

Homology groups in model theory

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Outline

We define the notion of a homology group in a model-theoretic context.

The groups measure the failure of generalized amalgamation of an appropriate dimension.

The group H_2 is shown to be a certain automorphism group.

Plan:

- Example of a structure with a non-trivial group H_2
- Generalized amalgamation
- Simplices
- Homology group calculations

Example

It is possible that $\overline{ab} := \text{acl}(ab)$ contains elements that are definable from $\overline{ac} \cup \overline{bc}$, but not definable from \overline{ab} .

Fix a finite group G . Take a structure with two sorts:

- I an infinite set,
- $P := I^2 \times |G|$, where $|G|$ is a set.

Add a projection $\pi : P \rightarrow I^2$.

Example

Let $a, b \in I$. Then

- $[a] := \pi^{-1}(a, a)$,
- $[a, b] := \pi^{-1}(a, b)$,
- the symbol δ_{ab} implies $\delta_{ab} \in [a, b]$.

Relation θ on P^3 holds if and only if the elements have the form $(\delta_{bc}, \delta_{ac}, \delta_{ab})$ and (abusing notation) $\delta_{ab} \cdot \delta_{bc} = \delta_{ac}$.

Example

Note that θ defines:

- a group operation on $[a]$,
- the action of $[a]$ on $[a, b]$, and
- a way to compose δ_{ab} and δ_{bc} .

Facts (Goodrick, Kim, K.)

- 1 *The theory of the above structure is totally categorical.*
- 2 *The group G is abelian if and only if for any $a \neq b \in I$ and for all $\gamma, \delta \in [a, b]$ we have $\text{tp}(\gamma/[a][b]) = \text{tp}(\delta/[a][b])$.*

Example

From this point, $G = (G, +)$ is an abelian group. The automorphism group $\text{Aut}([a, b]/[a][b])$ is isomorphic to G .

The structure described above is a *definable connected finitary abelian groupoid with the vertex group G* . The set I is the set of objects, P is the set of morphisms, θ gives the composition.

Groupoid axioms are routine to check; associativity is interesting.

Associativity is equivalent to the following:

If $\delta_{cd} \circ \delta_{bc} = \delta_{bd}$, $\delta_{cd} \circ \delta_{ac} = \delta_{ad}$, and $\delta_{bd} \circ \delta_{ab} = \delta_{ad}$,

then $\delta_{bc} \circ \delta_{ab} = \delta_{ac}$.

“ θ on three sides implies θ on the fourth.”

Generalized uniqueness and existence

2-uniqueness is stationarity: for independent a, b , the type of $\text{acl}(ab)$ is determined by the types of $\text{acl}(a), \text{acl}(b)$.

3-uniqueness is more subtle:

Choose distinct $a, b, c \in I$ and fix δ_{ab}, δ_{bc} and δ_{ac} such that $\delta_{bc} \circ \delta_{ab} = \delta_{ac}$.

Take a non-identity automorphism σ of $[a, c]$. Then necessarily $\delta_{bc} \circ \delta_{ab} = \sigma(\delta_{ac})$ fails.

We get non-isomorphic ways of embedding the “sides” $[a, b], [b, c]$ and $[a, c]$ into a “triangle”:

- 1 use the identity embeddings (I will denote this object $[a, b, c]$);
- 2 twist one of the sides by an automorphism (I will denote this by $[\overline{a, b, c}]$).

Generalized uniqueness and existence

3-existence is the Independence Theorem.

4-existence:

In the example, we are not able to find a joint realization (are not able to amalgamate) four types that express the following:

$$\textcircled{1} \quad \delta_{cd} \circ \delta_{bc} = \delta_{bd},$$

$$\textcircled{2} \quad \delta_{cd} \circ \delta_{ac} = \delta_{ad},$$

$$\textcircled{3} \quad \delta_{bd} \circ \delta_{ab} = \delta_{ad},$$

$$\textcircled{4} \quad \delta_{bc} \circ \delta_{ab} \neq \delta_{ac}.$$

As usual, δ_{xy} is an element in the fiber $[x, y]$.

Generalized uniqueness and existence require tracking the embeddings of lower-dimensional parts into the higher-dimensional ones.

Simplices

This is formalized by the notion of an *n-simplex*. Fix a type p .

Definition

Let \mathcal{C} be the category of algebraically closed subsets of the form $\text{acl}(a_0, \dots, a_n)$ for some $n \geq 0$ and $a_i, i \leq n$, are independent realizations of p . Morphisms are elementary embeddings.

An *n-simplex* is a functor $f : \mathcal{P}(s) \rightarrow \mathcal{C}$, for $s \subset \omega$, $|s| = n + 1$, such that

- 1 for all non-empty $u \in \mathcal{P}(s)$, we have $f(u) = \text{acl}(\bigcup_{i \in u} f_u^{\{i\}}(\{i\}))$ and
- 2 if $w \in \mathcal{P}(s)$ and $u, v \subseteq w$, then

$$f_w^u(u) \quad \downarrow \quad f_w^v(v). \\ f_w^{u \cap v}(u \cap v)$$

Caution: bases!

