

# Definability in metric structures

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# Metric Structures

- A (bounded) *metric structure* is a (bounded) complete metric space  $(M, d)$ , together with distinguished
  - 1 elements,
  - 2 functions (mapping  $M^n$  into  $M$  for various  $n$ ), and
  - 3 predicates (mapping  $M^n$  into a bounded interval in  $\mathbb{R}$  for various  $n$ ).
- Each function and predicate is required to be **uniformly continuous**.
- Often times, for the sake of simplicity, we suppose that the metric is bounded by 1 and the predicates all take values in  $[0, 1]$ .

# Examples of Metric Structures

- 1 If  $\mathcal{M}$  is a structure from classical model theory, then we can consider  $\mathcal{M}$  as a metric structure by equipping it with the discrete metric. If  $P \subseteq M^n$  is a distinguished predicate, then we consider it as a mapping  $P : M^n \rightarrow \{0, 1\} \subseteq [0, 1]$  by

$$P(a) = 0 \text{ if and only if } \mathcal{M} \models P(a).$$

- 2 Suppose  $X$  is a Banach space with unit ball  $B$ . Then  $(B, 0_X, \|\cdot\|, (f_{\alpha,\beta})_{\alpha,\beta})$  is a metric structure, where  $f_{\alpha,\beta} : B^2 \rightarrow B$  is given by  $f(x, y) = \alpha \cdot x + \beta \cdot y$  for all scalars  $\alpha$  and  $\beta$  with  $|\alpha| + |\beta| \leq 1$ .
- 3 If  $H$  is a Hilbert space with unit ball  $B$ , then  $(B, 0_H, \|\cdot\|, \langle \cdot, \cdot \rangle, (f_{\alpha,\beta})_{\alpha,\beta})$  is a metric structure.

# Bounded Continuous Signatures

- As in classical logic, a signature  $L$  for continuous logic consists of constant symbols, function symbols, and predicate symbols, the latter two coming also with arities.
- **New to continuous logic:** For every function symbol  $F$ , the signature must specify a *modulus of uniform continuity*  $\Delta_F$ , which is just a function  $\Delta_F : (0, 1] \rightarrow (0, 1]$ . Likewise, a modulus of uniform continuity is specified for each predicate symbol.
- The metric  $d$  is included as a (logical) predicate in analogy with  $=$  in classical logic.

# $L$ -structures

An  $L$ -structure is a metric structure  $\mathcal{M}$  whose distinguished constants, functions, and predicates are interpretations of the corresponding symbols in  $L$ . Moreover, the uniform continuity of the functions and predicates is witnessed by the moduli of uniform continuity specified by  $L$ .

e.g. If  $P$  is a unary predicate symbol, then for all  $\epsilon > 0$  and all  $x, y \in M$ , we have:

$$d(x, y) < \Delta_P(\epsilon) \Rightarrow |P^{\mathcal{M}}(x) - P^{\mathcal{M}}(y)| \leq \epsilon.$$

# Formulae

- Atomic formulae are now of the form  $d(t_1, t_2)$  and  $P(t_1, \dots, t_n)$ , where  $t_1, \dots, t_n$  are terms and  $P$  is a predicate symbol.
- We allow all continuous functions  $[0, 1]^n \rightarrow [0, 1]$  as  $n$ -ary connectives.
- If  $\varphi$  is a formula, then so is  $\sup_x \varphi$  and  $\inf_x \varphi$ .  
( $\sup = \forall$  and  $\inf = \exists$ )

# Definable predicates

- If  $M$  is a metric structure and  $\varphi(x)$  is a formula, where  $|x| = n$ , then the interpretation of  $\varphi$  in  $M$  is a uniformly continuous function  $\varphi^M : M^n \rightarrow [0, 1]$ .
- For the purposes of definability, formulae are not expressive enough. Instead, we broaden our perspective to include *definable predicates*.
- If  $A \subseteq M$ , then a uniformly continuous function  $P : M^n \rightarrow [0, 1]$  is *definable in  $M$  over  $A$*  if there is a sequence  $(\varphi_n(x))$  of formulae with parameters from  $A$  such that the sequence  $(\varphi_n^M)$  converges uniformly to  $P$ .



