

**Instructions: Do all six problems.**<sup>1</sup>

If you think that a problem has been stated incorrectly, mention this to the proctor and indicate your interpretation in your solution. In such cases, do not interpret the problem in such a way that it becomes trivial.

If you are unable to solve a problem completely, you may receive partial credit by weakening a conclusion or strengthening a hypothesis. In this case, include such information in your solution, so the graders know that you know that your solution is not complete.

If you want to ask a grader a question during the exam, write out your question on an  $8\frac{1}{2}$  by 11 sheet of paper. Give it to the proctor. The proctor will contact one of the logic graders who will retrieve your written question, write a response, copy the sheet of paper, and return it to the proctor.

**E1.** Say that we expand first-order logic by adding second-order quantifiers that range over finite subsets of the universe. (Incidentally, these are called *weak monadic second-order quantifiers*.) Show that the resulting logic does not admit compactness.

**E2.** Let  $T_0$  and  $T_1$  be computably enumerable, consistent extensions of PA (although,  $T_0 \cup T_1$  need not be consistent). Show that there is a sentence  $\psi$  that is independent of both  $T_0$  and  $T_1$ .

**E3.** Call a subset  $X$  of the plane *simple* if and only if  $X$  is closed and either  $X$  or its inverse  $X^{-1}$  is the graph of a monotonically non-increasing or non-decreasing function. (Here,  $X^{-1} = \{(y, x) : (x, y) \in X\}$  and  $X$  is monotonically non-increasing iff  $\forall (x_1, y_1), (x_2, y_2) \in X [x_1 < x_2 \rightarrow y_1 \geq y_2]$ .) Prove that there is a subset  $L$  of the plane of size  $2^{\aleph_0}$  that meets each simple set in a set of size  $< 2^{\aleph_0}$ . Work in ZFC.

---

<sup>1</sup>Note that this is different from exams before January 2014.

## Model Theory

**M1.** Let  $T$  be a complete theory with infinite models. Suppose  $T$  has some model with an automorphism  $\sigma$  of fixed order  $n > 1$ . Let  $\mathfrak{A}$  be any model of  $T$ . Show that there is an elementary extension  $\mathfrak{B}$  of  $\mathfrak{A}$  which has an automorphism of order  $n$ .

**M2.** Let  $\mathfrak{M}$  be a saturated structure. Suppose  $X$  is a definable (with parameters) subset of  $|\mathfrak{M}|^n$ . Suppose also that  $X$  is fixed by every automorphism of  $\mathfrak{M}$ .

- a. Show that  $X$  is definable without parameters.
- b. Explain why saturation is necessary.

**M3.** Let  $T$  be a complete theory and let  $\Gamma(x)$  be a partial type. Suppose that in every model there are only finitely many realizations of  $\Gamma(x)$ . Show that the number of realizations of  $\Gamma(x)$  is the same in every model.

## Sketchy Answers or Hints

**E1 ans.** We can define that a model is finite (contradicting compactness), stating that there is a finite subset of the model containing all of its elements.

**E2 ans.** Call disjoint c.e. sets  $A$  and  $B$  *computably inseparable* if there is no computable set  $C$  such that  $A$  is a subset of  $C$  and  $B$  is disjoint from  $C$ . (Such a set  $C$  is called a *separator*.)

Given a c.e. set  $A$ , there is a  $\Sigma_1$ -formula  $\varphi_A$  in the language of arithmetic such that  $n \in A$  if and only if  $\text{PA} \vdash \varphi_A(n)$ . (Note that it's not true, in general, that  $\text{PA} \vdash \neg\varphi_A(n)$  for  $n \notin A$ . Indeed, this would mean that  $A$  is computable.)

Let  $A$  and  $B$  be computably inseparable c.e. sets. Let  $\varphi_A$  and  $\varphi_B$  be the corresponding formulas; more precisely, we modify  $\varphi_A(n)$  to say that there is a witness that  $n \in A$  which is  $\leq$  the least witness that  $n \in B$ . Similarly, we modify  $\varphi_B(n)$  to say that there is a witness that  $n \in B$  which is  $<$  the least witness that  $n \in A$ . Since  $A$  and  $B$  are disjoint, these modifications don't seem like they would do anything, but now we have:

$\text{PA} \vdash \varphi_A(n) \rightarrow \neg\varphi_B(n)$  and, equivalently,  $\text{PA} \vdash \varphi_B(n) \rightarrow \neg\varphi_A(n)$ .