Simplices

In the example:

- 0-simplices: $[a], a \in I$;
- 1-simplices: $[a, b], a \neq b \in I$;
- 2-simplices: $[a, b, c], [\overline{a, b, c}], \dots$

S_n is the collection of all n -simplices.

C_n is the free abelian group generated by S_n .

Homology groups

If $n \geq 1$, $f = [a_0, \dots, a_n]$ is an n -simplex, and $0 \leq i \leq n$, then

- $\partial_n^i(f) = [a_0, \dots, \hat{a}_i, \dots, a_n]$;
- $\partial_n(f) = \sum_{0 \leq i \leq n} (-1)^i \partial_n^i(f)$.

In particular,

$$\partial[a, b, c, d] = [b, c, d] - [a, c, d] + [a, b, d] - [a, b, c].$$

Z_n is the set of all chains in C_n whose boundary is 0.

B_n is the set of all chains in C_n of the form $\partial(c)$ for some $c \in C_{n+1}$.

$$H_n = Z_n/B_n.$$

Examples of chains

In the example, the 1-chain of the form

$$[a, b] + [b, c] + [c, d] - [a, d]$$

is a 1-cycle. It is also a 1-boundary because it is the boundary of the chain

$$[a, b, e] + [b, c, e] + [c, d, e] - [a, d, e].$$

Note that each of the 2-simplices above can be constructed using 3-existence.

The 2-chain $[b, c, d] - [a, c, d] + [a, b, d] - [a, b, c]$ is a 2-boundary, but

$$[b, c, d] - [a, c, d] + [a, b, d] - \overline{[a, b, c]}$$

is a 2-cycle, but not a 2-boundary. We call such cycles *2-shells*.

Computing H_2

Theorem (Goodrick, Kim, K.)

If T has $\leq (n + 1)$ -existence for some $n \geq 1$, then

$$H_n = \{[c] \mid c \text{ is an } n\text{-shell with support } \{0, \dots, n + 1\}\}.$$

Steps:

- 1 Show that an n -cycle is a linear combination of n -shells, up to ∂ ;
- 2 Show how to move n -shells into a single one, up to a boundary.

So if T has also $(n + 2)$ -existence, then H_n is trivial. In particular, a stable T with 4-existence has trivial H_2 .

Computing H_2

What about the converse? What types of groups can we have as H_2 ?

Theorem (Goodrick, Kim, K.)

(T stable.) We have $H_2(p) = \text{Aut}(\widetilde{a_0 a_1} / \overline{a_0}, \overline{a_1})$ where $\{a_0, a_1, a_2\}$ are independent realizations of p and

$$\widetilde{a_0 a_1} := \overline{a_0 a_1} \cap \text{dcl}(\overline{a_0 a_2}, \overline{a_1 a_2}).$$

Moreover $H_2(p)$ is always an abelian profinite group. Conversely any abelian profinite group can occur as $H_2(p)$.

Fact (Goodrick, K.)

If stable T fails 4-existence, then there is a type p and independent realizations a_i of p such that $\text{Aut}(\widetilde{a_0 a_1} / \overline{a_0}, \overline{a_1})$ is non-trivial.

Computing H_2

How do we know that $[b, c, d] - [a, c, d] + [a, b, d] - \overline{[a, b, c]}$ is not a boundary?

Fix elements $\delta_{xy} \in [x, y]$ for $x, y \in \{a, b, c, d\}$. These elements are embedded into the 2-simplices; denote the images by δ_{xy}^{xyz} .

A 2-shell is a boundary if and only if for some (any) choice of δ 's we have

$$\begin{aligned} & (\delta_{cd}^{bcd} - \delta_{bd}^{bcd} + \delta_{bc}^{bcd}) - (\delta_{cd}^{acd} - \delta_{ad}^{acd} + \delta_{ac}^{acd}) \\ & + (\delta_{bd}^{abd} - \delta_{ad}^{abd} + \delta_{ab}^{abd}) - (\delta_{bc}^{abc} - \delta_{ac}^{abc} + \delta_{ab}^{abc}) = 0. \end{aligned}$$

Next steps

First-order:

Conjecture

If T is stable with $\leq (n + 1)$ -existence, then

$$H_n(p) = \text{Aut}(\widetilde{a_0 \dots a_{n-1}} / \bigcup_{i=0}^{n-1} \overline{\{a_0 \dots \hat{a}_i \dots a_{n-1}\}}).$$

Non-elementary:

In [Goodrick, Kim, K.], the definitions are stated for a general context: functors into a category satisfying certain properties. What happens if the category is the class of atomic models?