# Definable functions

- $f : M^n \rightarrow M$  is *A-definable* if and only if the map  $(x, y) \mapsto d(f(x), y) : M^{n+1} \rightarrow [0, 1]$  is an *A-definable* predicate.
- **A new complication:** Definable sets and functions may now use *countably* many parameters in their definitions. If the metric structure is separable and the parameterset used in the definition is dense, then this can prove to be troublesome.
- Given any elementary extension  $N \succeq M$ , there is a natural extension of  $f$  to an *A-definable* function  $\tilde{f} : N^n \rightarrow N$ .

# Definability takes a backseat

- There are notions of stability, simplicity, rosiness, NIP,... in the metric context. These notions have been heavily developed with an eye towards applications.
- However, old-school model theory in the form of definability has not really been pursued. In particular, the question: “Given a metric structure  $M$ , what are the sets and functions definable in  $M$ ?” has not received much attention. This is the question that we will focus on in this talk.

# Definable closure

## Definition

Given an  $L$ -structure  $M$ , a parameterset  $A \subseteq M$ , and  $b \in M$ , we say that  $b$  is *in the definable closure of  $A$* , written  $b \in \text{dcl}(A)$ , if the predicate  $x \mapsto d(x, b) : M \rightarrow [0, 1]$  is an  $A$ -definable predicate.

## Facts

Let  $M$  be a structure,  $A \subseteq M$ , and  $b \in M$ .

- If  $b \in \text{dcl}(A)$ , then there is a *countable*  $A_0 \subseteq A$  such that  $b \in \text{dcl}(A_0)$ .
- If  $M$  is  $\omega_1$ -saturated and  $A$  is countable, then  $b \in \text{dcl}(A)$  if and only if  $\sigma(b) = b$  for each  $\sigma \in \text{Aut}(M/A)$ .
- $\bar{A} \subseteq \text{dcl}(A)$  ( $\bar{A}$ =metric closure of  $A$ )
- If  $f : M^n \rightarrow M$  is an  $A$ -definable function, then for each  $x \in M^n$ , we have  $f(x) \in \text{dcl}(A \cup \{x_1, \dots, x_n\})$ .

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# The Urysohn sphere

## Definition

The *Urysohn sphere*  $\mathfrak{U}$  is the unique, up to isometry, Polish metric space of diameter  $\leq 1$  satisfying the following two properties:

- **universality**: any Polish metric space of diameter  $\leq 1$  admits an isometric embedding in  $\mathfrak{U}$ ;
- **ultrahomogeneity**: any isometry between finite subspaces of  $\mathfrak{U}$  can be extended to a self-isometry of  $\mathfrak{U}$ .

Model-theoretically,  $\mathfrak{U}$  is the Fraïssé limit of the Fraïssé class of finite metric spaces of diameter  $\leq 1$ ; it is the model-completion of the (empty) theory of metric spaces in the signature consisting solely of the metric symbol  $d$ .

## Key fact (Henson)

For any  $A \subseteq \mathfrak{U}$ ,  $\text{dcl}(A) = \bar{A}$ .

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# Definable functions in $\mathfrak{U}$

Set-up:

- $f : \mathfrak{U}^n \rightarrow \mathfrak{U}$  an  $A$ -definable function, where  $A \subseteq \mathfrak{U}$
- $\mathbb{U}$  an  $\omega_1$ -saturated elementary extension of  $\mathfrak{U}$
- $\tilde{f} : \mathbb{U}^n \rightarrow \mathbb{U}$  the natural extension of  $f$

Theorem (G.-2010)

*If  $f : \mathfrak{U}^n \rightarrow \mathfrak{U}$  is  $A$ -definable, then either  $\tilde{f}$  is a projection function  $(x_1, \dots, x_n) \mapsto x_i$  or else  $\tilde{f}$  has compact image contained in  $\bar{A} \subseteq \mathfrak{U}$ . Consequently, either  $f$  is a projection function or else has relatively compact image.*



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# Corollaries

## Corollary

- 1 *If  $f : \mathfrak{U} \rightarrow \mathfrak{U}$  is a definable surjective/open/proper map, then  $f = \text{id}_{\mathfrak{U}}$ .*
- 2 *If  $f : \mathfrak{U} \rightarrow \mathfrak{U}$  is a definable isometric embedding, then  $f = \text{id}_{\mathfrak{U}}$ .*
- 3 *(Ealy, G.) If  $n \geq 2$ , then there are no definable isometric embeddings  $\mathfrak{U}^n \rightarrow \mathfrak{U}$ .*

Reason: Compact sets in  $\mathfrak{U}$  have no interior.

