

Qualifying Exam  
Logic  
August 2008

**Instructions:**

If you signed up for Computability Theory, do two E and two C problems.  
If you signed up for Model Theory, do two E and two M problems.  
If you signed up for Set Theory, do two E and two S problems.

If you think that a problem has been stated incorrectly, mention this to the proctor and indicate your interpretation in your solution. In such cases, do not interpret the problem in such a way that it becomes trivial.

**E1.** (Prove or disprove) A total order is well-ordered iff every suborder of it is isomorphic to an initial segment.

**E2.** Let  $A$  and  $B$  be disjoint infinite sets of positive integers. Let  $(M, R)$  be the following model. The universe is

$$M = A \cup B \cup (A \times B)$$

and  $R$  is the following ternary relation:

$$R = \{(a, b, (a, b)) : a \in A, b \in B\}.$$

Prove that  $T = Th(M, R)$  is not finitely axiomatizable.

**E3.** Let  $T$  be a consistent axiomatizable theory with only finitely many complete extensions in the same language. Show that  $T$  is decidable. (Here, a theory is a set of sentences closed under deduction, and it is axiomatizable if it is the deductive closure of a computable set of sentences.)

## Computability Theory

**C1.** Prove that if  $A \leq_{wtt} B$ , then  $A \leq_{tt} B \oplus \emptyset'$ .

Recall that:

A set  $A$  is *truth-table reducible* to a set  $B$ , abbreviated *tt-reducible* ( $A \leq_{tt} B$ ), if there are recursive functions  $f$  and  $g$  such that  $x \in A$  if and only if  $B \upharpoonright f(x) = D_y$  for some  $y \in D_{g(x)}$ .

A set  $A$  is *weak truth-table reducible* to a set  $B$ , abbreviated *wtt-reducible* ( $A \leq_{wtt} B$ ), if there are recursive functions  $f$  and  $g$  such that  $A(x) = i$  if and only if  $B \upharpoonright f(x) = D_y$  for some  $y \in W_{g(x,i)}$ .

**C2.** Prove that no  $\Delta_2^0$ -complete set exists.

*Hint:* Let  $A$  be  $\Delta_2^0$ . Build another  $\Delta_2^0$  set  $B \not\leq_m A$  by diagonalization.

**C3.** Show that every hyperimmune set  $H$  is a subset of some 1-generic  $G$ .

*Hint:* Build  $G$  by finite extensions. Given an approximation  $\tau \in 2^{<\omega}$  to  $G$ , look at extensions of  $\tau 1^n$ .

Recall that a set  $H$  is hyperimmune iff for any computable strictly increasing sequence  $(n_k : k < \omega)$  there exists a  $k$  such that the interval  $[n_k, n_{k+1})$  is disjoint from  $H$ . A set  $G$  is 1-generic iff for any computable enumerable set  $W \subseteq 2^{<\omega}$  there exists  $\tau \subseteq G$  an initial segment such that either  $\tau \in W$  or no extension of  $\tau$  is in  $W$ .

## Model Theory

**M1.** Let  $\mathcal{L}$  be a first order language without function symbols. For an  $\mathcal{L}$ -structure  $\mathfrak{A}$  realizing a complete 1-type  $\Gamma(x)$ , define  $\mathfrak{A}|\Gamma$  to be the substructure of  $\mathfrak{A}$  whose universe is  $\{a \in A : a \text{ realizes } \Gamma \text{ in } \mathfrak{A}\}$ .

Prove or disprove:

For  $\mathcal{L}$ -structures  $\mathfrak{A}, \mathfrak{B}$ , if  $\mathfrak{A}|\Gamma$  and  $\mathfrak{B}|\Gamma$  are both countably infinite and  $\aleph_0$ -categorical, then  $\mathfrak{A}|\Gamma \cong \mathfrak{B}|\Gamma$ .

**M2.** Let  $\mathcal{L} = \{<\}$  and let  $\Sigma$  be a complete theory in  $\mathcal{L}$ . Assume that  $\Sigma$  has infinite models and that  $\Sigma$  includes the axioms that  $<$  is a (strict) partial order and is tree-like — that is,

$$\forall x, y, z [x < z \wedge y < z \rightarrow [x = y \vee x < y \vee y < x]] .$$

Assume that no model of  $\Sigma$  has an infinite chain. Prove that  $\Sigma$  is  $\kappa$ -stable for all  $\kappa \geq 2^{\aleph_0}$ .

