# QUALIFYING EXAM Answers LOGIC

January 20, 1989

### **ELEMENTARY**

1. Prove that for every infinite regular cardinal,  $\kappa$ , there is a cardinal  $\lambda$  such that  $\lambda = \omega_{\lambda}$  and  $\lambda$  has cofinality  $\kappa$ .

Solution. For each ordinal,  $\alpha \leq \kappa$ , define  $\theta_{\alpha}$  by recursion as follows:  $\theta_0 = \omega$ ;  $\theta_{\alpha+1} = \omega_{\theta_{\alpha}+1}$ ;  $\theta_{\gamma} = \sup\{\theta_{\alpha} : \alpha < \gamma\}$  for limit  $\gamma$ . Let  $\lambda = \theta_{\kappa}$ .  $\lambda$  has cofinality  $\kappa$  because it is the supremum of a strictly increasing  $\kappa$ -sequence. Also,

$$\lambda \leq \omega_{\lambda} = \sup\{\omega_{\theta_{\alpha}} : \alpha < \kappa\} \leq \sup\{\theta_{\alpha+1} : \alpha < \kappa\} = \lambda$$

so  $\lambda = \omega_{\lambda}$ .

2. Suppose T is a consistent theory which has fewer than  $2^{\omega}$  non-isomorphic countable models. Prove that there is a sentence,  $\phi$ , in the language of T, such that  $T \cup \{\phi\}$  is complete.

Solution. Suppose there is no such  $\phi$ ; then clearly  $T \cup F$  is not complete for any finite set, F, of sentences. For  $s \in 2^{<\omega}$ , define  $T_s$  so that

- 1.  $T_{\emptyset} = T$  ( $\emptyset$  is the empty sequence).
- 2.  $T_s$  is consistent and  $T \subseteq T_s$ .
- 3. For some sentence,  $\phi_s$ ,  $T_{s0} = T_s \cup \{\phi_s\}$  and  $T_{s1} = T_s \cup \{\neg \phi_s\}$ .
- (3) is possible because no finite extension of T is complete. Now, for  $f \in 2^{\omega}$ , let  $T_f = \bigcup_{n \in \omega} T_{f|n}$ , and let  $\mathcal{A}_f$  be a countable model of  $T_f$ . Then these  $\mathcal{A}_f$  are not elementarily equivalent, hence not isomorphic. Thus, T has  $\mathbf{c}$  non-isomorphic countable models.
- 3. Suppose that  $f: \omega \to \omega$  and  $g: \omega \to \omega$  are recursive functions such that f(m) < g(n) whenever m < n. Prove that either the range of f or the range of g (or both) is recursive.

Solution. Assume that the range of f is not recursive; so, of course, it is infinite. Then  $i \in ran(g)$  iff

$$\exists n \leq (\mu m(f(m) > i)) \ (i = g(n)).$$

### MODEL THEORY

1. Let T be the theory, in the binary relation symbol, E, whose models are exactly those structures,  $A = \langle A, E_A \rangle$  such that  $E_A$  is an equivalence relation on A. Prove that T is  $\omega$ -stable.

2. Prove that transitive closure is not first-order definable, even on finite structures. That is, suppose that the language contains one binary relation symbol, R, and let  $\phi(x,y)$  be a formula in two free variables, x, y. Prove that there is a structure,  $A = \langle A, R_A \rangle$  such that A is finite and the transitive closure of  $R_A$  is not equal to  $\{\langle a,b\rangle: A \models \phi[a,b]\}$ .

Solution. Consider any formula  $\phi(x,y)$ . For each natural number n, consider the stucture with universe 0,...,2n-1 such that R(x,y) iff y=x+2. Suppose that  $\phi$  defines the transitive closure of R in each of these structures, so that  $\phi(x,y)$  iff x < y and y-x is even. Now use the compactness theorem to get an infinite structure  $\mathcal{A}$  which has three elements x,y,z such that  $\phi(x,y)$ , not  $\phi(x,z)$ , and there is an automorphism of  $\mathcal{A}$  which leaves x fixed and sends y to z, a contradiction.

3. Let  $\mathcal{A}$  be any model of Peano arithmetic and  $\kappa$  any cardinal such that  $\kappa = \kappa^{\omega}$ . Prove that  $\mathcal{A}$  has an elementary extension,  $\mathcal{B}$ , such that for some  $b \in \mathcal{B}$ ,  $\{c \in \mathcal{B} : \mathcal{B} \models c < b\}$  has size exactly  $\kappa$ . Warning: A can have more than  $\kappa$  elements.