There are many natural isometric embeddings  $\mathfrak{U} \rightarrow \mathfrak{U}$  (as  $\mathfrak{U}$  has many subspaces isometric to itself), none of which (other than  $\text{id}_{\mathfrak{U}}$ ) are definable in  $\mathfrak{U}$ .

# Definable Groups

## Corollary

*There are no definable group operations on  $\mathfrak{U}$ .*

Cameron and Vershik introduced a group operation on  $\mathfrak{U}$  for which there is a dense cyclic subgroup. This group operation allows one to introduce a notion of translation in  $\mathfrak{U}$ . By the above corollary, this group operation is not definable.

# Key Ideas to the Proof for $n = 1$

Suppose that  $f : \mathfrak{U} \rightarrow \mathfrak{U}$  is an  $A$ -definable function, where  $A \subseteq \mathfrak{U}$  is countable. Let  $\tilde{f} : \mathbb{U} \rightarrow \mathbb{U}$  denote its canonical extension.

- 1 By triviality of  $\text{dcl}$ , for any  $x \in \mathbb{U}$ , we have  $\tilde{f}(x) \in \text{dcl}(Ax) = \bar{A} \cup \{x\}$ .
- 2 Let  $X = \{x \in \mathfrak{U} \mid f(x) = x\}$ . Show that  $\tilde{f}^{-1}(\bar{A}) \setminus X \subseteq \text{int}(\tilde{f}^{-1}(\bar{A}))$ .
- 3 Prove a general lemma showing that if  $F \subseteq \mathbb{U}$  is a closed subset and  $G \subseteq F$  is a closed, separable subset of  $F$  for which  $F \setminus G \subseteq \text{int}(F)$ , then either  $F = G$  or  $F = \mathbb{U}$ . This involves some “Urysohn-esque” arguing.
- 4 Finally, a saturation argument shows that if  $\tilde{f}(\mathbb{U}) \subseteq \mathfrak{U}$ , then  $\tilde{f}(\mathbb{U})$  is compact.

# Question

## Question

Can we improve the theorem on definable functions to read: If  $f : \mathfrak{U}^n \rightarrow \mathfrak{U}$  is definable, then either  $f$  is a projection or a constant function?

I can show that a positive solution to the above question follows from a positive solution to the  $n = 1$  case.

# Another Question

## Question

Are there any definable injections  $f : \mathfrak{U} \rightarrow \mathfrak{U}$  other than the identity?

There can exist injective functions  $\mathfrak{U} \rightarrow \mathfrak{U}$  which have relatively compact image, so our theorem doesn't immediately help us: Consider

$$(x_n) \mapsto \left(\frac{x_n}{2^n}\right) : (0, 1)^\infty \rightarrow \ell^2.$$

and use the fact that  $\mathfrak{U} \cong \ell^2 \cong (0, 1)^\infty$ .

Observe that a positive answer to Question 3 yields a negative answer to this question.

# Injective Definable Functions

## Lemma

*If  $f : \mathbb{U} \rightarrow \mathbb{U}$  is injective and definable, then  $f = \text{id}_{\mathbb{U}}$ .*

## Proof.

One can show that the complement of an open ball in  $\mathbb{U}$  is definable. Since  $f$  maps definable sets to definable sets (which is a fact we are unsure of in  $\mathfrak{U}$ ), it follows that  $f$  is a closed map, whence a topological embedding. By our main theorem, we see that  $f$  is the identity.  $\square$

## Remark

This doesn't immediately help us, for an injective definable map  $\mathfrak{U} \rightarrow \mathfrak{U}$  need not induce an injective definable map  $\mathbb{U} \rightarrow \mathbb{U}$ . (Continuous logic is a positive logic!)