**M3.** Let  $M$  be an ordered field. Define  $x \equiv^M y$  iff  $x$  and  $y$  satisfy all formulas  $|x - y| \leq 1/n$  for  $n = 1, 2, \dots$

Prove that if  $M$  is sufficiently saturated, then the linear order

$$((0 \leq x \leq 1)^M / \equiv^M)$$

is isomorphic to the unit interval of the real line.

## Set Theory

**S1.** Assume  $\text{MA}(\aleph_1)$ . Assume that  $x_\alpha, y_\alpha \in [\mathbb{Q}]^\omega$  for  $\alpha \in \omega_1$  and  $x_\alpha \perp y_\beta$  for all  $\alpha, \beta$ . Assume further that each  $x_\alpha$  and  $y_\beta$  is a convergent  $\omega$ -sequence in  $\mathbb{R}$ , with  $x_\alpha$  converging to  $r_\alpha$  and  $y_\beta$  converging to  $s_\beta$ , where  $r_\alpha \neq s_\beta$  and  $r_\alpha, s_\beta \notin \mathbb{Q}$  for all  $\alpha, \beta$ . Prove that for some  $c \in [\mathbb{Q}]^\omega$ :  $x_\alpha \subseteq^* c$  and  $y_\beta \perp c$  for all  $\alpha, \beta$ .

*Notation.*  $[\mathbb{Q}]^\omega$  is the collection of all infinite sets of rationals. For  $x, y \in [\mathbb{Q}]^\omega$ ,  $x \perp y$  means that  $x \cap y$  is finite, and  $x \subseteq^* y$  means that  $x \setminus y$  is finite.

**S2.** Let  $M$  be a countable transitive model of ZFC. Fix  $T \in M$  such that  $M \models$  “ $T$  is an  $\omega_1$ -Aronszajn tree”. Let  $\mathbb{P} \in M$  be the forcing poset of finite partial functions from  $\kappa$  to 2 (so  $\kappa \in M$ ). Let  $G$  be  $\mathbb{P}$ -generic over  $M$ . Prove that  $M[G] \models$  “ $T$  is an  $\omega_1$ -Aronszajn tree”.

**S3.** Assume  $V = L$ . Let  $\gamma$  be the least ordinal such that  $L(\gamma) \equiv L(\omega_1)$ . Prove that  $L(\gamma) \prec L(\omega_1)$ .

## Answers

**E1.** This is true. If the order fails to be a well-order, write it as  $\alpha + E$ , where  $\alpha \in ON$  and  $E \neq \emptyset$  is a total order with no least element. But, if  $e \in E$ , then  $\alpha \cup \{e\}$  cannot be isomorphic to an initial segment of  $\alpha + E$ .

**E2.** Since a natural number is never an ordered pair, the three sets  $A, B, (A \times B)$  are pairwise disjoint. Thus, we may axiomatize  $T$  by saying that:

1. The universe is partitioned into three disjoint sets,  
 $A := \{x : \exists y, z R(x, y, z)\}$ ,  $B := \{y : \exists x, z R(x, y, z)\}$ ,  
 $C := \{z : \exists x, y R(x, y, z)\}$ .
2.  $R$  defines a bijection between  $A \times B$  and  $C$ .
3. For each  $n \in \omega$ :  $A$  and  $B$  each have at least  $n$  elements.

These axioms are complete, since they are  $\aleph_0$ -categorical; hence, they do indeed axiomatize  $T$ . Since axioms (1)(2) alone have models in which  $|A| = |B| = n$  and  $|C| = n^2$ , no finite subset of (1)(2)(3) can axiomatize  $T$ , so  $T$  is not finitely axiomatizable.

**E3.** Since any two complete extensions can be distinguished by a sentence there must be  $n < \omega$  and sentences  $\theta_i$  for  $i < n$  such that  $T \cup \{\theta_i\}$  for  $i < n$  is a list of all complete extensions of  $T$ . Complete axiomatizable theories are decidable. To decide if  $T \vdash \theta$  simply check that  $T \cup \{\theta_i\} \vdash \theta$  for all  $i < n$ .

**C1.** Let  $f$  and  $g$  be the computable functions witnessing  $A \leq_{\text{wtt}} B$ . Note there there is a computable function  $h$  such that  $y \in W_{g(x,1)}$  iff  $h(x, y) \in \emptyset'$ . Define

$$\hat{f}(x) = 2 \max\{f(x), h(x, y) : D_y \subseteq \{0, \dots, f(x) - 1\}\} + 1.$$

This is defined so that we can determine the value of  $A(x)$  from  $B \oplus \emptyset' \upharpoonright \hat{f}(x)$ . In particular, define  $D_{\hat{g}(x)}$  to be the set of all  $z$  such that there is a  $y$  for which:

- $D_z \subseteq \{0, \dots, \hat{f}(x) - 1\}$ ,
- $D_y = \{n < f(x) : 2n \in D_z\}$ , and

- $2h(x, y) + 1 \in D_z$ .