Solution. Let D be a nonprincipal ultrafilter over  $\omega$ . Form an elementary chain  $\mathcal{A}_{\alpha}$ ,  $\alpha < \kappa$ , by starting with  $\mathcal{A}_0 = \mathcal{A}$ , taking unions at limit stages, and taking the ultrapower modulo D at successor stages. Let  $b \in A_1$  be the equivalence class of the function  $f(n) = n^{\mathcal{A}}$ , and for  $\alpha > 0$  let  $S_{\alpha} = \{c \in A_{\alpha} : \mathcal{A}_{\alpha} \models c < b\}$ .  $S_1$  has size  $2^{\omega}$ .  $S_{\alpha}$  strictly increases with  $\alpha$ , so  $S_{\kappa}$  has size at least  $\kappa$ . Using  $\kappa = \kappa^{\omega}$ , show by transfinite induction that for each  $\alpha \leq \kappa$ ,  $S_{\alpha}$  has size at most  $\kappa$ . Thus  $S_{\kappa}$  has size  $\kappa$  as required.

#### RECURSION THEORY

1. Prove that there are uncountable  $X,Y\subset\mathcal{P}(\omega)$  such that for all  $x\in X$  and  $y\in Y$ ,

$$\forall c \subseteq \omega((c \leq_T x \land c \leq_T y) \rightarrow c \equiv_T \emptyset)$$

Solution. It is enough to produce a perfect set  $P \subset 2^{\omega}$  such that all distinct x, y in P have the desired property; then just take X and Y to be uncountable disjoint subsets of P. P will be the set of all paths through a perfect tree,  $T \subset 2^{<\omega}$ . Construct T by induction, looking at all pairs, a, b, of Gödel numbers of oracle Turing machines, infinitely often. Make sure that for each such a, b, there are arbitrarily large n such that either: (1) for all paths x, y through T which diverge by level n,  $\phi_a^x \neq \phi_b^y$ , or (2) (if (1) is impossible) for all paths x, y through T which diverge by level n,  $\phi_a^x$  and  $\phi_b^y$  are recursive.

- 2. Suppose that  $g:\omega\to\omega$  is a total function and  $g\leq_T 0'$ . Prove that there is a total recursive  $f:\omega\to\omega$  such that for all  $n\in\omega$ ,  $W_{f(n)}\equiv_T W_{g(n)}$ .
  - 3. Suppose  $A \subseteq \omega$  is r.e. and  $A <_T 0'$ . Prove the there are r.e.  $B, C \subseteq \omega$  such that:
  - 1) B and C are Turing incomparable.
  - 2)  $A <_T B$  and  $A <_T C$ .
  - 3)  $A' \equiv_T B' \equiv_T C'$ .

2. By the Limit Lemma fix recursive  $h: \omega x \omega \to \omega$  such that  $\forall e[g(e) = \lim_{s \to \infty} h(e,s)]$ . Fix a recursive f such that for all x and e:

$$\phi_{f(e)}(x) = \begin{cases} 1 & \text{if } \exists s \ge x [ \phi_{h(e,s)}(x) \downarrow ] \\ \uparrow & \text{otherwise} \end{cases}$$

Then for any n,

$$W_{f(n)} = domain (\phi_{f(n)}) = * domain (\phi_{g(n)}) = W_{g(n)}.$$

Since the symmetric difference of  $W_{f(n)}$  and  $W_{g(n)}$  is finite, certainly  $W_{f(n)} \equiv_T W_{g(n)}$ .

3. Construct D and E such that  $B=A\oplus D$  and  $C=A\oplus E$  are the desired sets. As usual in infinite injury arguments, define

$$\hat{\Phi}(e, Y, x, s+1) = \begin{cases} \Phi(e, Y_s, x, s+1) & \text{if } \Phi(e, Y_s, x, s) \downarrow \\ \uparrow & \text{otherwise} \end{cases}$$

During the construction, meet the following requirements:

$$\begin{split} &S_{e,B} \colon \ \hat{\Phi}(e,A \oplus D) \neq E \\ &S_{e,C} \colon \ \hat{\Phi}(e,A \oplus E) \neq D \end{split}$$

to guarantee that B and C are Turing incomparable, and attempt to meet the "pseudo-requirements"

$$\begin{aligned} & Q_{e,B} \colon \ \exists^{\infty} s \ \hat{\Phi}(e, A \oplus D \ , e \ , s) \downarrow \ \Rightarrow \ \hat{\Phi}(e, A \oplus D \ , e) \downarrow \\ & Q_{e,C} \colon \ \exists^{\infty} s \ \hat{\Phi}(e, A \oplus E \ , e \ , s) \downarrow \ \Rightarrow \ \hat{\Phi}(e, A \oplus E \ , e) \downarrow \end{aligned}$$

to guarantee that  $B' \equiv_T A' \equiv_T C'$ . Define the S-restraint functions

$$\hat{R}_B(e,x,s) = \left\{ \begin{array}{ll} \mu t \, \forall \, y [ \ t \leq y \leq s \ \Rightarrow \ \hat{\Phi}(e,\, A \oplus D \ , \, x \ , \, y) \ \downarrow ] & \text{if} \quad \hat{\Phi}(e,\, A \oplus D \ , \, x \ , \, s) \ \downarrow \\ 0 & \text{otherwise} \end{array} \right.$$