# Upwards Transfer

## Lemma (BBHU, Ealy-G.)

*Suppose that  $M$  is  $\omega$ -saturated and  $P, Q : M^n \rightarrow [0, 1]$  are definable predicates such that  $P$  is defined over a finite parameterset. Then the statement “for all  $a \in M^n$  ( $P(a) = 0 \Rightarrow Q(a) = 0$ )” is expressible in continuous logic.*

- It follows that the natural extension of an isometric embedding is also an isometric embedding.
- It also follows that if  $f : M^n \rightarrow M$  is an  $A$ -definable injection, where  $A$  is *finite*, then  $\tilde{f}$  is also an injection.



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# Hilbert spaces

- Throughout,  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$ .
- Recall that an inner product space over  $\mathbb{K}$  which is complete with respect to the metric induced by its inner product is called a  $\mathbb{K}$ -Hilbert space. In this talk,  $H$  and  $H'$  denote *infinite-dimensional*  $\mathbb{K}$ -Hilbert spaces.
- A continuous linear transformation  $T : H \rightarrow H'$  is also called a *bounded* linear transformation. Reason: if one defines

$$\|T\| := \sup\{\|T(x)\| : \|x\| \leq 1\},$$

then  $T$  is continuous if and only if  $\|T\| < \infty$ .

- We let  $\mathfrak{B}(H)$  denote the ( $C^*$ -) algebra of bounded operators on  $H$ .

# Signature for Real Hilbert Spaces

We work with the following many-sorted metric signature:

- for each  $n \geq 1$ , we have a sort for  $B_n(H) := \{x \in H \mid \|x\| \leq n\}$ .
- for each  $1 \leq m \leq n$ , we have a function symbol  $I_{m,n} : B_m(H) \rightarrow B_n(H)$  for the inclusion mapping.
- function symbols  $+, - : B_n(H) \times B_n(H) \rightarrow B_{2n}(H)$ ;
- function symbols  $r \cdot : B_n(H) \rightarrow B_{kn}(H)$  for all  $r \in \mathbb{R}$ , where  $k$  is the unique natural number satisfying  $k - 1 \leq |r| < k$ ;
- a predicate symbol  $\langle \cdot, \cdot \rangle : B_n(H) \times B_n(H) \rightarrow [-n^2, n^2]$ ;
- a predicate symbol  $\| \cdot \| : B_n(H) \rightarrow [0, n]$ .

The moduli of uniform continuity are the natural ones.

# Signature for Complex Hilbert Spaces

When working with complex Hilbert spaces, we make the following changes:

- We add function symbols  $i \cdot : B_n(H) \rightarrow B_n(H)$  for each  $n \geq 1$ , meant to be interpreted as multiplication by  $i$ .
- Instead of the function symbol  $\langle \cdot, \cdot \rangle : B_n(H) \times B_n(H) \rightarrow [-n^2, n^2]$ , we have two function symbols  $\Re, \Im : B_n(H) \times B_n(H) \rightarrow [-n^2, n^2]$ , meant to be interpreted as the real and imaginary parts of  $\langle \cdot, \cdot \rangle$ .

# Definable functions

## Definition

Let  $A \subseteq H$ . We say that a function  $f : H \rightarrow H$  is *A-definable* if:

- (i) for each  $n \geq 1$ ,  $f(B_n(H))$  is bounded; in this case, we let  $m(n, f) \in \mathbb{N}$  be the minimal  $m$  such that  $f(B_n(H))$  is contained in  $B_m(H)$ ;
- (ii) for each  $n \geq 1$  and each  $m \geq m(n, f)$ , the function

$$f_{n,m} : B_n(H) \rightarrow B_m(H), \quad f_{n,m}(x) = f(x)$$

is *A-definable*, that is, the predicate  $P_{n,m} : B_n(H) \times B_m(H) \rightarrow [0, m]$  defined by  $P_{n,m}(x, y) = d(f(x), y)$  is *A-definable*.

## Lemma

*The definable bounded operators on  $H$  form a subalgebra of  $\mathfrak{B}(H)$ .*

# Statement of the Main Theorem

From now on,  $I : H \rightarrow H$  denotes the identity operator.

## Definition

An operator  $K : H \rightarrow H$  is *compact* if  $K(B_1(H))$  has compact closure. (In terms of nonstandard analysis:  $K$  is compact if and only if for all finite vectors  $x \in H^*$ , we have  $K(x)$  is nearstandard.)