Then  $\hat{f}$  and  $\hat{g}$  witness  $A \leq_{tt} B \oplus \emptyset'$ .

**C2.** Let

$$B = \{e : \varphi_e(e) \downarrow \text{ and } \varphi_e(e) \notin A\}.$$

Then  $B$  is Turing reducible to  $A \oplus \emptyset'$  hence it is  $\Delta_2^0$ . But it is not many-one reducible to  $A$ .

**C3.** (Jockusch) Suppose  $W \subseteq 2^{<\omega}$  is computable enumerable and  $\tau$  is an approximation to  $G$ .

Case 1. There exists  $n$  such that  $\sigma \notin W$  for all  $\sigma \supseteq \tau 1^n$ . We take any such  $\tau 1^n$  to be our next approximation to  $G$ .

Case 2. Not case 1. We build a computable strictly increasing sequence  $n_k$  so for each  $k$  there exists a  $\sigma_k$  in  $W$  of length less than  $n_{k+1}$  which extends  $\tau 1^{n_k}$ . By hyperimmunity there exists  $k$  such that the interval  $[n_k, n_{k+1})$  is disjoint from  $H$ . We take any such  $\sigma_k$  as the next approximation to  $G$ .

**M1.** This is false. For example, let  $\mathcal{L} = \{<\} \cup \{P_n : n \in \omega\}$ , where each  $P_n$  is unary. Let  $\Sigma$  be the theory which says that  $<$  is a dense total order without endpoints, each  $P_n$  is a non-empty proper initial segment without a largest element, each  $P_{n+1} \subsetneq P_n$ , and the complement of each  $P_n$  has no smallest element. Then  $\Sigma$  is complete and  $\Sigma \cup \{P_n(x) : n \in \omega\}$  defines a (complete) 1-type  $\Gamma(x)$ . Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be built on the rationals, but let  $\mathfrak{A} \upharpoonright \Gamma$  be a non-empty initial segment *with* a largest element, and let  $\mathfrak{B} \upharpoonright \Gamma$  be a non-empty initial segment *without* a largest element.

**M2.** If  $T$  has no model with an infinite chain, then by compactness there must be  $n < \omega$  such that no model of  $T$  contains a chain of length  $n$ . We show that  $T$  is  $\kappa$ -stable for any  $\kappa$  of size at least the continuum. Suppose for contradiction that  $T$  has a model  $\mathfrak{A}$  with contains a set  $X$  of size  $\kappa$  and  $Y$  of size  $\kappa^+$  such that the type over  $X$  of each element of  $Y$  is distinct. Say that two elements of  $\mathfrak{A}$  are in the same component iff there are above the same minimal element. Then by cutting down  $Y$  we may assume that either

Case 1. All elements of  $Y$  are in the same component.

or

Case 2. Elements of  $Y$  are in distinct components.

Assume case 1. Since components of  $\mathfrak{A}$  are trees of height less than  $n$  we may assume (by cutting down  $Y$ ) that there exists a node  $w$  such that for all

$u \in Y$  there exists an immediate child  $c_u$  of  $w$  such that  $w < c_u \leq u$  and for distinct  $u, v \in Y$  we have that  $c_u \neq c_v$ . For  $u \in Y$  let  $\mathfrak{A}_u$  be the substructure  $\mathfrak{A}$  consisting of  $\{v : c_u \leq v\}$ . By cutting down  $Y$  we may assume the no element of  $X$  is in  $\mathfrak{A}_u$  for any  $u \in Y$ . Since  $\kappa$  is at least the continuum we may find distinct  $u, v \in Y$  such that  $(\mathfrak{A}_u, u)$  is elementary equivalent to  $(\mathfrak{A}_v, v)$ . But now the obvious Ehrenfeucht game strategy (play the identity outside  $\mathfrak{A}_u$  and  $\mathfrak{A}_v$ ), shows that  $u$  and  $v$  have the same type over  $X$ .

A similar argument works for Case 2.