$$\hat{R}_{C}(e,x,s) = \begin{cases} \mu t \forall y [ \ t \leq y \leq s \ \Rightarrow \ \hat{\Phi}(e, A \oplus E \ , x \ , y) \downarrow ] & \text{if} \ \hat{\Phi}(e, A \oplus E \ , x \ , s) \downarrow \\ 0 & \text{otherwise} \end{cases}$$

and the Q-restraint functions

$$\hat{\mathbf{r}}_B(\mathbf{e},\mathbf{s}) = \hat{\mathbf{R}}_B(\mathbf{e},\mathbf{e},\mathbf{s}) \ \text{and} \ \hat{\mathbf{r}}_C(\mathbf{e},\mathbf{s}) = \hat{\mathbf{R}}_C(\mathbf{e},\mathbf{e},\mathbf{s}) \ .$$

At stage s, we will also have  $x_{B,s}$  and  $x_{C,s}$  that are potential witnesses for meeting the S-requirements. The construction now is similar to the finite injury construction of incomparable r.e. degrees, except that when attempting to add elements to say D at stage s, both  $\hat{R}_B$  and  $\hat{r}_B$  are respected. The proofs of the appropriate lemmas is also similar, except that they proceed on the "true" stages of the construction. Attempting to meeting  $Q_{e,B}$  makes the jump of  $A \oplus D$  as low as possible, i.e. A'.

## SET THEORY

1. Prove, without using the Axiom of Choice, that  $\omega_2$  is not the union of countably many countable sets.

Solution. Suppose  $\omega_2 = \bigcup_{n \in \omega} A_n$ . Define  $f : \omega_2 \to \omega \times \omega_1$  as follows: If  $\alpha \in \omega_2$ ,  $f(\alpha) = \langle n, \xi \rangle$ , where n is least such that  $\alpha \in A_n$ , and  $\xi$  is the order type of  $\alpha \cap A_n$ . Note that f is 1-1. But this is a contradiction, since even without AC,  $\omega \times \omega_1$  has cardinality  $\omega_1$ .

2. Suppose that  $\mathcal{X}$  is a family of  $\omega_1$  countable sets and n is a fixed natural number such that  $|x \cap y| \leq n$  whenever x and y are distinct members of  $\mathcal{X}$ . Prove that  $\mathcal{X}$  can be written as

$$\mathcal{X} = \{x_{\alpha} : \alpha < \omega_1\} ,$$

where for each  $\alpha < \omega_1$ ,

$$x_{lpha}\capigcup_{eta$$

is finite.

Solution. Say we have,

$$\mathcal{X} = \{y_{\alpha} : \alpha < \omega_1\} ,$$

where each  $y_{\alpha} \subset \omega_1$ . By the Löwenheim-Skolem argument, there is a club, C, of limit ordinals such that whenever  $\gamma \in C$ ,  $\alpha < \gamma \Rightarrow y_{\alpha} \subseteq \gamma$ , and  $\alpha \geq \gamma \Rightarrow |y_{\alpha} \cap \gamma| < n$  (this is possible since each n-element subset of  $\gamma$  is contained in at most one  $y_{\alpha}$ . It follows that

n+1

$$y_{\alpha} \cap \bigcup_{\beta < \alpha} y_{\beta}$$

is finite whenever  $\alpha$  is of the form  $\gamma + k$  for  $\gamma \in C$  and k finite. Thus, we can get the  $x_{\alpha}$  by re-indexing: Enumerate C as  $\{\gamma_{\xi} : \xi < \omega_1\}$ , and let  $\{x_{\omega \cdot \xi + k} : k \in \omega\}$  enumerate  $\{y_{\alpha} : \gamma_{\xi} \leq \alpha < \gamma_{\xi+1}\}$ .

3. Assume that M is a countable transitive model of ZFC,  $\kappa \in M$ , P is the partial order of finite partial functions from  $\kappa$  into 2, and G is P-generic over M. Let  $f \in \omega^{\omega} \cap M[G]$ . Prove that there is a  $g \in \omega^{\omega} \cap M$  such that  $\{n : f(n) < g(n)\}$  is infinite.

Solution.  $P = Fn(\kappa, 2)$ . Fix a countable (in M) set  $I \subseteq \kappa$  such that  $f \in M[G \cap Fn(I, 2)]$ . Let  $\tau$  be a Fn(I, 2)-name for f. In M, let  $Fn(I, 2) = \{p_k : k \in \omega\}$ ; for each n, choose g(n) big enough so that for all k < n,  $p_k$  has an extension which forces  $\tau(n) < g(n)$ . Then no condition can force  $\{n : \tau(n) < g(n)\}$  to be finite.