## Theorem (G.-2010)

*The bounded operator  $T : H \rightarrow H$  is definable if and only if there is  $\lambda \in \mathbb{K}$  and a compact operator  $K : H \rightarrow H$  such that  $T = \lambda I + K$ . (Definable=scalar + compact)*

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# Finite-Rank Operators

- Suppose first that  $T$  is a *finite-rank* operator, that is,  $T(H)$  is finite-dimensional.
- Let  $a_1, \dots, a_n$  be an orthonormal basis for  $T(H)$ . Then  $T(x) = T_1(x)a_1 + \dots + T_n(x)a_n$  for some bounded linear functionals  $T_1, \dots, T_n : H \rightarrow \mathbb{R}$ .
- By the Riesz Representation Theorem, there are  $b_1, \dots, b_n \in H$  such that  $T_i(x) = \langle x, b_i \rangle$  for all  $x \in H, i = 1, \dots, n$ .
- Then, for all  $x, y \in H$ , we have

$$d(T(x), y) = \sqrt{\sum_{i=1}^n (\langle x, b_i \rangle)^2 - 2 \sum_{i=1}^n (\langle x, b_i \rangle \langle a_i, y \rangle) + \|y\|^2}$$

which is a formula in our language. Hence, finite-rank operators are **formula**-definable.



# Compact Operators

## Fact

If  $T : H \rightarrow H$  is compact, then there is a sequence  $(T_n)$  of finite-rank operators such that  $\|T - T_n\| \rightarrow 0$  as  $n \rightarrow \infty$ .

- Now suppose that  $T : H \rightarrow H$  is a compact operator. Fix a sequence  $(T_n)$  of finite-rank operators such that  $\|T - T_n\| \rightarrow 0$ .
- Fix  $n \geq 1$  and  $\epsilon > 0$  and choose  $k$  such that  $\|T - T_k\| < \frac{\epsilon}{n}$ . Then for  $x \in B_n(H)$  and  $y \in B_m(H)$ , where  $m \geq m(n, T)$ , we have

$$|d(T(x), y) - d(T_k(x), y)| \leq \|T(x) - T_k(x)\| < \epsilon.$$

- Since  $d(T_k(x), y)$  is given by a formula, this shows that  $T$  is definable.
- Thus, any operator of the form  $\lambda I + T$  is definable.

# Working towards the converse

- From now on, we fix an  $A$ -definable operator  $T : H \rightarrow H$ , where  $A \subseteq H$  is countable.
- We also let  $H^*$  denote an  $\omega_1$ -saturated elementary extension of  $H$ .
- Observe that, since  $H$  is closed in  $H^*$ , we have the orthogonal decomposition  $H^* = H \oplus H^\perp$ .
- $T$  has a natural extension to a definable function  $T : H^* \rightarrow H^*$ .

## Lemma

$T : H^* \rightarrow H^*$  is also linear.

## Proof.

Not as straightforward as you might guess given that continuous logic is a positive logic! □

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# Definable closure

## Fact

- In a Hilbert space  $H$ ,  $\text{dcl}(B) = \overline{\text{sp}}(B)$ , the closed linear span of  $B$ , for any  $B \subseteq H$ .

We let  $P : H^* \rightarrow H^*$  denote the orthogonal projection onto the subspace  $\overline{\text{sp}}(A)$ .

## Lemma

*For any  $x \in H^*$ ,  $\text{dcl}(Ax) = \overline{\text{sp}}(Ax) = \overline{\text{sp}}(A) \oplus \mathbb{K} \cdot (x - Px)$ .*

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# Main Lemma

## Lemma

*There is a unique  $\lambda \in \mathbb{K}$  such that, for all  $x \in H^*$ , we have  $T(x) = PT(x) + \lambda(x - Px)$ .*

## Proof.

- If  $x \in H^\perp$ , then there is  $\lambda_x \in \mathbb{K}$  such that  $T(x) = PT(x) + \lambda_x \cdot x$ .
- It is easy to check that  $\lambda_x = \lambda_y$  for all  $x, y \in H^\perp$ ; call this common value  $\lambda$ .
- For  $x \in H^*$  arbitrary, we have

$$T(x) = TP(x) + T(x - Px) = TP(x) + PT(x - Px) + \lambda(x - Px).$$

- Since  $TP(x) + PT(x - Px) \in \overline{\text{sp}}(A)$ , we are done.