**M3.** All elements  $x$  in  $[0, 1]^M$  are equivalent to some standard real:

For each  $n = 1, 2, \dots$  there exists  $k_n < n$  with

$$\frac{k_n}{n} \leq x \leq \frac{k_n + 1}{n}.$$

Then  $x \equiv^M r$  where  $r = \sup_n \frac{k_n}{n}$ .

Sufficiently saturated implies that this “standard part map” is surjective.

**S1.** Let

$$\mathbb{P} = \{p \in \text{Fn}(\omega_1, [\mathbb{Q}]^{<\omega}) : \forall \alpha, \beta \in \text{dom}(p) [x_\alpha \setminus p(\alpha) \cap y_\beta \setminus p(\beta) = \emptyset]\} .$$

Assuming that  $\mathbb{P}$  is ccc, let  $G$  be a filter meeting the dense sets  $\{p : \alpha \in \text{dom}(p)\}$  for each  $\alpha < \omega_1$ , and let  $F = \bigcup G$ . Then each  $x_\alpha \setminus F(\alpha) \cap y_\beta \setminus F(\beta) = \emptyset$ , so we can let  $c = \bigcup \{x_\alpha \setminus F(\alpha) : \alpha < \omega_1\}$ .

To prove that  $\mathbb{P}$  is ccc, we show that in fact  $\mathbb{P}$  is  $\sigma$ -centered. For each  $p \in \mathbb{P}$ , let  $H_p = \bigcup \{x_\alpha \setminus p(\alpha) \cup \{r_\alpha\} : \alpha \in \text{dom}(p)\}$  and let  $K_p = \bigcup \{y_\alpha \setminus p(\alpha) \cup \{s_\alpha\} : \alpha \in \text{dom}(p)\}$ . Then  $H_p, K_p$  are disjoint compact subsets of  $\mathbb{R}$ , so there are  $U, V$  which are finite unions of rational open intervals such that  $H_p \subset U$  and  $K_p \subset V$ . There are only  $\aleph_0$  such  $U, V$ , and for each such  $U, V$ ,  $\{p : H_p \subset U \ \& \ K_p \subset V\}$  is centered.

**S2.** Assume that  $T$  fails to be an Aronszajn tree in  $M[G]$ . Since  $\mathbb{P}$  is ccc, the failure must be because in  $M[G]$ ,  $T$  has an uncountable chain.

In  $M$ , there is a name  $\dot{C}$  such that some  $p \in \mathbb{P}$  forces  $\dot{C}$  to be an uncountable maximal chain in  $T$ . Maximality implies that  $\dot{C}$  meets every level, so for each  $\alpha$ , choose  $q_\alpha \leq p$  and  $t_\alpha$  in level  $\alpha$  of  $T$  such that  $q_\alpha \Vdash t_\alpha \in \dot{C}$ . Now, the proof that this  $\mathbb{P}$  is ccc actually yields an uncountable  $E \subseteq \omega_1$  such that the  $p_\alpha$ , for  $\alpha \in E$ , are pairwise compatible. So, in  $M$ , the set  $\{t_\alpha : \alpha \in E\}$  is an uncountable chain, contradicting the assumption that  $T$  is Aronszajn.

**S3.** Let  $D$  be the set of elements of  $L(\gamma)$  which are definable in  $L(\gamma)$  without parameters. Then  $D \preceq L(\gamma)$  because  $L(\gamma)$  has a definable well-order. If  $L(\delta)$  is the transitive collapse of  $D$ , then  $\delta \leq \gamma$  and  $L(\delta) \equiv L(\omega_1)$ , so that  $\delta = \gamma$ . Thus,  $D = L(\gamma)$ .

Now, for  $a \in L(\gamma)$ , choose a formula  $\varphi_a(x)$  which defines  $a$  in  $L(\gamma)$ , and prove that  $\varphi_a$  also defines  $a$  in  $L(\omega_1)$ . For  $a \subseteq \omega$  or  $a \subseteq \omega \times \omega$ , this is easy by absoluteness of natural numbers and  $L(\gamma) \equiv L(\omega_1)$ . For general  $a$ , use the fact that  $L(\gamma) \models$  “all sets are countable”, and the fact that  $a$  is determined by a relation on  $\omega$  isomorphic to  $\text{trcl}(\{a\})$ .

Finally,  $L(\gamma) \prec L(\omega_1)$  because  $L(\gamma) \models \psi[a_1, \dots, a_n]$  is equivalent to  $L(\gamma) \models \exists x_1, \dots, x_n [\psi[x_1, \dots, x_n] \wedge \varphi_{a_1}(x_1) \wedge \dots \wedge \varphi_{a_n}(x_n)]$ .