Now let  $T_0$  and  $T_1$  be c.e. consistent extensions of PA. Such extensions can make new formulas of the form  $\varphi_A(n)$  and  $\varphi_B(n)$  true. Let  $A_0$  be the set of  $n$  such that  $T_0 \vdash \varphi_A(n)$ . Define  $A_1$ ,  $B_0$ , and  $B_1$  similarly. Since  $\text{PA} \vdash \varphi_B(n) \rightarrow \neg\varphi_A(n)$ , we know that for every  $n \in B_0$ , hence every  $n \in B$ ,  $T_0 \vdash \neg\varphi_A(n)$ . The same holds for  $T_1$ .

Case 1: There is an  $n$  such that  $\varphi_A(n)$  is independent of both  $T_0$  and  $T_1$ . So we're done.

Case 2: No such  $n$  exists. We will get a contradiction in this case. Define a computable set  $C$  as follows. To decide if  $n \in C$ , enumerate all proofs from  $T_0$  and  $T_1$  until one of the theories is first seen to prove either  $\varphi_A(n)$  or  $\neg\varphi_A(n)$ . This must happen eventually because we are in case 2. If we see a proof of  $\varphi_A(n)$ , we put  $n \in C$ . Otherwise,  $n \notin C$ .

Now note that  $C$  is a computable superset of  $A$ : If  $n \in A$  then both theories prove  $\varphi_A(n)$ , hence can't prove  $\neg\varphi_A(n)$ . It's also disjoint from  $B$ : If  $n \in B$  then both theories prove  $\neg\varphi_A(n)$ , hence neither can prove  $\varphi_A(n)$ . Therefore,  $C$  is a computable separator of  $A$  and  $B$ , which cannot exist.

**E3 ans.** Let  $\mathfrak{c} = 2^{\aleph_0}$ . List all simple sets as  $\{X_\alpha : \alpha < \mathfrak{c}\}$ . Let  $L = \{\vec{v}_\alpha : \alpha < \mathfrak{c}\}$ , where  $\vec{v}_\alpha \notin \{\vec{v}_\xi : \xi < \alpha\} \cup \bigcup \{X_\xi : \xi < \alpha\}$ . To see that such a  $\vec{v}_\alpha$  always exist, observe that if  $X$  is simple and the graph of a function, then  $X$  meets all but countably many horizontal lines in no more than one point and (obviously) then  $X$  meets every vertical line in no more than one point.

**M1 ans.** Let  $\mathfrak{A}$  be a model with an automorphism  $\sigma$  of order  $n > 1$ . Let  $\mathfrak{B}$  be a  $|\mathfrak{A}|$ -saturated elementary extension of  $(\mathfrak{C}, \sigma)$ . Then the reduct of  $\mathfrak{B}$  to the original language is still  $|\mathfrak{A}|$ -saturated, so  $|\mathfrak{A}|$ -universal, so there is an elementary embedding of  $\mathfrak{A}$  into it. (This can also be done in one line by saying “Take  $\mathfrak{B}$  to be a resplendent elementary extension of  $\mathfrak{A}$ ”.)

**M2 ans.** Let  $X = \varphi(\mathfrak{M}, a)$ . Let  $p = tp(a)$ . By homogeneity of a saturated model, there are not two tuples  $b, c$  so that  $tp(b) = tp(c) = p$  and  $\varphi(\mathfrak{M}, b) \neq \varphi(\mathfrak{M}, c)$ . By saturation, the partial type saying  $tp(b) = tp(c) = p$  and  $\varphi(\mathfrak{M}, b) \neq \varphi(\mathfrak{M}, c)$  is inconsistent. By compactness, there is a single  $\psi \in p$  so that  $\psi(b) \wedge \psi(c) \wedge \varphi(\mathfrak{M}, b) \neq \varphi(\mathfrak{M}, c)$  is inconsistent. Let  $\rho(x) = \exists y \psi(y) \wedge \varphi(x, y)$ . Then  $\rho$  defines  $X$ . — To see that saturation is necessary, consider in the language of a single equivalence relation  $E$  the countable structure  $\mathfrak{N}$  that for each  $n \in \mathbb{N} \cup \{\aleph_0\}$ , there is a unique equivalence class of cardinality  $n$ . Each equivalence class is definable with parameters and preserved set-wise by every automorphism of  $\mathfrak{N}$ . However, the one infinite class is not definable without parameters.

**M3 ans.** Use compactness to show that  $\Gamma$  contains an algebraic formula. The number of realizations of an algebraic formula is determined by  $T$ . Let  $\varphi(x)$  be an algebraic formula of minimal size (i.e. the least number of realizations). Show that  $\varphi$  isolates  $\Gamma$ , thus the number of realizations of  $\Gamma$  is the same as the number of realizations of  $\varphi$ , which is determined by  $T$ .