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- Since  $TP(x) + PT(x - Px) \in \overline{\text{sp}}(A)$ , we are done.



# Main Lemma

## Lemma

*There is a unique  $\lambda \in \mathbb{K}$  such that, for all  $x \in H^*$ , we have  $T(x) = PT(x) + \lambda(x - Px)$ .*

## Proof.

- If  $x \in H^\perp$ , then there is  $\lambda_x \in \mathbb{K}$  such that  $T(x) = PT(x) + \lambda_x \cdot x$ .
- It is easy to check that  $\lambda_x = \lambda_y$  for all  $x, y \in H^\perp$ ; call this common value  $\lambda$ .
- For  $x \in H^*$  arbitrary, we have

$$T(x) = TP(x) + T(x - Px) = TP(x) + PT(x - Px) + \lambda(x - Px).$$

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# Finishing the converse

## Proposition

For  $\lambda$  as above, we have  $T - \lambda I$  is a compact operator.

## Proof

- Since  $T - \lambda I = P \circ (T - \lambda I)$ , we have  $(T - \lambda I)(H^*) \subseteq \overline{\text{sp}}(A)$ .
- Let  $\epsilon > 0$  be given. Let  $\varphi(x, y)$  be a formula such that  $|\|T(x) - y\| - \varphi(x, y)| < \frac{\epsilon}{4}$ , where  $x$  is a variable of sort  $B_1$ .
- Let  $(b_n)$  be a countable dense subset of  $(T - \lambda I)(B_1(H^*))$ .
- Then the following set of statements is inconsistent:

$$\{\|T(x) - (\lambda x + b_n)\| \geq \frac{\epsilon}{4} \mid n \in \mathbb{N}\}.$$

## Proof (cont'd)

- Thus, the following set of conditions is inconsistent:

$$\{\varphi(x, \lambda x + b_n) \geq \frac{\epsilon}{2} \mid n \in \mathbb{N}\}.$$

- By  $\omega_1$ -saturation, there are  $b_1, \dots, b_m$  such that

$$\{\varphi(x, \lambda x + b_n) \geq \frac{\epsilon}{2} \mid 1 \leq n \leq m\}$$

is inconsistent.

- It follows that  $\{b_1, \dots, b_m\}$  form an  $\epsilon$ -net for  $(T - \lambda I)(B_1(H^*))$ .
- Since  $\epsilon > 0$  is arbitrary, we see that  $(T - \lambda I)(B_1(H^*))$  is totally bounded. It is automatically closed by  $\omega_1$ -saturation, whence it is compact. □

# Some Corollaries- I

## Corollary

*The definable operators on  $H$  form a  $C^*$ -subalgebra of  $\mathfrak{B}(H)$ .*

- It is not at all clear how to prove, from first principles, that definable operators are closed under taking adjoints.
- It is easy to show this if one assumes that the definable operator is *normal*, for then one has

$$\begin{aligned}\|T^*(x) - y\|^2 &= \|T^*(x)\|^2 - 2\langle T^*(x), y \rangle + \|y\|^2 \\ &= \|T(x)\|^2 - 2\langle T(y), x \rangle + \|y\|^2.\end{aligned}$$

## Some Corollaries- II

### Corollary

*Suppose that  $T$  is definable and not compact. Then  $\text{Ker}(T)$  and  $\text{Coker}(T)$  are finite-dimensional. Moreover,  $\text{Ker}(T) \subseteq \overline{\text{sp}}(A)$ .*

### Corollary

*Suppose that  $E$  is a closed subspace of  $H$  and that  $T : H \rightarrow H$  is the orthogonal projection onto  $E$ . Then  $T$  is definable if and only if  $E$  has finite dimension or finite codimension.*

### Corollary

*Let  $I = \{i_1, i_2, \dots\}$  be an infinite and coinfinite subset of  $\mathbb{N}$ . Let  $T : \ell^2 \rightarrow \ell^2$  be given by  $T(x)_n = x_{i_n}$ . Then  $T$  is not definable.*

# Fredholm operators

From now on, we assume that  $\mathbb{K} = \mathbb{C}$ . Recall that a bounded operator  $T$  is *Fredholm* if both  $\text{Ker}(T)$  and  $\text{Coker}(T)$  are finite-dimensional. The *index* of a Fredholm operator is the number  $\text{index}(T) := \dim(\text{Ker}(T)) - \dim(\text{Coker}(T))$ .

