity**



# Back to Boundedness

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One of the earliest examples of a Linear Logic system capturing FPTIME. Formulas:

$$
A ::= \alpha(p_1, \ldots, p_n) | A \otimes A | A \multimap A | \forall \alpha A | !_{x < p} A
$$

The idea is very simple:

$$
!_{x<5}A = A[0/x] \otimes \cdots \otimes A[4/x]
$$

However, the technicalities are increased by the fact that  $p$  is in general a polynomial expression, e.g.

$$
!_{x
$$

This is however also it strength.

Rules:

$$
\frac{\Gamma \vdash B}{\Gamma, !_{x < w}A \vdash B} (w) \qquad \frac{\Gamma, A[x := 0] \vdash B}{\Gamma, !_{x < 1 + w}A \vdash B} (d)
$$
\n
$$
\frac{\Gamma, !_{x < p}A, !_{x < q}A[x := p + x] \vdash B}{\Gamma, !_{x < p + q + w}A \vdash B} (c)
$$

$$
\frac{!_{z < q_1(x)} A_1[y := v_1(x) + z], \dots, !_{z < q_n(x)} A_n[y := v_n(x) + z] \vdash B}{!_{y < v_i(p) + w_1} A_1, \dots, !_{y < v_n(p) + w_n} A_n \vdash !_{x < p} B}
$$
 (p)

where  $v_i(x) = \sum_{z \leq x} q_i(z)$ .

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## **A source of inspiration: Bounded Linear Logic - 2**

Bounded Linear Logic is sound and complete for FPTIME. Moreover it has some interesting properties.

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 $\Box$  The "bounding" part can be seen as a kind of extra-conditions. As an example:

$$
\frac{\Gamma, \, !_{x < p}A, \, !_{x < q}A[x := p + x] \vdash B \quad p + q \le r}{\Gamma, \, !_{x < r}A \vdash B} \quad (c)
$$

# Computation

# **Light Type Systems**

**Stratified** Light Logics have been used to design type systems:



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This approach is effective, flexible and robust:

- $\Box$  Type Inference usually decidable in polynomial time.
- $\Box$  Useful to characterize different complexity classes: FPTIME, ELEMENTARY, FPSPACE, FNPTIME, FEXPTIME.
- $\Box$  With minor modifications they are useful for: higher-order, different recursion schemes, control operators, multithreding and side effects.

## **Relative Complete Type Systems**

**Boundedness** can be used in a slightly different way.



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- $\Box$  This approach can give a system that is relatively complete with respect to the solvability of the side conditions by an oracle.
- This gives a general method to analyze program complexity.
- A similar approach has been used in an interactive framework for the class LOGSPACE.

# THANKS

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