## Corollary

*If  $T$  is definable, then either  $T$  is compact or else  $T$  is Fredholm of index 0.*

## Proof.

This follows from the Fredholm alternative of functional analysis.

## Some Corollaries- III

Recall the left- and right-shift operators  $L$  and  $R$  on  $\ell^2$ :

$$L(x_1, x_2, \dots, ) = (x_2, x_3, \dots)$$

$$R(x_1, x_2, \dots) = (0, x_1, x_2, \dots, )$$

## Corollary

*The left- and right-shift operators on  $\ell^2$  are not definable.*

## Proof.

These operators are of index 1 and  $-1$  respectively. □

Using this result, one can prove that the left- and right-shift operators on the  $\mathbb{R}$ -Hilbert space  $\ell^2$  are not definable.



# The Calkin Algebra

- Let  $\mathfrak{B}_0(H)$  denote the ideal of  $\mathfrak{B}(H)$  consisting of the compact operators. The quotient algebra  $\mathfrak{C}(H) = \mathfrak{B}(H)/\mathfrak{B}_0(H)$  is referred to as the *Calkin algebra* of  $H$ .
- Let  $\pi : \mathfrak{B}(H) \rightarrow \mathfrak{C}(H)$  be the canonical quotient map.
- Our main theorem says that the algebra of definable operators is equal to  $\pi^{-1}(\mathbb{C})$ .
- We consider the *essential spectrum* of  $T$ :

$$\sigma_e(T) = \{\lambda \in \mathbb{C} : \pi(T) - \lambda \cdot \pi(I) \text{ is not invertible}\}.$$

# Some Corollaries- IV

If  $T$  is a definable operator, let  $\lambda(T) \in \mathbb{C}$  be such that  $T - \lambda(T)I = P \circ (T - \lambda(T)I)$ .

## Corollary

*If  $T$  is definable, then  $\sigma_e(T) = \{\lambda(T)\}$ .*

## Example

Consider  $L \oplus R : \ell^2 \oplus \ell^2 \rightarrow \ell^2 \oplus \ell^2$ .

- It is a fact that  $L \oplus R$  is Fredholm of index 0. Thus, our earlier corollary doesn't help us in showing that  $L \oplus R$  is not definable.
- However, it is a fact that  $\sigma_e(L \oplus R) = \mathbb{S}^1$ . Thus, we see from the above corollary that  $L \oplus R$  is not definable.

# The Invariant Subspace Problem

## Invariant Subspace Problem

If  $H$  is a separable Hilbert space and  $T : H \rightarrow H$  is a bounded operator, does there exist a closed subspace  $E$  of  $H$  such that  $E \neq \{0\}$ ,  $E \neq H$ , and  $T(E) \subseteq E$ ?

## Silly Corollary

The invariant subspace problem has a positive answer when restricted to the class of *definable* operators.

## Proof.

Suppose  $T$  is definable. Write  $T = \lambda I + K$ . If  $K = 0$ , then  $E := \mathbb{C} \cdot x$  is a closed, nontrivial invariant subspace for  $T$ , where  $x \in H \setminus \{0\}$  is arbitrary. Otherwise, use the fact that compact operators always have nontrivial invariant subspaces. □

- 1 Continuous Logic
- 2 The Urysohn sphere
- 3 Linear Operators on Hilbert Spaces
- 4 Pseudofiniteness**

# Pseudofinite/pseudocompact structures

## Definition

An  $L$ -structure  $\mathcal{M}$  is *pseudofinite* (resp. *pseudocompact*) if for any  $L$ -sentence  $\sigma$ , if  $\sigma^{\mathcal{A}} = 0$  for all finite (resp. compact)  $L$ -structures  $\mathcal{A}$ , then  $\sigma^{\mathcal{M}} = 0$ .

## Lemma

*The following are equivalent:*

- $\mathcal{M}$  is pseudofinite (resp. pseudocompact);
- There is a set  $I$ , an ultrafilter  $\mathcal{U}$  on  $I$ , and a family of finite (resp. compact)  $L$ -structures  $(\mathcal{A}_i)_{i \in I}$  such that  $\mathcal{M} \equiv \prod_{\mathcal{U}} \mathcal{A}_i$ ;
- For any  $L$ -sentence  $\sigma$  with  $\sigma^{\mathcal{M}} = 0$  and any  $\epsilon > 0$ , there is a finite (resp. compact)  $L$ -structure  $\mathcal{A}$  such that  $\sigma^{\mathcal{A}} < \epsilon$ .

# Examples of pseudofinite structures

## Examples of pseudofinite metric structures

- Pseudofinite structures from classical logic
- Atomless probability algebras (and their expansion by generic automorphisms)
- Keisler randomizations of classical pseudofinite structures
- Asymptotic cones

## Example of a pseudocompact structure

- Infinite-dimensional Hilbert spaces (and their expansions by random subspaces or generic automorphisms)

# Question

## Question

Is the Urysohn sphere pseudofinite?

## Lemma (Cifú-Lopes, G.)

*For relational structures, “pseudofiniteness” and “pseudocompactness” are the same notion. (And they are almost the same notion in general.)*

So we may equivalently ask: Is the Urysohn sphere pseudocompact?

# An idea

## Lemma (Cifú-Lopes, G.)

*Suppose that there is a collection  $\Gamma$  of  $L$ -sentences such that  $\{\gamma = 0 : \gamma \in \Gamma\} \models \text{Th}(\mathcal{M})$ . Suppose that for every  $\gamma_1, \dots, \gamma_n \in \Gamma$  and every  $\epsilon > 0$ , there is a finite (resp. compact)  $L$ -structure  $\mathcal{A}$  such that  $\mathcal{A} \models \max(\gamma_1, \dots, \gamma_n) \leq \epsilon$ . Then  $\mathcal{M}$  is pseudofinite (resp. pseudocompact).*

This suggests trying to show that any finite number of the “extension axioms” are approximately true in some finite or compact metric space.



# Strongly pseudofinite structures

- In classical logic,  $\mathcal{M}$  is pseudofinite if and only if: whenever  $\mathcal{M} \models \sigma$ , then  $\mathcal{A} \models \sigma$  for some finite structure  $\mathcal{A}$ .
- But this equivalence uses negations!
- We say that a metric structure  $\mathcal{M}$  is *strongly pseudofinite* (resp. *strongly pseudocompact*) if: whenever  $\sigma^{\mathcal{M}} = 0$ , then  $\sigma^{\mathcal{A}} = 0$  for some finite (resp. compact) structure  $\mathcal{A}$ .
- We can show that, for a classical structure, the five notions “classically pseudofinite,” “pseudofinite,” “pseudocompact,” “strongly pseudofinite,” and “strongly pseudocompact” all agree.

## Question

Are there any *essentially continuous* strongly pseudofinite or strongly pseudocompact structures?

# Injective-Surjective Principle

## Fact (Ax?)

If  $\mathcal{M}$  is a classical pseudofinite structure and  $f : M \rightarrow M$  is a definable function, then  $f$  is injective if and only if  $f$  is surjective.

This result fails for pseudofinite structures in continuous logic:  
Consider  $(\mathbb{S}^1, P)$ , where  $P(u, v, w) := d(uv, w)$ . Then  $(\mathbb{S}^1, P)$  is pseudofinite and  $z \mapsto z^2$  is (formula-)definable, surjective, but not injective!

## Proposition (Cifú-Lopes, G.)

If  $\mathcal{M}$  is a **strongly pseudofinite structure** and  $f : M \rightarrow M$  is a **formula-definable** function, then  $f$  is injective if and only if  $f$  is surjective.

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# Injective-Surjective Principle (cont'd)

## Proposition (Cifú-Lopes, G.)

If  $\mathcal{M}$  is a strongly pseudofinite structure and  $f : M \rightarrow M$  is a formula-definable function, then  $f$  is injective if and only if  $f$  is surjective.

Thus our pseudofinite structure  $(\mathbb{S}^1, P)$  is not strongly pseudofinite. We can use this technique to show that other pseudofinite structures are not strongly pseudofinite.

## Question

Is there a suitable replacement for the injective-surjective principle for functions definable in metric structures which holds in pseudofinite structures?

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*Pseudofinite and pseudocompact metric structures*

Submitted.

Preprints for these papers are available at

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